

Decision number: TPE-D-2114306081-68-01/F

Helsinki, 30 July 2015

DECISION ON TESTING PROPOSAL(S) SET OUT IN A REGISTRATION PURSUANT TO ARTICLE 40(3) OF REGULATION (EC) NO 1907/2006**For Copolymer of acetonoxime and 3-isocyanatomethyl-3,5,5-trimethylcyclohexyl isocyanate, EC No 600-028-9 (CAS No 1001254-87-0), registration number: [REDACTED]****Addressee:** [REDACTED]

The European Chemicals Agency (ECHA) has taken the following decision in accordance with the procedure set out in Articles 50 and 51 of Regulation (EC) No 1907/2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH Regulation).

I. Procedure

Pursuant to Article 40(1) of the REACH Regulation, ECHA has examined the following testing proposals submitted as part of the registration dossier in accordance with Articles 10(a)(ix) and 12(1)(d) thereof for Copolymer of acetonoxime and 3-isocyanatomethyl-3,5,5-trimethylcyclohexyl isocyanate, EC No 600-028-9 (CAS No 1001254-87-0), submitted by [REDACTED] (Registrant).

- 90-day dermal toxicity study (OECD 411)
- Developmental toxicity / teratogenicity study (OECD 414)

This decision is based on the registration as submitted with submission number [REDACTED], for the tonnage band of 100 to 1000 tonnes per year. This decision does not take into account any updates after 29 May 2015, i.e. 30 calendar days after the end of the commenting period.

This decision does not imply that the information provided by the Registrant in his registration dossier is in compliance with the REACH requirements. The decision does not prevent ECHA from initiating a compliance check on the registration at a later stage.

ECHA received the registration dossier containing the above-mentioned testing proposals for further examination pursuant to Article 40(1) on 28 May 2013.

ECHA held a third party consultation for the testing proposals from 2 June 2014 until 18 July 2014. ECHA received information from third parties (see section III below).

On 23 March 2015 ECHA sent the draft decision to the Registrant and invited him to provide comments within 30 days of the receipt of the draft decision.

By 29 April 2015 the Registrant did not provide any comments on the draft decision to ECHA.

On 11 June 2015 ECHA notified the Competent Authorities of the Member States of its draft decision and invited them pursuant to Article 51(1) of the REACH Regulation to submit

proposals for amendment of the draft decision within 30 days of the receipt of the notification.

As no proposal for amendment was submitted, ECHA took the decision pursuant to Article 51(3) of the REACH Regulation.

II. Testing required

A. Tests required pursuant to Article 40(3)

The Registrant shall carry out the following proposed test pursuant to Article 40(3)(a) and 13(4) of the REACH Regulation using the indicated test method and the registered substance subject to the present decision:

1. Pre-natal developmental toxicity study (Annex IX, Section 8.7.2.; test method: EU B.31/OECD 414) in rats or rabbits, oral route.

The Registrant shall carry out the following additional test pursuant to Article 40(3)(c) and 13(4) of the REACH Regulation using the indicated test method and the registered substance subject to the present decision:

2. Sub-chronic toxicity study (90-day), oral route (Annex IX, Section 8.6.2.; test method: EU B.26/OECD 408) in rats;

while the originally proposed test for a sub-chronic toxicity study (90-day), dermal route (test method: EU B.28/OECD 411) proposed to be carried out using the registered substance is rejected pursuant to Article 40(3)(d) of the REACH Regulation.

Note for consideration by the Registrant:

The Registrant may adapt the testing requested above according to the specific rules outlined in Annexes VI to X and/or according to the general rules contained in Annex XI of the REACH Regulation. In order to ensure compliance with the respective information requirement, any such adaptation will need to have a scientific justification, referring to and conforming with the appropriate rules in the respective Annex, and an adequate and reliable documentation.

Failure to comply with the requests in this decision, or to fulfil otherwise the information requirements with a valid and documented adaptation, will result in a notification to the Enforcement Authorities of the Member States.

B. Deadline for submitting the required information

Pursuant to Articles 40(4) and 22(2) of the REACH Regulation, the Registrant shall submit to ECHA by **6 August 2017** an update of the registration dossier containing the information required by this decision, including, where relevant, an update of the Chemical Safety Report. The timeline has been set to allow for sequential testing as appropriate.

III. Statement of reasons

The decision of ECHA is based on the examination of the testing proposals submitted by the Registrant for the registered substance and scientific information submitted by third parties.

A. Tests required pursuant to Article 40(3)

1. Pre-natal developmental toxicity study (Annex IX, Section 8.7.2.)

a) Examination of the testing proposal

Pursuant to Article 40(3)(a) of the REACH Regulation, ECHA may require the Registrant to carry out the proposed test.

A pre-natal developmental toxicity study for a first species is a standard information requirement as laid down in Annex IX, Section 8.7.2. of the REACH Regulation. The information on this endpoint is not available for the registered substance but needs to be present in the technical dossier to meet the information requirements. Consequently there is an information gap and it is necessary to provide information for this endpoint.

The Registrant has submitted a testing proposal for a pre-natal developmental toxicity study in rats according to EU B.31/OECD 414 with the following justification: *"It is proposed to conduct the study with rats by using oral exposure, because other exposure routes like nose-only inhalation exposure is technically not feasible especially because very young and hence very small animals have to be used in this study."*

ECHA considers that the proposed study is appropriate to fulfil the information requirement of Annex IX, Section 8.7.2. of the REACH Regulation.

The Registrant proposed testing in rats. He proposed testing by the oral route. According to the test method EU B.31/OECD 414, the rat is the preferred rodent species, the rabbit the preferred non-rodent species and the test substance is usually administered orally. ECHA considers these default parameters appropriate and testing should be performed by the oral route with the rat or the rabbit as a first species to be used.

b) Consideration of the information received during third party consultation

ECHA received third party information concerning the testing proposal during the third party consultation. For the reasons explained further below the information provided by third parties is not sufficient to fulfil this information requirement.

Third party information 1:

A third party has indicated that the tonnage level of the registered substance does not require conducting a pre-natal developmental toxicity study.

ECHA notes that the substance subject to the present decision is registered for the tonnage band of 100 to 1000 tonnes per year. For this tonnage band a pre-natal developmental toxicity study is a standard information requirement as laid down in Annex IX, Section 8.7.2. of the REACH Regulation.

Third party information 2:

A third party has indicated that based on physicochemical properties the substance is predicted to be poorly or not bioavailable and that the substance displays a low toxicity profile.

ECHA notes that it is the Registrant's responsibility to consider and justify in the registration dossier any adaptation of the information requirements in accordance with Annex IX, Section 8.7, column 2, third indent. This adaptation specifies that a pre-natal developmental toxicity study does not need to be conducted if *"the substance is of low toxicological activity (no evidence of toxicity seen in any of the tests available), it can be proven from toxicokinetic data that no systemic absorption occurs via relevant routes of exposure (e.g.*

plasma/blood concentrations below detection limit using a sensitive method and absence of the substance and of metabolites of the substance in urine, bile or exhaled air) and there is no or no significant human exposure." ECHA notes that all three criteria need to be met.

ECHA observes that the third party comment addressed only the criterion concerning absorption and the lack of evidence of toxicity. However, ECHA notes that the third party did not provide sufficient information to conclude that no systemic absorption occurs via relevant routes of exposure. Furthermore, an adaptation would also need to demonstrate that the other conditions of the adaptation possibility are fulfilled.

Therefore the criteria listed in Column 2 of Annex IX, section 8.7., third indent are not met and the information requirement for the pre-natal developmental toxicity study cannot be adapted on this basis.

c) Outcome

Therefore, pursuant to Article 40(3)(a) of the REACH Regulation, the Registrant is requested to carry out the proposed study with the registered substance subject to the present decision: Pre-natal developmental toxicity study in rats or rabbits, oral route (test method: EU B.31/OECD 414).

2. Sub-chronic toxicity study (90-day), oral route (Annex IX, Section 8.6.2.)

a) Examination of the testing proposal

Pursuant to Article 40(3)(d) and (c) of the REACH Regulation, ECHA may reject a proposed test and require the Registrant to carry out other tests in cases of non-compliance of the testing proposal with Annexes IX, X or XI.

A sub-chronic toxicity study (90 day) is a standard information requirement as laid down in Annex IX, Section 8.6.2. of the REACH Regulation. The information on this endpoint is not available for the registered substance but needs to be present in the technical dossier to meet the information requirements. Consequently there is an information gap and it is necessary to provide information for this endpoint.

The Registrant has submitted a testing proposal for a sub-chronic toxicity study (90 day) via the dermal route (EU B.28/OECD 411) with the following justification: "*the dermal route of exposure is the relevant route of exposure for the production and/or uses of the substance*".

ECHA considers that the proposed study via the dermal route is not appropriate to fulfil the information requirement of Annex IX, Section 8.6.2. of the REACH Regulation because the proposed route is not the most appropriate route of administration due to the following reasons.

The Registrant proposes the study to be conducted via the dermal route but he has not provided sufficient scientific information as to why dermal route is the most appropriate route of administration for the registered substance. More specifically, Annex IX, Section 8.6.2. column 2 establishes three criteria which have to be met to consider appropriate the dermal route of administration and only the first criterion is met in this case (i.e. skin contact in production and/or use is likely). Concerning the other two criteria, the physicochemical properties do not suggest a significant rate of absorption through the skin (also recognised by the Registrant when he states that "*due to the high molecular weight a significant dermal absorption is not expected*"). In addition, no toxicity is observed in the acute dermal toxicity test at lower doses than in the oral toxicity test, no systemic effects or

other evidence of absorption have been observed in dermal studies and the Registrant has not provided data indicating significant dermal absorption in in vitro tests or indicating that significant dermal toxicity or dermal penetration is recognised for structurally related substances.

In light of the physicochemical properties of the substance, a solid with a high molecular weight, very low vapour pressure and low water solubility and the information provided on the uses and human exposure (i.e. no uses with spray application), ECHA considers that testing by the oral route is most appropriate.

The Registrant did not specify the species to be used for testing. According to the test method EU B.26/OECD 408 the rat is the preferred species. ECHA considers this species as being appropriate and testing should be performed with the rat.

b) Consideration of the information received during third party consultation

ECHA received third party information concerning the testing proposal during the third party consultation. For the reasons explained further below the information provided by third parties is not sufficient to fulfil this information requirement.

Third party information 1:

A third party has indicated that the tonnage level of the registered substance does not require conducting a sub-chronic toxicity study (90 days).

ECHA notes that the substance subject to the present decision is registered for the tonnage band of 100 to 1000 tonnes per year. For this tonnage band a sub-chronic toxicity study (90 days) is a standard information requirement as laid down in Annex IX, Section 8.6.2. of the REACH Regulation.

Third party information 2:

A third party has indicated that based on physicochemical properties the substance is predicted to be poorly or not bioavailable and that the substance displays a low toxicity profile.

ECHA notes that it is the Registrant's responsibility to consider and justify in the registration dossier an adaptation of the information requirements in accordance with Annex IX, Section 8.6.2., column 2, fourth indent. This adaptation specifies that a sub-chronic toxicity study (90-day) does not need to be conducted if "*the substance is unreactive, insoluble and not inhalable and there is no evidence of absorption and no evidence of toxicity in a 28-day study, particularly if such a pattern is coupled with limited human exposure*". ECHA notes that all criteria need to be met cumulatively.

ECHA observes that the third party comment only addressed the criteria concerning absorption, insolubility and lack of evidence of toxicity in a 28-day study. The third party did however not provide sufficient experimental evidence of no absorption and that the substance is not soluble in this regard. An adaptation would finally need to demonstrate that all conditions of the adaptation possibility are fulfilled.

Therefore the criteria listed in Column 2 of Annex IX, section 8.6.2., fourth indent are not met and the information requirement for the sub-chronic toxicity study (90-day) cannot be adapted on this basis.

c) Outcome

Therefore, pursuant to Article 40(3)(c) of the REACH Regulation, the Registrant is requested to carry out the following study with the registered substance subject to the present decision: Sub-chronic toxicity study (90-day) in rats, oral route (test method: EU B.26/OECD 408).

IV. Adequate identification of the composition of the tested material

The process of examination of testing proposals set out in Article 40 of the REACH Regulation aims at ensuring that the new studies meet real information needs. Within this context, the Registrant's dossier was sufficient to confirm the identity of the substance to the extent necessary for examination of the testing proposal. The Registrant must note, however, that this information has not been checked for compliance with the substance identity requirements set out in Section 2 of Annex VI of the REACH Regulation.

In addition, it is important to ensure that the particular sample of substance tested in the new studies is appropriate to assess the properties of the registered substance, taking into account any variation in the composition of the technical grade of the substance as actually manufactured. If the registration of the substance covers different grades, the sample used for the new studies must be suitable to assess these.

Finally, there must be adequate information on substance identity for the sample tested and the grade(s) registered to enable the relevance of the studies to be assessed.

V. Information on right to appeal

An appeal may be brought against this decision to the Board of Appeal of ECHA under Article 51(8) of the REACH Regulation. Such appeal shall be lodged within three months of receiving notification of this decision. Further information on the appeal procedure can be found on the ECHA's internet page at <http://www.echa.europa.eu/regulations/appeals>. The notice of appeal will be deemed to be filed only when the appeal fee has been paid.

Authorised^[1] by Leena Ylä-Mononen, Director of Evaluation

^[1] As this is an electronic document, it is not physically signed. This communication has been approved according to ECHA's internal decision-approval process.