### **CLH** report

### Proposal for Harmonised Classification and Labelling

Based on Regulation (EC) No 1272/2008 (CLP Regulation), Annex VI, Part 2

### **Chemical name:**

# Reaction mass of 1,3-dioxan-5-ol and 1,3-dioxolan-4-ylmethanol (glycerol formal)

EC Number: N/A

CAS Number: N/A

**Index Number:** 603-RST-VW-Y

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#### 1 IDENTITY OF THE SUBSTANCE

#### 1.1 Name and other identifiers of the substance

Table 1: Substance identity and information related to molecular and structural formula of the substance

Name(s) in the IUPAC nomenclature or other international chemical name(s)	Reaction mass of 1,3-dioxan-5-ol and 1,3-dioxolan-4-ylmethanol
Other names (usual name, trade name, abbreviation)	Glycerol formal
ISO common name (if available and appropriate)	Not applicable
EC number (if available and appropriate)	Not applicable
EC name (if available and appropriate)	Reaction mass of 1,3-dioxan-5-ol and 1,3-
	dioxolan-4-ylmethanol
CAS number (if available)	-
Other identity code (if available)	Index Number: 603-RST-VW-Y
Molecular formula	C <sub>4</sub> H <sub>8</sub> O <sub>3</sub> (for both substances in the reaction mass)
Structural formula	oH HO
SMILES notation (if available)	1,3-dioxan-5-ol: OC1COCOC1
	1,3-dioxolan-4-ylmethanol: OCC1COCO1
Molecular weight or molecular weight range	104.11 g/mol
Information on optical activity and typical ratio of (stereo) isomers (if applicable and appropriate)	The isomer 1,3-dioxolan-4-ylmethanol has a chirality centre and therefore occurs as a pair of enantiomers (no information on ratio of isomers available)
Description of the manufacturing process and identity of the source (for UVCB substances only)	Not relevant
Degree of purity (%) (if relevant for the entry in Annex VI)	Not relevant

Glycerol formal is a glycerol acetal that is composed of about 60% 1,3-dioxan-5-ol and 40% 1,3-dioxolan-4-ylmethanol in an equilibrium. It can be prepared by reacting glycerol and formaldehyde in the presence of an acid catalyst1. The equilibrium between the isomers occurs via the open-chain form of the hemiacetal (see Figure 1).

<sup>1 &</sup>lt;a href="https://www.sigmaaldrich.com/catalog/product/ALDRICH/49920?lang=de&region=DE">https://www.sigmaaldrich.com/catalog/product/ALDRICH/49920?lang=de&region=DE</a>; See confidential annex I for typical concentration/concentration range for the constituents based on the information of the registrant

Figure 1: equilibrium between 1,3-dioxan-5-ol and 1,3-dioxolan-4-ylmethanol via the open-chain form of the hemiacetal (https://commons.wikimedia.org/w/index.php?curid=19892044)

The isomer 1,3-dioxolan-4-ylmethanol has a chirality centre and therefore occurs as a pair of enantiomers.

#### 1.2 Composition of the substance

Table 2: Constituents (non-confidential information)

Constituent (Name and numerical identifier)	Concentration range (% w/w minimum and maximum in multiconstituent substances)	Annex VI Table 3 (CLP)	Current self- classification and labelling (CLP)*
1,3-dioxolan-4-ylmethanol CAS number: 5464-28-8 EC number: 226-758-4	Confidential information	No harmonised classification available	Eye Irritation 2 (H319)
1,3-dioxan-5-ol CAS number: 4740-78-7 EC number: 225-248-9	Confidential information	No harmonised classification available	Eye Irritation 2 (H319)

<sup>\*</sup> ECHA C&L Inventory (2021) Information on Chemicals - Classification & Labelling Inventory, European Chemicals Agency. Online: http://echa.europa.eu/information-on-chemicals/cl-inventory

In several study reports available on ECHA Dissemination (2021) the test material is given as a mixture with constant ratio of 5-hydroxy-1,3-dioxane (60%) and 4-hydroxymethyl-1,3-dioxolane (40%).

The following self-classification has been provided by the registrant for glycerol formal:

- Eye Irrit. 2 (H319)
- Repr. 2 (H361)

The test substance is glycerol formal in all studies where the test substance was explicitly stated. The purity is given in the study records below if available.

Table 3: Impurities (non-confidential information) if relevant for the classification of the substance

Impurity (Name and numerical identifier)	Concentration range (% w/w minimum and maximum)	Current Annex VI (CLP)		Current classification labelling (CLP)	The impurity contributes to the classification and labelling
Confidential					

#### CLH REPORT FOR GLYCEROL FORMAL

information		

In several study reports available on ECHA Dissemination (2021) the following impurities of the test substance are mentioned: "stabilised with 0.02% ethylenediamine tetraacetic acid-sodium salt; 0.02% propylgallate and 0.01% thiodipropionic acid".

Table 4: Additives (non-confidential information) if relevant for the classification of the substance

Additive (Name and numerical identifier)	Function	Concentration range (% w/w minimum and maximum)	Current CLH in Annex VI Table 3 (CLP)	classification	The additive contributes to the classification and labelling
No information on additives available					

#### 2 PROPOSED HARMONISED CLASSIFICATION AND LABELLING

#### 2.1 Proposed harmonised classification and labelling according to the CLP criteria

Table 5: For substance with no current entry in Annex VI of CLP

	Index No	Chemical name	EC No	CAS No	Classif	fication	Labelling			Specific Conc. Notes Limits, M-factors	
						Hazard statement Code(s)	Pictogram, Signal Word Code(s)	Hazard statement Code(s)	Suppl. Hazard statement Code(s)	and ATEs	
Current Annex VI entry		No current Annex VI entry									
Dossier submitter's proposal	603-RST- VW-Y	Reaction mass of 1,3-dioxan- 5-ol and 1,3-dioxolan-4- ylmethanol	-	-	Repr. 1B	H360 Df	GHS08 Danger	H360 Df		-	

Table 6: Reason for not proposing harmonised classification and status under public consultation

Hazard class	Reason for no classification	Within the scope of public consultation
Explosives	hazard class not assessed in this dossier	No
Flammable gases (including chemically unstable gases)	hazard class not assessed in this dossier	No
Oxidising gases	hazard class not assessed in this dossier	No
Gases under pressure	hazard class not assessed in this dossier	No
Flammable liquids	hazard class not assessed in this dossier	No
Flammable solids	hazard class not assessed in this dossier	No
Self-reactive substances	hazard class not assessed in this dossier	No
Pyrophoric liquids	hazard class not assessed in this dossier	No
Pyrophoric solids	hazard class not assessed in this dossier	No
Self-heating substances	hazard class not assessed in this dossier	No
Substances which in contact with water emit flammable gases	hazard class not assessed in this dossier	No
Oxidising liquids	hazard class not assessed in this dossier	No
Oxidising solids	hazard class not assessed in this dossier	No
Organic peroxides	hazard class not assessed in this dossier	No
Corrosive to metals	hazard class not assessed in this dossier	No
Acute toxicity via oral route	hazard class not assessed in this dossier	No
Acute toxicity via dermal route	hazard class not assessed in this dossier	No
Acute toxicity via inhalation route	hazard class not assessed in this dossier	No
Skin corrosion/irritation	hazard class not assessed in this dossier	No
Serious eye damage/eye irritation	hazard class not assessed in this dossier	No
Respiratory sensitisation	hazard class not assessed in this dossier	No
Skin sensitisation	hazard class not assessed in this dossier	No
Germ cell mutagenicity	hazard class not assessed in this dossier	No
Carcinogenicity	hazard class not assessed in this dossier	No
Reproductive toxicity	harmonised classification proposed; Repr. 1B (H360Df)	Yes
Specific target organ toxicity- single exposure	hazard class not assessed in this dossier	No
Specific target organ toxicity- repeated exposure	hazard class not assessed in this dossier	No
Aspiration hazard	hazard class not assessed in this dossier	No
Hazardous to the aquatic environment	hazard class not assessed in this dossier	No
Hazardous to the ozone layer	hazard class not assessed in this dossier	No

#### 3 HISTORY OF THE PREVIOUS CLASSIFICATION AND LABELLING

There is no harmonised classification and labelling available for glycerol formal. The substance has not been included in former activities on harmonised classification.

#### 4 JUSTIFICATION THAT ACTION IS NEEDED AT COMMUNITY LEVEL

There is no requirement for justification that action is needed at Community level.

The substance has CMR properties (reproductive toxicity). Harmonised classification and labelling for CMR properties is a community-wide action under article 36 of the CLP regulation.

#### 5 IDENTIFIED USES

According to ECHA disseminated database (ECHA Dissemination, 2021) the substance is used at industrial sites in the following products: coatings, adhesives, sealants and elastomers. It is used as laboratory chemicals and as an intermediate. Professional uses also include the use in agrochemicals. According to ECHA disseminated database, the substance is also used in consumer products including home care products (air care products, anti-freeze and de-icing products, biocidal products, perfumes and fragrances, pharmaceuticals, polishes and wax blends and washing and cleaning products), fuels, agrochemicals and coatings, adhesives, sealants and elastomers. According to the registrant, the current consumer uses concern solely agrochemical products.

#### 6 DATA SOURCES

Systematic searches for publications and other relevant data were performed based on the following databases:

- U.S. National Library of Medicine, Pubmed.gov
- TOXNET, ChemIDplus, IPCS, eChemPortal
- Medline, SciSearch, Biosis, PQscitech, Chemical Abstracts (HCA), Embase (at host STN International)

The REACH registration dossier for glycerol formal (last modified: 7 October 2020), publicly available from ECHA's disseminated database (ECHA Dissemination, 2021), has been analysed for study references, which then have been considered as data sources for this CLH report. Additionally, the confidential registration dossier was available for evaluation as well as several original study reports.

No relevant reviews and monographs with toxicological risk assessments on glycerol formal were identified.

Whenever secondary sources were encountered, it was attempted to retrieve the respective primary sources.

#### 7 PHYSICOCHEMICAL PROPERTIES

Table 7: Summary of physicochemical properties

Property	Value	Reference	Comment (e.g., measured or estimated)
Physical state at 20°C and 101.3 kPa	Liquid	ECHA Dissemination (2021)	visual observation
Melting/freezing point	- 50 °C	ECHA Dissemination (2021)	measured, at 101.3 kPa
Boiling point	193.95 °C	ECHA Dissemination (2021)	calculated from measured data on isomers reported in scientific

			literature, at 101.3 kPa	
Relative density	1.218	ECHA Dissemination (2021)	calculated from measured data on isomers reported in scientific literature, at 20 °C or 25 °	
Vapour pressure	30 Pa	ECHA Dissemination (2021)	calculated from measured data, at 20 °C	
Surface tension	44.49 mN/m	ECHA Dissemination (2021)	measured, at 25 °C	
Water solubility	500 g/L	ECHA Dissemination (2021)	calculated from log Kow, at 20 °C	
Partition coefficient n- octanol/water	- 0.99	ECHA Dissemination (2021)	measured	
Flash point	99 °C	ECHA Dissemination (2021)	measured	
Flammability	non flammable	ECHA Dissemination (2021)	study scientifically not necessary since flash point is over 60 °C	
Explosive properties	nonexplosive	ECHA Dissemination (2021)	study scientifically not necessar based on structural assessment of th substance	
Self-ignition temperature	> 400 °C	ECHA Dissemination (2021)	reported from secondary source (MSDS), measured at 101.3 kPa	
Oxidising properties	no oxidising properties	ECHA Dissemination (2021)	study scientifically not necessary based on structural assessment of the substance	
Granulometry	not applicable	ECHA Dissemination (2021)		
Stability in organic solvents and identity of relevant degradation products	Not considered to be critical	ECHA Dissemination (2021)	study scientifically not necessary: In accordance with column 1 of REACH Annex IX, the study does not need to be conducted since stability of the substance is not considered to be critical.	
Dissociation constant	No	ECHA Dissemination (2021)	study scientifically not necessary based on structural assessment of the substance and SPARC calculation of pKa is > 10	
Viscosity	11.7 mm <sup>2</sup> /s	ECHA Dissemination (2021)	measured, at 25 °C	

#### 8 EVALUATION OF PHYSICAL HAZARDS

Not performed for this substance.

# 9 TOXICOKINETICS (ABSORPTION, METABOLISM, DISTRIBUTION AND ELIMINATION)

Table 9: Summary table of toxicokinetic studies

Method	Results	Remarks	Reference
Toxicokinetic	No additional information available than the one reported		Study report, 2013
assessment based on	here.		reported from
physical/chemical			ECHA
properties of the	Absorption:		Dissemination
substance and available	Partition coefficient: -0.99		(2021)
open literature.	water solubility: ~500 mg/L		
_	→ These properties of the substance are favourable for		

#### Weight of evidence

absorption.

#### Oral/GI absorption

Low molecular weight of the substance (104.11 g/mol) is favourable for absorption. The moderate log Kow value is favourable for passive diffusion.

For risk assessment purposes, the oral absorption is set at 100% in the registration dossier.

#### Respiratory absorption

With a vapor pressure of 0.03 kPa, glycerol formal shows a low volatility. The moderate log Kow value is favourable for absorption directly across the respiratory tract epithelium by passive diffusion.

For risk assessment purposes, the inhalation absorption is set at 100% in the registration dossier.

#### **Dermal** absorption

As water solubility is >10 g/L and the log Kow value is <1, glycerol formal may be too hydrophilic to cross the lipid rich environment of the stratum corneum.

However, a dermal absorption of 100% is proposed for risk assessment purposes in the registration dossier.

#### Distribution:

Distribution of glycerol formal in the body is expected based on its low molecular weight.

#### Accumulative potential:

With a log Kow < 4, glycerol formal is not expected to have bioaccumulative potential.

#### Metabolism and excretion:

Based on the available data, no conclusions can be drawn on the metabolism and excretion of glycerol formal

# 9.1 Short summary and overall relevance of the provided toxicokinetic information on the proposed classification(s)

Information on toxicokinetic properties of glycerol formal is very limited. The only available information is the entry in the registration dossier in the section "basic toxicokinetics". In this entry oral, inhalation and dermal absorption of glycerol formal are estimated based on physico-chemical properties (low molecular weight, moderate log Kow, low volatility) of the substance. For risk assessment purposes 100% absorption was assumed by the registrants for all three exposure routes. Also based on the low molecular weight of the substance the registrants expected a distribution of glycerol formal in the body but no accumulative potential due to the log Kow < 4. Based on the available data no conclusions on metabolism and excretion could be drawn.

#### 10 EVALUATION OF HEALTH HAZARDS

#### **Acute toxicity**

#### 10.1 Acute toxicity - oral route

Evaluation not performed for this substance.

#### 10.2 Acute toxicity - dermal route

Evaluation not performed for this substance.

#### 10.3 Acute toxicity - inhalation route

Evaluation not performed for this substance.

#### 10.4 Skin corrosion/irritation

Evaluation not performed for this substance.

#### 10.5 Serious eye damage/eye irritation

Evaluation not performed for this substance.

#### 10.6 Respiratory sensitisation

Evaluation not performed for this substance.

#### 10.7 Skin sensitisation

Evaluation not performed for this substance.

#### 10.8 Germ cell mutagenicity

Evaluation not performed for this substance.

#### 10.9 Carcinogenicity

Evaluation not performed for this substance.

#### 10.10 Reproductive toxicity

#### 10.10.1 Adverse effects on sexual function and fertility

No studies explicitly addressing the endpoint "adverse effects on sexual function and fertility" are available in the registration dossier.

In the following table repeated dose studies relevant for the endpoint sexual function and fertility are reported.

Table 10: Summary table of other studies relevant for toxicity on sexual function and fertility

			spermiogenesis in 2/10 animals with single atrophic seminiferous tubules in 1/10	
			1218 mg/kg bw/d:	
			Uterus: inflammatory manifestations in 1/10 animals	
			Testes: changes in all animals (10/10), from slight inhibition of spermiogenesis to total atrophy	
			Seminal vesicles: considerable changes in 4 animals (plenty of excretion with swarms of desquamated cells)	
			Epididymis: changes in 8/10 animals, consisting in abnormal content in ductus epididymidis and changes in the epididymal tubes.	
			Data for recovery group:	
			12 mg/kg bw/d:	
			Uteri: inflammatory	
			manifestations in 1/2 animals	
			121 mg/kg bw/d:	
			Testes: atrophic seminiferous tubules in low number and a slight interstitial oedema (no further details provided) in 1/2 animals	
			1218 mg/kg bw/d:	
			Testes: in both animals (2/2) tubules with disturbed spermiogenesis to complete atrophy	
			Epididymis: degenerated cells from the seminal epithelium in the ductus epididymidis in 2/2 animals	
16 week toxicity study	Aqueous paste of diet containing glycerol formal (no	Administration orally via diet 316, 1000, 3162, 10000 ppm (corresponding to	No additional details or incidence data available than the ones reported below.  Examination of reproductive	Study report (no date given) reported from ECHA Dissemination (2021)
guideline followed	further	15.8, 50, 158 and 500 mg/kg bw/d, converted cf.	organs is not reported in this study.	Study: 002 in section on repeated oral
GLP: no	information available)	the CLP-Guidance)	Reduced rate of weight gain in	toxicity (supp)
Male Wistar rats		Exposure duration: 16 weeks	3162 and 10000 ppm group	
10 animals /				
dose group				

90-day toxicity informat (no information on purity or offeed)  Similar to OFCD Tid 9000000000000000000000000000000000000	4				
study with i.v. 2060) impurities: stabilised with 0.02% guideline followed mine GLP: not specified New Zealand White rabbits 5 animals /dose group (3 females and 2 males and 1 male in control) (3 females and 1 male in control) (40%) (	90-day toxicity study Similar to OECD TG 408 GLP: yes CRCD rats 20 animals /sex/dose group Reliability:	formal (no information on purity	gavage 0, 1, 5, 25 mg/kg bw/d Vehicle: water Exposure duration: 90 days Rats used in this experiment have been previously "in- utero" exposed before oral gavage administration. The dosing of the females was 0, 1, 5, 25 mg/kg bw/d starting two weeks before mating until PND 20. Tested concentrations are quite low (maximum of 25 mg/kg bw/d) in comparison with limit concentration stated in OECD TG 408	epididymis, prostate were studied histopathologically  No treatment related effects were observed.  In one animal of the control and one animal of the highest dose group unilateral testicular degeneration was observed. The effect was more severe in the control animal. No effects were noted during the histopathological analysis of ovaries, uterus, epididymis, and	reported from ECHA Dissemination (2021) Study: 003 in section on repeated oral
90-day Glycerol Administration No clinical signs were observed. Study report (no date	study with i.v. application No guideline followed GLP: not specified New Zealand White rabbits 5 animals /dose group (3 females and 2 males in exposure groups and 4 females and 1 male in control) Reliability: 3 due to low number of	formal (ST 2060) impurities: stabilised with 0.02% ethylenedia mine tetraacetic acid-sodium salt; 0.02% propylgallate and 0.01% thiodipropio nic acid Mixture with constant ratio of 5-hydroxy-1,3-dioxane (60%) and 4-hydroxymet hyl-1,3-dioxolane	Administration intravenously (into the ear vein) daily in 30% dilution with 0.9 % NaCl solution 0, 0.024, 0.24 mL/kg bw/d Using the density of 1.218 g/mL, the following doses were calculated by the dossier submitters: 0, 29.2 and 292 mg/kg bw/d Vehicle: 0.9% NaCl solution	were studied histopathologically No effects on body weight were observed.  The study authors stated that differences in comparison to the organs of the control animals appeared only in the liver in dose group II (0.24 mL/kg).  In the study report it is stated that in the control male "the tubuli contorti seminiferi show the picture of an only moderately active spermiogenesis".  In the low dose group, no effects on testes were observed (2 animals). In the high dose group 1/2 animals showed a reduced spermiogenesis and abnormal elements such as polynuclear cells in the spermatic epithelium.  The authors of the study concluded that differences in comparison to the organs of the control animals appeared only in the liver of the high dose group.  Due to the low number of animals per dose group the	given) reported from ECHA Dissemination (2021) Study: 001 in section on repeated dose toxicity, other routes
20 day 1 1 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1	90-day	Glycerol	Administration	No clinical signs were observed.	Study report (no date

formal (ST study with subcutaneo 2060) us impurities: application stabilised with 0.02% No ethylenedia guideline mine followed tetraacetic GLP: not acid-sodium specified salt; 0.02% propylgallate Sprague and 0.01% Dawley rats thiodipropio nic acid 10 animals/sex Mixture with /dose constant 2 additional ratio of 5hydroxy-1,3animals/sex dioxane /dose were

treated

comparably

but held for

after end of

exposure to look for

regeneratio

Reliability:

application

n.

3

due to subcutaneo

six weeks

(60%) and 4-

hydroxymet

hyl-1,3-

(40%)

dioxolane

subcutaneously (location not specified) daily in 30% (or 50%) dilution with 0.9 % NaCl solution

0, 0.24, 0.48 and 1.20 mL/kg bw/d

Using the density of 1.218 g/mL, the following doses were calculated by the dossier submitters:

0, 292.3, 584.6, 1461.6 mg/kg bw/d

dose I: 0.24 mL/kg (30% solution)

dose II: 0.48 mL/kg (30% solution)

dose III1: 1.20 mL/kg (30% solution)

dose III2:

1.20 mL/kg (50% solution)

Vehicle: 0.9% NaCl solution

Exposure duration: 90 days

Relative increase in body weight in % of control at the end of exposure:

Females: 155.5, 137.8, 155.5, 115.6%, males: 98.0, 79.8, 58.6, 60.6 (dose I, II, III1, III2). Values reported here as given by study authors. However, contradictory information on initial weight of control females is reported in figure 1-1 and table 3 of the original study report (initial body weight in control animals assumed to be quite high, as reported in table 3, compared to other exposure groups). Increase in body weight of females compared to control may be biased by this.

Ovary, uterus, testes, epididymis and seminal vesicle studied histopathologically

The relative organ weights (in percent of body weight) of the following organs were reduced in both dose groups III: testes (0.75/0.82/0.79/0.38/0.46), epididymis (0.25/0.25/0.25/0.16/0.19), ovaries (0.039/0.037/0.035/0.033/0.030)

#### Effects on testes and epididymis:

Control (including regeneration animals): Subtotal atrophy in testes in 1/12 animals. Also changes in epididymis and seminal vesicles of the same animal

dose I: testis changes (including reduced spermiogenesis) in 1/10 animals.

dose II: disturbances of spermiogenesis with interstitial oedema in 5/10 animals.

dose III1: atrophy of the seminiferous tubules connected with an interstitial oedema in 10/10 animals, also deviations from normal findings in epididymis and seminal vesicles.

dose III2: changes in all animals, in 8/10 a subtotal to total atrophy of the seminal tubules, changes in the epididymis and seminal vesicles analogous to the picture

given) reported from ECHA Dissemination (2021)

Study: 002 in section on repeated dose toxicity, other routes (supp)

			of the testes.  Effects on ovaries reported in the highest dose group only:  dose III1: only small to mediumsized tertiary follicles dose III2: small to only middlesized follicles in 8/10 animals.  Data for recovery group: dose I: no changes observed (0/2) dose II: no changes observed (0/2) dose III: ovaries: only small to	
90-day study with intramuscul ar application No guideline followed GLP: not specified Beagle dogs 2 males and 2 females/exp osure group, 3 males and 2 females for control group Reliability: 3 due to low number of animals	Glycerol formal (ST 2060) impurities: stabilised with 0.02% ethylenedia mine tetraacetic acid-sodium salt; 0.02% propylgallate and 0.01% thiodipropio nic acid Mixture with constant ratio of 5-hydroxy-1,3-dioxane (60%) and 4-hydroxymet hyl-1,3-dioxolane (40%)	Administration intramuscular (gluteal muscle) in 30% (or 50%) dilution with 0.9 % NaCl solution 0, 0.024, 0.24 mL/kg bw/d Using the density of 1.218 g/mL, the following doses were calculated by the dossier submitters: 0, 29.23, 292.3 mg/kg bw/d dose I: 0.024 mL/kg - (30% solution) dose II1: 0.24 mL/kg - (30% solution) dose II2: 0.24 mL/kg - (50% solution)	medium-sized tertiary follicles in 2/2 animals. Testis: a slight interstitial oedema in 1/2 animals and a partial atrophy in the other as well as changes of epididymes and seminal vesicle.  dose III2: Ovaries: small to medium-sized tertiary follicles in 2/2 animals. Testes and epididymes: deviations from the normal findings in 1/2 animals  No clinical signs or changes in body or organ weights compared to control animals were observed.  Ovary, uterus, testes and epididymis were studied histopathologically  No effects on ovaries and uterus were reported.  Effects on testes and epididymis: dose II1: negligible depression of spermiogenesis and also changes in the content of the tubules of the epididymis in 2/2 animals.  dose II2: testis of 1/2 animals partly an atrophy of the seminiferous tubules and changes of the epididymis in the same animal	Study report (no date given) reported from ECHA Dissemination (2021) Study: 003 in section on repeated dose toxicity, other routes (supp)

		Exposure duration: 90 days		
Oral reproductio n study in female rats "in utero study" Charles River CD rats 20 females/dos e group Reliability: 3 due to low dosage	Glycerol formal (purity: >99%)	Administration oral via gavage in water  0, 1, 5, 25 mg/kg bw/d  Exposure: 14 days prior to mating (with unexposed males), during mating and gestation until PND 20 of pups  Pups were used for 90 day oral toxicity study reported above in this table	No clinical signs observed in females  No effects on body weight of dams observed  No effects on reproductive status, mating performance, length of gestation, and postimplantation survival rate observed	Study report, 1982b Study reported in detail in Annex I (study 2)
Fertility study CRCD rats 20 males/dose group Reliability: 3 due to low dosage	Glycerol formal (purity: presumably >99%)	Administration oral via gavage in water  0, 1, 5, 25 mg/kg bw/d  Males used for this study were in utero exposed (see oral reproduction study in female rats reported one line above)  Subsequently the males were exposed for 90 days to 0, 1, 5, 25 mg/kg bw/d. In exposure week 11 they were cohabited with untreated females (two females/male). On GD 14 females were sacrificed and reproductive parameters were recorded.	No effect on male fertility was observed (time to mating, number of mated females/total number of females, number of pregnant females/total number of mated females)  No effects observed on preimplantation loss, number of resorptions/number of implants, number of live foetuses/pregnant female.  A slight increase in preimplantation losses was observed in females mated to high dose males (not statistically significant). This was not considered treatment-related by the study authors but the result of a single female which had only one foetus. The second female mated with the same male had a normal litter size.	Study report, 1982c Study reported in detail in Annex I (study 3)

# 10.10.2 Short summary and overall relevance of the provided information on adverse effects on sexual function and fertility

No reliable studies explicitly addressing the endpoint "sexual function and fertility" are available in the registration dossier. Six repeated dose toxicity studies (28-day and 90-day studies and one 16-week study) are reported in the registration dossier.

Although only three of these studies are oral toxicity studies (the other three studies used parenteral application forms - administration via the intravenous, intramuscular or subcutaneous route), all of these six repeated dose toxicity studies are considered and discussed for adverse effects on sexual function and fertility. The registrant also provided study reports for two additional studies not included in the registration dossier. Additional studies in the literature were not identified.

A 90-day repeated dose toxicity study from 1973 performed similar to OECD test guideline 408 and a reliability of 2 is reported (study report, 1973, ECHA Dissemination (2021)). This study is considered as the key study for effects on sexual function and fertility. The original study report was made available to the dossier submitters. Detailed information of this study can be found in Annex I.

The study was performed with ten Sprague Dawley rats per sex and dose group and two additional animals per sex and dose which were used as recovery group and were held for six weeks after the end of exposure. The animals were exposed via gavage to 0, 0.01, 0.10 and 1.00 mL/kg bw/d. Using the density of 1.218 g/mL for glycerol formal, the doses were transformed to the more common unit mg/kg bw/d (0, 12, 121, 1218 mg/kg bw/d). Water was used as vehicle and the rats were exposed daily for 90 days.

In the high dose group, 4 animals died (2/12 males and 2/12 females). No other clinical signs were observed. A delay in body weight gain was observed in all dose groups. At the end of exposure, weight gain in percent was 89.74, 94.87 and 66.67% in females and 80.87, 68.31 and 57.36% in males (low, middle, high dose group) compared to controls. This decrease is associated with a reduced intake of feed. No overt signs of toxicity were observed. Relative organ weights of testes, epididymis, seminal vesicle and uterus of animals of the highest dose group were reduced.

During gross pathology and histopathological analysis no effects were observed on the reproductive organs in the control group. In the low dose group examination of testes revealed slight inhibition of spermiogenesis in 1/10 animals, in the middle dose a partly inhibition of spermiogenesis in 2/10 animals with single atrophic seminiferous tubules in one of them was observed. The high dose group showed changes in testes in all animals (10/10), from slight inhibition of spermiogenesis to total atrophy; there were considerable changes in seminal vesicles of 4 animals (plenty of excretion with swarms of desquamated cells) and changes in the epididymis in 8/10 animals, consisting mainly in abnormal content in the ductus epididymidis and changes in the epididymal tubes. The study provides detailed descriptions of histopathological observations. However, no information on the severity of the effects is provided (e.g., severity scores) and no analysis of sperm parameters (number, quality etc.) was performed. Therefore, it is not specified whether the reduced spermiogenesis results in oligospermia or even aspermia.

Effects in uteri of female animals were not dose-dependent. Inflammatory manifestations were observed in 2/10, 3/10 and 1/10 animals in the low, middle and high dose group.

Effects on testes were also observed in the animals held for six weeks after the end of exposure.

It is however noted that the adverse effects on reproductive organs were observed, at least partially, in the presence of general toxicity as mortality was observed in the high dose group (i.e. 2 out of 12 males and 2 out of 12 females died). It is not clear whether this may have contributed to the observed effects on reproductive organs, however this cannot be excluded. In relation to this, it is noted that the dose spacing may not be fully appropriate, i.e. the intervals between the chosen dose levels (12, 121, 1218 mg/kg bw/d) may be quite large. Thereby hampering the interpretation of the observed general toxicity and its potential association with the adverse effect on reproductive organs. Finally, the dose level of the high dose group (i.e. 1218 mg/kg bw/d) is slightly above the limit dose of 1000 mg/kg bw/d as mentioned in OECD TG 408. Nevertheless, it is considered likely that a slightly lower dose level of just below 1000 mg/kg bw/d may cause similar adverse effects on testis.

Overall, the dose-dependent increase of adverse effects on testes can be considered relevant and the basis for a classification, though some uncertainties are noted in relation to this oral 90-day rat study (study report, 1973).

In a second subchronic toxicity study (16 week study) with oral exposure, male Wistar rats (10 animals/dose group) were exposed to an aqueous paste of diet containing glycerol formal (no additional information on test material available) (study report, no date given according to ECHA Dissemination (2021). Due to the limited documentation of the study, it is considered as "not reliable". Animals were exposed to 316, 1000, 3162 and 10000 ppm in the diet (corresponding to 15.8, 50, 158 and 500 mg/kg bw/d, converted cf. the CLP-Guidance). Examination of reproductive organs is not reported in this study.

The third subchronic oral toxicity study reported in the registration dossier is a 90-day gavage study with 20 animals per sex and dose group (study report 1982a, ECHA Dissemination (2021)). The study is similar to OECD test guideline 408 and performed under GLP conditions. However, the animals were exposed to very

low doses of glycerol formal only (0, 1, 5, 25 mg/kg bw/d) compared to the limit doses stated in OECD test guideline 408 (1000 mg/kg bw/d). In addition, animals were previously "in-utero" exposed before oral gavage exposure (this "in utero exposure study" is available to the submitters of the CLH proposal and reported separately). Ovaries, uterus, testes, epididymis and prostate were studied histopathologically and no treatment related effects were observed. The only effect observed was unilateral testicular degeneration in one control and one high-dose group male. Again, only qualitative descriptions of histopathological findings are presented. The "in-utero exposure study" (study report, 1982b) is not included in the registration dossier. However, the study report was available to the dossier submitters and the study is presented in detail in Annex I. For the in-utero exposure female Charles River rats (20 animals/dose group) were exposed via gavage to 0, 1, 5 or 26 mg/kg bw/d starting 14 days prior to mating, during mating (with unexposed males) and gestation up to PND 20. No clinical signs were observed in females, and no effects were reported on reproductive status, mating performance, length of gestation, or postimplantation survival rate (for details see tables in Annex I, study 2). Due to the low administered dose the study was assigned a reliability of 3.

As an "add-on" to the 90-day toxicity study with the in utero exposed rats, a fertility study was performed (study report, 1982c; study also not included in the registration dossier but available to the dossier submitters and presented in detail in Annex I). For this study male in utero exposed rats were treated with 0, 1, 5, 25 mg/kg bw/d. After 11 weeks of exposure, they were cohabited with untreated females (two females/male). On GD 14 females were sacrificed and reproductive parameters were recorded. No effects on male or female fertility or reproductive parameters were observed (for details see table in Annex I, study 3). As noted for the "in utero study" and the 90-day toxicity study with in utero treated rats, the reliability of the study was considered as 3 due to the low administered doses.

The following section summarises the repeated dose toxicity studies that have been performed with intravenous, subcutaneous or intramuscular application. The original study reports of these studies are available to the dossier submitters.

In a 28-day toxicity study with new Zealand White rabbits 5 animals per dose group (male and female in total) were intravenously exposed to 0, 0.024, 0.24 mL/kg bw glycerol formal (study report, no date given, reported in ECHA Dissemination (2021). Using the density of 1.218 g/mL, doses of 0, 29.2 and 292 mg/kg bw/d were calculated by the dossier submitters. Due to the application route and the limited number of exposed animals a reliability of 3 was assigned by the dossier submitters. Uterus, testes and epididymis were studied histopathologically. The study authors stated that differences in comparison to the organs of the control animals appeared only in the liver in dose group II (0.24 mL/kg). In the study report it is stated that the one control male showed "only moderately active spermiogenesis". In the low dose group, no effects on testes were observed in both animals. In the high dose group 1/2 animals showed a reduced spermiogenesis and abnormal elements such as polynuclear cells in the spermatic epithelium. Due to the low number of animals per dose group, however, the results cannot be interpreted. No effects on body weight were observed. Despite the intravenous application which results per definition in a complete bioavailability of the substance, the highest dose was only 300 mg/kg bw/d. Moreover, the exposure period was only 28 days.

Results from a 90-day repeated dose study with subcutaneous application (location not further specified) in Sprague Dawley rats confirmed the results obtained in the 90-day oral toxicity key study reported above. The study did not follow any OECD test guideline due to its route of application. Therefore, only a reliability of 3 was assigned by the dossier submitters. Nevertheless, the study is of generally good quality.

Ten animals per sex and dose group were exposed daily to 0.24, 0.48 and 1.20 mL/kg bw glycerol formal (study report, no date given, ECHA Dissemination (2021)). Using the density of 1.218 g/mL, doses of 0, 292.3, 584.6, 1461.6 mg/kg bw/d were calculated by the dossier submitters. The substance was administered in 30% 0.9%-NaCl solution except for the high dose which was administered in a 30% or 50% 0.9%-NaCl solution (dose I: 0.24 mL/kg (30% solution), dose II: 0.48 mL/kg (30% solution), dose III1: 1.20 mL/kg (30% solution), dose III2: 1.20 mL/kg (50% solution)). In female animals, weight gain in all test groups was faster compared to the control group. At the end of exposure, the relative increase in body weight in % of control was 155.5, 137.8, 155.5 and 115.6%, compared to control (dose I, II, III1, III2, see explanatory note in Table 10 on the high weight gain in female animals in the exposure groups). Male animals in the low exposure group showed similar weights compared to control animals at the end of exposure (98.0%). At 0.48 mL/kg and 1.20 mL/kg a reduction was observed (dose II: 79.8%, dose III1: 58.6% and dose III2: 60.6%). In

the highest dose groups the weights of testes, epididymis and ovaries, were reduced. The reproductive organs were studied histopathologically. In dose group I testis changes in 1/10 animals were observed. In the middle dose group (dose II) disturbances of spermiogenesis with interstitial oedema were found in 5/10 animals. In the two highest exposure groups (dose group III1 and III2) atrophy of the seminiferous tubules combined with an interstitial oedema was found in all 10 animals accompanied by deviations from normal findings in epididymis and seminal vesicles (dose group III1). In dose group III2 changes in testes were observed in all 10 animals. In 8/10 animals a subtotal to total atrophy of the seminal tubules with changes in the epididymis and seminal vesicles analogous to the picture of the testes was found. In female animals, effects on ovaries were reported for the highest dose groups: In dose group III1 only small to medium-sized tertiary follicles were detected, in dose group III2 only small to middle-sized follicles were found in 8/10 animals. Effects on testes and ovaries were also observed in the animals held for six weeks after the end of exposure.

In a second 90-day toxicity study (no guideline mentioned) with non-oral application, Beagle dogs (2 males and 2 females/exposure group, 3 males and 2 females in control group) were administered glycerol formal intramuscularly in the gluteal muscle. Due to the application route and the limited number of exposed animals a reliability of 3 was assigned by the dossier submitters. The animals were exposed to 0, 0.024, 0.24 mL/kg bw. Using the density of 1.218 g/mL, doses of 0, 29.23 and 292.3 mg/kg bw/d were calculated by the dossier submitters. Glycerol formal was administered in a 30% or 50% dilution with 0.9 % NaCl (only the highest dose was tested in two dilutions (30%, dose II1 and 50%, dose II2) corresponding to the same final glycerol formal content). Ovary, uterus, testes and epididymis were studied histopathologically. No clinical signs or changes in body or organ weights compared to control animals were observed. No effects on uterus and ovaries were reported. In the two high dose groups minimal depression of spermiogenesis and also changes in the content of the tubules of the epididymis were observed in both male animals (dose II1). In dose II2 testis of one of two male animals showed partly an atrophy of the seminiferous tubules and changes of the epididymis.

The studies reported in section 10.10.4 on adverse effects on development are mostly performed similar to OECD TG 414. This means that pregnant female animals were exposed for a relevant time-period to test for effects on the developing organism. Effects on male and also female fertility or sexual function are not monitored with these studies. Therefore, these studies cannot be evaluated in this context.

Overall, results from the 90-day gavage study in Sprague Dawley rats reported as key study show dose-dependent adverse effects on male reproductive organs. These effects are expected to impair reproductive function as they interfere with spermiogenesis. However, several uncertainties are noted. The adverse effects on testis were, at least partially, observed in the presence of general toxicity, as mortality was observed at the high dose. In addition, it is noted that the dose selection was not fully appropriate (dose intervals being quite large), hampering the interpretation of the observed general toxicity and its potential association with the adverse effect on reproductive organs. Further, the study is a repeated dose study and did not include mating of exposed animals and measures of fertility (e.g., number of pregnant females). In addition, no sperm parameters were obtained. Effects on female animals are observed but to a lesser degree and are not dose-dependent. Due to severe limitations, the two other oral toxicity studies are not reliable and cannot be used to support the findings observed in the key study.

However, the findings in the key study (effects on male reproductive organs) are supported by findings in two 90-day toxicity studies with either subcutaneous application in rats or intramuscular application in Beagle dogs. Both studies have their limitations (reliability 3) mainly because of the application route and in case of the dog study because of the limited number of animals, but the results are considered as solid. The study with intravenous application in rabbits cannot be used in a supportive way, since number of animals per sex and dose group was too low.

The only studies that included exposure during mating and measurements of resultant reproductive parameters were study report (1982b) and study report (1982c). It is however noted that the applied dose levels in these two studies are quite low. Therefore, the absence of effects in these studies cannot be used to justify the absence of reproductive effects in general.

Overall, only evidence from a non-mating study is available (study report 1973). In this study detailed histological examinations of the reproductive organs are provided but no severity scores for the observed

effects are given (e.g., for atrophy of testes). The effects are dose-dependent and considered relevant for humans. Using this study as a basis for classification is associated with uncertainties, since the adverse effects on testis were observed, at least at the high dose level, in the presence of general toxicity (mortality). In addition, there are some uncertainties related to the dose selection (dose intervals being quite large), thereby hampering the interpretation of the observed general toxicity and its potential association with the adverse effect on reproductive organs. Further, classical reproductive effects (e.g., sperm parameters, number of pregnant females) are not available and no information is provided on the outcome of mating.

It should however be acknowledged that humans are much more sensitive to impairments of sperm production or sperm quality compared to rats. This means that while rats still can produce the same number of offspring with a substantially reduced number of fertile sperm, impaired fertility in men can be observed at only slightly reduced sperm numbers or sperm quality. According to Working (1988) the number of sperm per human ejaculate is typically only two-to four-fold higher than the number at which fertility is significantly reduced, while this number is up to 1400-fold in rats or rabbits. Slight impairment of sperm number or quality in rats will therefore have less dramatic effects compared to humans.

Based on these considerations the effects on testes provide some evidence for classification of glycerol formal for effects on sexual function and fertility (see following section).

#### 10.10.3 Comparison with the CLP criteria

For potential classification with regard to adverse effects on sexual function and fertility, criteria from CLP Regulation (EC, 2008)2 in combination with explanations from the Guidance on the Application of the CLP criteria (ECHA, 2017) were applied. Any adverse effect of glycerol formal on the female and male reproductive system, on the onset of puberty, gamete production and transport, reproductive cycle, sexual behaviour, fertility, parturition, pregnancy outcomes, premature reproductive senescence, or modifications in other functions that are dependent on the integrity of the reproductive systems were considered. For potential classification of glycerol formal, classification criteria were analysed accordingly:

Comparison with Category 1 criteria

• Known human reproductive toxicant (1A)

Substances are classified in Category 1 for reproductive toxicity when they are known to have produced an adverse effect on sexual function and fertility [...] in humans, or when there is evidence from animal studies, possibly supplemented with other information, to provide a strong presumption that the substance has the capacity to interfere with reproduction in humans.

The classification of a substance is further distinguished on the basis of whether the evidence for classification is primarily from human data (Category 1A) or from animal data (Category 1B) (EC, 2008).

• Presumed human reproductive toxicant (1B)

The classification of a substance in this Category 1B is largely based on data from animal studies. Such data shall provide clear evidence of an adverse effect on sexual function and fertility [...] in the absence of other toxic effects, or if occurring together with other toxic effects the adverse effect on reproduction is considered not to be a secondary non-specific consequence of other toxic effects. (EC, 2008).

• Suspected human reproductive toxicant (Cat 2)

Substances are classified in Category 2 for reproductive toxicity when there is some evidence from humans or experimental animals, possibly supplemented with other information, of an adverse effect on sexual function and fertility, or on development, and where the evidence is not sufficiently convincing to place the substance in Category 1. If deficiencies in the study make the quality of

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<sup>2</sup> REGULATION (EC) No 1272/2008 considering all ATPs published until January 2021

evidence less convincing, Category 2 could be the more appropriate classification. Such effects shall have been observed in the absence of other toxic effects, or if occurring together with other toxic effects the adverse effect on reproduction is considered not to be a secondary non-specific consequence of the other toxic effects (EC, 2008).

#### Further, the Regulation states that

adverse effects or changes, seen in short- or long-term repeated dose toxicity studies, which are judged likely to impair reproductive function and which occur in the absence of significant generalised toxicity, may be used as a basis for classification, e.g. histopathological changes in the gonads (EC, 2008).

There are no epidemiological data to support classification of glycerol formal in Category 1A.

No studies explicitly addressing reproduction (e.g. studies according to OECD TG 421, 422, one- or twogeneration studies, EOGRTS) are available in the registration dossier. Though the studies described in study report (1982b) and study report (1982c) included exposure during mating and measurement of reproductive parameters, it is noted that the applied dose levels in these two studies are quite low. No adverse effects on reproductive parameters were observed in these two studies. The repeated dose animal studies listed in Table 10 and described in detail in chapter 10.10.2 show dose-dependent histopathological changes in testes and epididymis of exposed animals. The observations from a 90-day oral rat study are supported by data from a less reliable subchronic study with dogs with intramuscular administration and a further subchronic rat study with subcutaneous administration. The changes include inhibition of spermiogenesis and atrophy. Such effects can in general be considered relevant for humans since they are expected to impair reproductive function by leading to a reduction of fertile sperm. However, these effects occurred in the 90-day oral rat study, at least at the high dose level, in the presence of general toxicity (i.e. mortality). In addition, there are some uncertainties related to the dose selection (dose intervals being quite large), thereby hampering the interpretation of the observed general toxicity and its potential association with the adverse effect on reproductive organs. As discussed in the previous section, no reliable mating studies are available and the histopathological effects in testes are described by the study authors without assigning severity scores.

There are also indications of adverse effects in female reproductive organs, however these effects are ambiguous and cannot be seen as a firm basis for classification.

Based on these considerations the effects on the male reproductive organs provide some evidence for classification of glycerol formal for effects on sexual function and fertility and this would justify a classification in Category 2.

#### **10.10.4** Adverse effects on development

Table 11: Summary table of animal studies on adverse effects on development

1 / /		Results	Reference
Developmental Toxicity Study Similar to OECD TG 414 GLP: yes Charles River CRCD rats	Glycerol formal (purity: >99%) Administration oral via gavage in water 0, 75, 150, 300, 600 mg/kg bw/d From GD 6 – 17 Sacrifice on	P0 generation:  - No clinical signs of toxicity in any of the treatment groups. No adverse effects on body weight are reported  - NOAEL > 600 mg/kg bw/d (nominal) (highest dose tested, absence of adverse effects)  F1 generation:  Reproductive status	Study report, 1981 reported from ECHA Dissemination (2021) Study: 001 developmental toxicity (key)

los .	1 20	D /1 . 1 . /1	I 0	1 75	1.50	1 I 2	200	α.	1600	
25 pregnant females/dose group,	gestation day 20	Dose in mg/kg bw/d	0	75	150		300		ıd‱Pepo the₅Ann	
24 in control group		Total number of females	24	25	25		25	wi	th exten	ded
Reliability: 2		Total number of resorptions	11	3	6		5	tab	l&§(stud	ly 4)
due to the reduced exposure window.		No. resorptions/no. implants) per female	0.03	0.01	0.0	2 (	0.02		0.07*	
Generally, exposure in OECD TG 414		Total number of foetuses	308	313	300	0 2	262		288	
recommended from		Number of alive foetuses	308	313	300	0 2	260		272	
preimplantation to the day of caesarean section.		No. alive foetuses /pregnant female	12.8	13.0	12.	5	12.4		11.3*	
		Number of dead foetuses	0	0	0	2	2		16	
		Average weight (g)/litter	3.68	3.69	3.3	8* 3	3.16	*	2.81*	
		* statistically different from At 600 mg/kg bw/d an incresorptions was observed resorptions/number of immunificantly (P ≤ 0.05) in (0.03/0.01/0.02/0.02/0.07 foetuses/pregnant female decreased (12.8/13.0/12.5 dead foetuses was increased A dose-dependent statistics ≤ 0.05) in average foetal v (3.68/3.69/3.38/3.16/2.81 External foetal examination	crease in (11/3/6) plants pacreased). The n was sign/12.4/1 ged (0/0) ically si weight j).	n the to 1/5/28). her female umber mifican 1.3) and 1/0/2/16 gnifica	tal num The nu ale was of alive tly (P \( \frac{1}{2} \) d the nu ). nt decr	e e ≤ 0.05) umber eases (	of of P			
				7.5	150	200		- (	20	7
		Dose in mg/kg bw/d  No. examined foetuses	308	75 313	150	300	2)		72 (15)	1
		- No. with malformations	0	3	300	260 (2 7 (0)	<u> </u>		3 (2)	
		- No. of malformations	0	3	3	9 (0)			1 (2)	
		No. of examined litters	24	24	24	21		24		1
		- No. with malformations	0	2	1	6		10	)	1
		Dead foetuses reported in	parent	nesis						]
		External malformations in foetuses were increased in the two highest dose groups. Anal atresia (0/0/0/2/7) and tail malformations (0/1/0/4/10) were observed in foetuses from dams administrated 300 and 600 mg/kg bw/d, and anasarca in foetuses from the 600 mg/kg bw/d group (0/0/0/0/3+2 in dead foetuses).								
		Visceral foetal examination								<b>-</b>
		Dose in mg/kg bw/d	0	75	150	300			00	
		No. examined foetuses  - No. with malformations	91	100	93	86 (2)	)		8 (15) 1 (7)	-
		- No. of malformations	4	0	2	4(0)			4 (10)	-
		- No. with variations	0	1	6	6 (0)			3 (0)	-
		- No. of variations	0	1	6	6 (0)			3 (0)	1
		No. of examined litters	24	24	24	21		24		-

- No. with malformations

16

		- No. with variations	0	1	2	5	8		
		Dead foetuses reported in	parent	hesis		<u> </u>			
		the highest dose group: V (3/0/2/4/26+6 in dead foe aortic arch malformations	risceral malformations in foetuses were observed in the highest dose group: Ventricular septal defects $3/0/2/4/26+6$ in dead foetuses) and retroesophageal portic arch malformations $(0/0/0/0/3+1)$ in dead foetus). In addition, azygous branching variation was observed						
		Skeletal foetal examination	<u>on:</u>						
		Dose in mg/kg bw/d	0	75	150	300	600		
		No. examined foetuses	308	313	300	260 (1)	272 (11)		
		- No. with malformations*	0	3	15	46 (0)	66 (2)		
		- No. of malformations*	0	3	16	46 (0)	67 (3)		
		- No. with variations	105	177	264	252 (0)	269 (2)		
		- No. of variations	116	236	451	702 (0)	1239 (2)		
		No. of examined litters	24	24	24	21	24		
		- No. with malformations	0	2	8	13	17		
		- No. with variations	23	24	24	21	24		
		Pead foetuses reported in parenthesis  *Wavy ribs were considered as malformation by the study authors and therefore included in this table.  According to the DEVTOX database3 wavy ribs are considered as variation  Skeletal variations in foetuses were observed dose dependently: wavy ribs (0/3/14/46/62+1 in dead foetus) cervical ribs (3/3/0/7/25+2 in dead foetuses), lumbar rib (35/56/54/56/96), extra lumbar vertebra (0/0/0/16/54).  A dose-dependent delay in foetal ossification (variation), primarily of the skull bones, vertebra, and sternebra, in foetuses of all treatment groups was observed: incomplete ossification of skull bone (2/21/41/95/112), incomplete ossified cervical vertebra (0/3/16/86/188), incompletely ossified sternebra (73/137/251/244/264), incompletely ossified sternebra (73/137/251/244/264), incompletely ossified sacral vertebra (0/2/13/18/66), incompletely ossified pelvic bone (1/5/31/82/175).							
Prenatal Developmental Toxicity Study Similar to OECD TG 414	Glycerol formal (purity: >99%) Administration oral via gavage in water	P0 generation:  - There were no clinical signs of toxicity among females. No adverse effects on body weight are reported  Study report,  1981 reported from ECHA  Dissemination (2021)							
GLP: yes Charles River	0, 10 mg/kg bw/d From GD 6 – 17 Sacrifice on	- NOAEL > 10 mg/kg bw tested, absence of adverse			highest	dose	Study: 002 developmental toxicity (key)		
CRCD rats	gestation day 20	F1 generation:							

<sup>3</sup> https://www.devtox.org/nomenclature/ml\_organ.php?lan=en (Accessed on 1.2.2021)

25 pregnant females/dose group Reliability: 3, since only one very low dose was tested		<ul> <li>There were no adverse effects on the reproductive status of dams as indicated by the numbers of resorptions and live and dead foetuses per litter.</li> <li>No effects were recorded on foetal weight.</li> <li>There was no evidence of a teratogenic or foetotoxic effect.</li> </ul>	in detail in Annex I (study 5)
Prenatal Developmental Toxicity Study Similar to OECD TG 414 GLP: no Sprague-Dawley (for experiments 1, 2, 3 and 4); Wistar (for experiment 5) rats 10 pregnant females/dose group Reliability: 2	Glycerol formal (purity: 99%) Administration intramuscular (im); subcutaneous (sc); oral (po) Controls received physiological saline Doses / Concentrations: 0 mL/kg, 0.25 mL/kg (300 mg/kg) in experiment 1 0.50 mL/kg (600 mg/kg) in experiments 1, 2, 3, 4 and 5 1.0 mL/kg (1200 mg/kg) in experiments 1 and 4 From GD 6 – 15 in experiments 1, 3, 4 and 5 or from GD 6-15, 7-8, 9-10, 11-12, 13-14, 15-16 in experiment 2. Sacrifice on gestation day 21	For detailed result tables see Annex I  Test is divided in 5 experiments  PO generation:  No maternal toxicity was observed in any of the tests.  F1 generation  Experiment 1  Glycerol formal was administered at 3 dose levels via intramuscular application to Sprague-Dawley from GD 6-15  → No maternal toxicity was observed  → Postimplantation loss rate increased dose-dependently from 4.4% (control) to 63.7% (highest dose). The mean weight of live foetuses decreased from 4.1 g (control) to 3.2 g (highest dose). Significant differences from control values were demonstrated in all treated groups.  The number of females with malformed foetuses increased dosed dependently (0/2/6/7). The incidence of visceral malformations increased dose-dependently (malformation rate (MR): 0/0/28/75). Malformations were particularly found in the cardiovascular system. Typical of these malformations was a ventricular septal defect involving a more or less extensive communication between the two ventricules sometimes accompanied by cardiomegaly, atrial hypertrophy, and right and retroesophageal aortic arch.  Foetuses found dead in the uterus had widespread subcutaneous oedema and ventricular septal defects involving extensive intraventricular communication.  The malformation rate of skeletal costal defects was 0/15/10/22. Skeletal anomalies were mostly limited to wavy ribs.  Experiment 2  Glycerol formal was administrated at 600 mg/kg bw/d at different time periods during gestation to determine the day(s) of gestation when the embryo was most sensitive to the teratogenic effects.	Aliverti et al. (1980) Reported in ECHA Dissemination (2021) Study: 003 developmental toxicity (Supp.) Study reported in the Annex I with extended tables (study 6)
		→ In the positive control group (exposed to glycerol formal from GD 6-15), the embryotoxic and teratogenic effects observed in experiment 1 were reproduced. The same treatment for only 2 consecutive days (any period	

		tested) be malform significa	ations.	Posti	mpl	lantatio	n loss r	ates we	re alw	ays		
		Experim	Experiment 3 and 4									
		administ subcutan	Embryotoxic and teratogenic effects of glycerol formal administration was investigated by comparing subcutaneous to intramuscular route (exp. 3) and oral to intramuscular route (exp. 4).									
		→ Admi 6-15) led after intr loss rates higher af	to sim amuscu and vi	ilar e ılar ad scera	ffeo dmi l m	ets com inistrati alform	pared to	those timplar	observ ntation	ed		
		Experim	ent 5									
		Embryot administ routes we Sprague-	ration bere inve	y sub estiga	ocut ted	taneous in Wis	s and int	tramusc	ular			
		Experim No.		train	(n	ose nl/kg)	Route		plantat	ion l	oss rate	(%)
		1		D D	0	50	im im	4.4 19.8*				
		5	V		0.	50	im	5.0				
		* Statistica	V			50	im	15.7 N	S			
		NS Non value	Strain	Do	se	Route			Abnori		es	
		ment No.		(ml kg)			care	Visceral diovascu defects		S	keletal defe	
							EF	AF	MR	EF	AF	MR
		1	SD SD	0.5	0	im im	59 53	15	0 28*	50 40	0 4	10*
		5	W	0.3	U	im	20	0	0	18	2	11
			W	0.5	0	im	56	5	8.9 NS	35	16	46*
		* Statistically different (p< 0.05) from control EF number of examined foetuses (EF) AF number of abnormal foetuses (AF) MR malformation rate in percent NS Non significantly different (p> 0.05) from control  → Glycerol formal administrated at 0.50 mL/kg either im. or sc. on GD 6 - 15 to Wistar rats induced a lower postimplantation loss rate and a lower frequency of cardiovascular malformations compared to Sprague Dawley rats. However, skeletal costal defect were										
		higher in										
Prenatal Developmental Toxicity Study	Glycerol formal (no information on purity available)	P0 gener Maternal		y wa	s no	ot inves	stigated.				Prati (	ni and (1980)
Similar to OECD TG 414	Administration subcutaneously	<u>F1 gener</u> - 193 foe		rom A	l() tı	reated t	femalec	and 110	9 foeti	ises	ECH/	ted in A mination
- •		from 20							. 10011		(2021	

GLP: no Sprague-Dawley rats 40 pregnant females per exposure group; 20 in control group Reliability: 3, since no information on maternal toxicity is provided and no information on purity	No vehicle  0.5 mL/kg bw/d (presumably 600 mg/kg bw/d as given in the study by Aliverti et al. reported above)  From GD 6 – 15  Sacrifice on gestation day 21	<ul> <li>About 40% of the foetuses of the anomalies of the interventricular somalformation was associated in newith serious anatomical alterations vessels departing from the heart.</li> <li>The anomalies of the interventric different types and gravity. In most anomalies were located at the interice, between the muscular septum cushions.</li> <li>The importance of the ventricular proved to be very variable: from licommunications concerning also a muscular septum, the atrioventricular interatrial septum. In the latter, in the heart appeared as a unique cavity of the serious anatomical septum.</li> </ul>	Study: 004 developmental toxicity (Supp.)				
		division between the ventricules; the right atrium, were very enlarge.  At least 5 different types of malfor vessels originating from the heart table below):	ed.				
		Examined	193				
		With cardiovascular malformations	76				
		With ventricular septum defect	39				
		With ventricular septum defect and					
		Double aortic arch	20				
		Right aortic arch	10				
		Aortic-pulmonary window	1				
		Absence of innominate artery	1				
		Coarctation of the aorta	4				
		Dextrocardia	1				
Prenatal Developmental Toxicity Study No guideline followed Sprague-Dawley rats Number of rats not given Reliability: 3	Glycerol formal (no purity given)  Administration subcutaneously  1 mL/kg bw/d (1200 mg/kg bw/d)  From GD 6 – day to sacrifice of dams  Sacrifice on gestation day 13, 14, 15, 16 or 17	Marked dilation of blood vessels were already observed on GD 13. In addition, swelling of the embryo was observed.  I mL/kg bw/d (1200 mg/kg bw/d) From GD 6 – day to sacrifice of dams Sacrifice on gestation day 13,					
Oral reproduction study in female rats Charles River CD rats 20 females/dose	Glycerol formal (purity: >99%) Administration oral via gavage in water 0, 1, 5, 25 mg/kg bw/d	No clinical sign observed in femal  - No dose-dependent effects observed of pups.  - No externally visible effects observed.  The number of dead pups from PN	Study report, 1982b				
group Reliability: 3,	Exposure: 14 days	significantly (P≤0.05) higher in rats from the 1 mg/kg/day group. There was no increase in mortality in					

due to low dosage	prior to mating	this group on PND 1 or PND 21 and no increase in	
	(with unexposed	dead pups was seen in the 5 or 25 mg/kg/day groups.	
	males), during		
	mating and		
	gestation until PND		
	20 of pups		
	Pups were used for 90 day toxicity study reported in Table 10		

Table 12: Summary table of other studies relevant for developmental toxicity

Type of study/data	Test substance,	Relevant information about the study (as applicable)	Observations	Reference
Frog Embryo	Glycerol	Embryos of Xenopus	Malformations:	Dresser et al. (1992)
teratogenesis Assay (FETAX)	formal (purity 98%)	laevis	- Pooled 96 h LC <sub>50</sub> : 1.61%	
Reliability: 3	7670)	200 embryos/concentration	- Pooled 96 h EC <sub>50</sub> : 1.12%	
Tremainity: 5		Vehicle: FETAX solution	Teratogenic Index (TI):	
		Two trials with	- Trial 1: 1.51, trial 2: 1.37	
			NOEL(mortality):	
		0, 0.25, 0.5, 0.75, 1.0, 1.25, 1.5, 1.75%	- Trial 1: 1.25, trial 2: 1.00	
		Exposure for 96 h (media	NOEL(malformation):	
		changed at 24 h intervals)	- Trial 1: 0.5, trial 2: 0.5	
			NOEL(length):	
			- Trial 1: 0.75, trial 2: <0.25	
			A concentration dependency of appearance of malformations was observed.	
			Malformations included cephalic, skeletal and ocular malformations, abnormalities in pigmentation, gut coiling and swimming behaviour at exposure concentrations >0.5% were observed.	
QSAR prediction	Glycerol	An original algorithm,	Glycerol formal	García-Domenech et
Reliability: 3	formal	namely activity distribution diagrams,	teratogenicity is considered as uncertain (not classified)	al. (2001)
		was applied to predict teratogenic potential of a large sets of structurally	according to activity distribution diagrams algorithm.	Reported in ECHA Dissemination (2021)
		heterogeneous compounds including glycerol formal	No further information available	Study: 005 developmental toxicity (Supp.)
		No guideline followed		

QSAR prediction Reliability: 3	1,3-Dioxan-5- ol (one of the two constituents of glycerol formal)	According to REACH guidance on QSAR R.6, May/July 2008	Prenatal Development Toxicity Index (PDTI): +1 The predicted value for the current substance is PDTI = +1 and thus the theoretical model suggests that the chemical could promote prenatal toxicity.  No further information available	Study report, 2012 reported from ECHA Dissemination (2021) Study: 006 developmental toxicity (supp)
QSAR prediction Reliability: 3	Presumably 1,3-dioxolan-4- ylmethanol (one of the constituents of glycerol formal) The CAS and EC number do not match (EC number from 1,3-Dioxan-5- ol is given in registration dossier)	According to REACH guidance on QSAR R.6, May/July 2008	Prenatal Development Toxicity Index (PDTI): +1 The predicted value for the current substance is PDTI = +1 and thus the theoretical model suggests that the chemical could promote prenatal toxicity.  No further information available	Study report, 2012 reported from ECHA Dissemination (2021) Study: 007 developmental toxicity (supp)

## 10.10.5 Short summary and overall relevance of the provided information on adverse effects on development

Overall, five studies in rats are available, delivering results for the endpoint "adverse effects on development". In addition, a Frog Embryo teratogenesis Assay (FETAX) is published and several QSAR predictions are reported in the registration dossier for glycerol formal.

In a prenatal developmental toxicity study from 1981 similar to OECD TG 414 (study report 1981, ECHA Dissemination (2021) reliability 2) 25 pregnant Charles River CRCD rats per dose group (24 animals in the control group) were exposed from GD 6 - 17 to 0, 75, 150, 300 or 600 mg/kg bw/d glycerol formal via gavage. On GD 20 animals were sacrificed. Generally, exposure in a study following OECD TG 414 is recommended from preimplantation to the day of caesarean section. In the current study exposure of dams was from GD 6 - 17 with sacrifice of animals on GD 20. Presumably this exposure schedule was selected based on the publication by Aliverti et al. (1980) (results of this publication are mentioned in the study report) who applied an exposure window of 10 days from GD 6 - 15, also in Sprague-Dawley rats.

This study is considered as the key study for effects on development. The original study report was available to the dossier submitters. Detailed information of this study can be found in Annex I.

No clinical signs of toxicity in the dams are reported in any of the treatment groups. In addition, no adverse effects on body weight were observed. In the highest dose group, an increase in the total number of resorptions (11/3/6/5/28) was detected. Thus, the number of resorptions/number of implants per female was significantly ( $P \le 0.05$ ) increased (0.03/0.01/0.02/0.02/0.07). The total number of live foetuses was decreased (308/313/300/262/288) and the number of dead foetuses increased (0/0/0/2/16). The number of live foetuses/pregnant female was significantly ( $P \le 0.05$ ) decreased in the highest dose group (12.8/13.0/12.5/12.4/11.3). Besides, a dose-dependent statistically significant decrease ( $P \le 0.05$ ) in average foetal weight per litter was found in foetuses from 150, 300 and 600 mg/kg bw/d groups (3.68/3.69/3.38/3.16/2.18).

External malformations in foetuses were increased in the two highest dose groups. They consisted mainly in anal atresia (0/0/0/2/7), tail malformations (0/1/0/4/10), and anasarca (0/0/0/0/3+2) in dead foetuses).

Visceral malformations were observed in the highest dose group and consisted mainly in cardiovascular defects, primarily defects of the ventricular septum (3/0/2/4/26+6) in dead foetuses) and retroesophageal aortic arch malformations (0/0/0/0/3+1) in dead foetus). In addition, high numbers of azygous branching variation (0/0/6/6/12) were found.

Skeletal examination revealed several types of variations. Wavy ribs (classified as malformation by the study authors) were observed dose-dependently (0/3/14/46/62+1) in dead foetus). Cervical ribs (3/3/0/7/25+2) in dead foetuses), lumbar rib (35/56/54/56/96) and extra lumbar vertebra (0/0/0/16/54) were observed with increased incidences in higher doses.

A dose-dependent delay in foetal ossification (considered as variations), primarily of the skull bones (2/21/41/95/112), vertebra (cervical vertebra (0/3/16/86/188), thoracic vertebra (1/6/26/58/149), sacral vertebra (0/2/13/18/66)), sternebra (73/137/251/244/264), lumbar vertebra (0/2/6/16/78), and pelvic bone (1/5/31/82/175) were observed in foetuses of all treatment groups.

Overall, the increase in resorptions which results in a significantly increased number of resorptions/number of implants per female, in the absence of maternal toxicity alone would be considered as a relevant adverse effect justifying classification. In addition, statistically significant decrease in foetal body weight accompanied by a delay in foetal ossification were observed. Finally, the substance showed teratogenic effects in this reliable key study: external and visceral malformations were observed dose-dependently.

Since the prenatal toxicity study described above did not allow the derivation of a NOAEL for developmental toxicity, the study was repeated with a lower dose of glycerol formal (0, 10 mg/kg bw/d; study report, 1981, ECHA Dissemination (2021)). Due to the administration of only one very low dose the dossier submitters assigned a reliability of 3 to this study. The study protocol and the evaluated endpoints were the same as in the study with higher doses. The original study report was available to the dossier submitters. At 10 mg/kg bw/d no adverse effects on the reproductive status of dams (indicated by the numbers of resorptions and live and dead foetuses per litter) and no effects on foetal weight were reported. Furthermore, no substance-related malformations or variations were observed (for details see tables in Annex I, study 5). Due to the low dose, the relevance of this study in the context of classification is limited.

In an oral reproduction study in rats (Study Report 1982b; study not included in the registration dossier, but available to the dossier submitters) female Charles River rats (20 animals/dose group) were exposed via gavage to 0, 1, 5 or 26 mg/kg bw/d starting 14 days prior to mating, during mating (with unexposed males) and gestation up to PND 20. No clinical signs or dose-dependent effects were observed in females. No externally visible effects were observed in offspring. Due to the low administered dose the study was assigned a reliability of 3 (for details see tables in Annex I, study 2).

Three publications from 1980 and 1981 investigating the cardiovascular malformations of rat foetuses after glycerol formal application are available. Two of these studies are reported in the registration dossier. Aliverti et al. (1980) performed several experiments and exposed pregnant Sprague Dawley or Wistar rats via intramuscular, subcutaneous or oral administration to 0, 0.25, 0.50 or 1.0 mL/kg bw/day (this corresponds to 0, 300, 600 or 1200 mg/kg bw/d). The study is considered as reliable (RL2). Complete tables with the results are presented in the Annex I.

In the first experiment glycerol formal was administrated at 3 doses level (0, 300, 600, 1200 mg/kg bw/d) via intramuscular application to Sprague-Dawley from GD 6-15. In the absence of maternal toxicity, the postimplantation loss rate increased dose-dependently from 4.4% (control) to 63.7% (highest dose). The mean weight of live foetuses decreased from 4.1 g (control) to 3.2 g (highest doe). Significant differences from control values were demonstrated in all treated groups. Moreover, the number of females with malformed foetuses increased dosed dependently (0/2/6/7) as well as the incidence of visceral malformations (malformation rate (MR): 0/0/28/75). Malformations were particularly found in the cardiovascular system. Typical of these malformations was a ventricular septal defect involving a more or less extensive communication between the two ventricles, sometimes accompanied by cardiomegaly, atrial hypertrophy, and right and retroesophageal aortic arch. The malformation rate of skeletal costal defects was 0/15/10/22. Skeletal anomalies were mostly limited to wavy ribs. Foetuses found dead in the uterus had widespread

subcutaneous oedema and ventricular septal defects involving extensive intraventricular communication.

In the second experiment glycerol formal was administrated to Sprague-Dawley rats at 600 mg/kg bw/d for different time periods during gestation to determine the day(s) of gestation when the embryo was most sensitive to the teratogenic effects. It was shown that the window of exposure during gestation selected in experiment 1 (GD 6-15) covered best the critical period for cardiovascular malformations and an only two day long exposure period within this window did not lead to the same severity of effects.

In experiments 3 and 4 the intramuscular application of the substance was compared to subcutaneous (experiment 3) and oral (experiment 4) application. For oral application animals were treated with 0, 600 or 1200 mg/kg bw/d from GD 6-15. Similar effects compared to those observed after intramuscular administration were observed. Postimplantation loss rates and visceral malformation rates were even higher after oral exposure.

In experiment 5 the embryotoxic and teratogenic effects of glycerol formal were investigated in Wistar rats instead of Sprague-Dawley rats. Glycerol formal administrated (600 mg/kg bw/d) either intramuscularly or subcutanously on GD 6-15 induced a lower postimplantation loss rate (15.7% in Wistar rats compared to 19.8% in Sprague-Dawley rats) and a lower frequency of cardiovascular malformations in Wistar rats compared to Sprague Dawley rats (malformation rate in Wistar rats: 8.9% versus 28% in Sprague-Dawley rats). However, skeletal costal defect were higher in Wistar compared to Sprague-Dawley rats (Wistar rats: 46%, Sprague-Dawley rats: 10%).

In the second publication reported in the registration dossier (Giavini and Prati, 1980) pregnant Sprague Dawley rats were exposed subcutaneously to 600 mg/kg bw/d from GD 6–15. About 40% of the foetuses showed anomalies of the interventricular septum. Giavini and Prati studied these cardiovascular malformations histopathologically. In about 50% of the cases this malformation was associated with serious anatomical alterations of the main blood vessels departing from the heart. The authors of this CLH dossier assigned a reliability of 3 to this study (due to only one applied dose, the lack of information on purity and an overall reduced study design).

In a further publication from the same group (Giavini et al., 1981) Sprague-Dawley rats were subcutaneously exposed to 1200 mg/kg bw/d from GD 6 until the day of sacrifice of dams (i.e. GD 13, 14, 15, 16 or 17). The developmental retardations in the heart were studied. Marked dilation of blood vessels were already observed on GD 13. In addition, swelling of the embryo was observed. A reliability of 3 was assigned by the authors of this CLH dossier due to the lack of information on purity and only one applied dose.

A Frog Embryo teratogenesis Assay (FETAX), which can be considered as a screening assay for developmental toxicity, showed a concentration-dependent appearance of malformations (Dresser et al., 1992). These malformations included cephalic, skeletal and ocular malformations, abnormalities in pigmentation, gut coiling and swimming behaviour at exposure concentrations >0.5%. Due to the screening character of the test a reliability of 3 was assigned by the dossier submitters.

For the QSAR predictions reported in the registration dossier a reliability of 3 was assigned by the dossier submitters. This is mainly due to very limited reporting. In one case (García-Domenech et al. (2001) glycerol formal teratogenicity is considered as uncertain (not classified) by the study authors. For the individual constituents of the reaction mass of glycerol formal (1,3-Dioxan-5-ol and 1,3-dioxolan-4-ylmethanol) a Prenatal Development Toxicity Index (PDTI) of +1 was assigned. This means that the theoretical model suggests that the chemicals could promote prenatal toxicity.

Taking together the available information, adverse effects on development of glycerol formal (resorptions, decrease in foetal body weight, delay in foetal ossification, teratogenic effects) have clearly been shown in a reliable study performed similar to OECD TG 414. In this study, the substance was applied to rats via gavage. These results are supported by a reliable publication from Aliverti et al. (1980) and further studies with lower reliability.

#### 10.10.6 Comparison with the CLP criteria

For potential classification of adverse effects on development, criteria from the CLP Regulation (EC, 2008) supported by explanations from the Guidance on the Application of the CLP criteria (ECHA, 2017) were applied. The manifestations of developmental toxicity (resorptions, decrease in foetal body weight, delay in foetal ossification, teratogenic effects) were considered. For potential classification of glycerol formal, classification criteria were analysed accordingly.

Comparison with Category 1 criteria

#### • Known human reproductive toxicant (Cat 1A)

Substances are classified in Category 1 for reproductive toxicity when they are known to have produced an adverse effect on [...] development in humans, or when there is evidence from animal studies, possibly supplemented with other information, to provide a strong presumption that the substance has the capacity to interfere with reproduction in humans.

The classification of a substance is further distinguished on the basis of whether the evidence for classification is primarily from human data (Category 1A) or from animal data (Category 1B (EC, 2008).

There are no epidemiological data to support classification of glycerol formal in Category 1A.

#### • Presumed human reproductive toxicant (Cat 1B)

The classification of a substance in this Category 1B is largely based on data from animal studies. Such data shall provide clear evidence of an adverse effect [...] on development in the absence of other toxic effects, or if occurring together with other toxic effects the adverse effect on reproduction is considered not to be a secondary non-specific consequence of other toxic effects. (EC, 2008)

... The major manifestations of developmental toxicity include (1) death of the developing organism, (2) structural abnormality, (3) altered growth, and (4) functional deficiency. (EC, 2008)

Clear adverse effects on development (resorptions, decrease in foetal body weight, delay in foetal ossification, teratogenic effects) were observed in rats in a reliable study similar to OECD test guideline 414. The effects were dose-dependent and occurred in the absence of maternal toxicity.

A classification in Category 1B is justified based on the observed effects.

#### • Suspected human reproductive toxicant (Cat 2)

Substances are classified in Category 2 for reproductive toxicity when there is some evidence from humans or experimental animals, possibly supplemented with other information, of an adverse effect on [...] development, and where the evidence is not sufficiently convincing to place the substance in Category 1. If deficiencies in the study make the quality of evidence less convincing, Category 2 could be the more appropriate classification.

Adverse effects on development were observed in rats in a reliable study similar to OECD test guideline 414 (reliability 1). The severity of these effects (resorptions, decrease in foetal body weight, delay in foetal ossification, teratogenic effects) is considered clear evidence of an adverse effect on development and therefore relevant for classification. The evidence is sufficiently convincing to place the substance in Category 1B.

#### 10.10.7 Adverse effects on or via lactation

No human or animal studies are available.

### 10.10.8 Short summary and overall relevance of the provided information on effects on or via lactation

No human or animal studies are available.

#### 10.10.9 Comparison with the CLP criteria

For potential classification on adverse effects via lactation, criteria from CLP Regulation (EC, 2008) were applied. For potential classification of glycerol formal, classification criteria were analysed accordingly:

- ... "However, substances which are absorbed by women and have been shown to interfere with lactation, or which may be present (including metabolites) in breast milk in amounts sufficient to cause concern for the health of a breastfed child, shall be classified and labelled to indicate this property hazardous to breastfed babies. This classification can be assigned on the:
  - (a) human evidence indicating a hazard to babies during the lactation period; and/or

No human data available are available.

- (b) results of one or two generation studies in animals which provide clear evidence of adverse effect in the offspring due to transfer in the milk or adverse effect on the quality of the milk; and/or
- (c) absorption, metabolism, distribution and excretion studies that indicate the likelihood that the substance is present in potentially toxic levels in breast milk."

No one or two generation studies are available which could show adverse effects in the offspring due to transfer in the milk. In addition, no toxicokinetic studies are available which could indicate that the substance in present in potentially toxic levels in breast milk.

Therefore, no additional labelling of the substance for "adverse effects on or via lactation" is warranted

#### 10.10.10 Conclusion on classification and labelling for reproductive toxicity

a) Sexual function and fertility

Results from repeated dose studies indicate adverse effects on reproductive organs. However, some uncertainties are noted.

Therefore, a classification for effects on sexual function and fertility (Cat. 2, H361f) is warranted for glycerol formal.

"Specific concentration limits and generic concentration limits are limits assigned to a substance indicating a threshold at or above which the presence of that substance in another substance or in a mixture as an identified impurity, additive or individual constituent leads to the classification of the substance or mixture as hazardous" (EC, 2008).

Only studies with oral exposure were considered for the potency determination.

For the endpoint "sexual function and fertility" the generic concentration limit of 3% ("group 2", medium potency) can be applied for the following reasons:

- No effects were observed at doses below 4 mg/kg bw/d (i.e., effective dose with a 10% effect level above the background (ED<sub>10</sub>) is above 4 mg/kg bw/d).
- Effects were observed between 12 and 1218 mg/kg bw/d. Slight effects were already seen in the low dose (10%, 1/10 animals with slight inhibition of spermiogenesis), and middle dose (20%, 2/10 animals with partly inhibition of spermiogenesis) groups (12 121 mg/kg bw/d), severe effects in the high dose group (1218 mg/kg bw/d; changes in testes in 100%, 10/10 animals from slight inhibition of

spermiogenesis to total atrophy). Therefore, the ED<sub>10</sub> is between 4 and 400 mg/kg bw/d, the substance is assigned to "group 2", medium potency and the generic concentration limit should be applied.

#### b) <u>Developmental toxicity</u>

Results from reliable studies on developmental toxicity indicate adverse effects on the development of the offspring independent of maternal toxicity.

Therefore, a classification for effects on development of the offspring (Cat. 1B, H360D) is warranted for glycerol formal.

For the endpoint "developmental toxicity" the generic concentration limit of 0.3% ("group 2", medium potency) can be applied for the following reasons:

- No effects were observed at doses below 4 mg/kg bw/d (i.e., the effective dose with a 10% effect level above the background (ED<sub>10</sub>) is above 4 mg/kg bw/d).
- Effects were observed between 75 and 600 mg/kg bw/d. The average weight per litter was significantly reduced at 150 mg/kg bw/d. Increasing numbers of external malformations were observed starting at 300 mg/kg bw/d, visceral malformations were observed in the highest dose group, skeletal anomalies were observed starting at a dose of 150 mg/kg bw/d. Since the effects of "reduced average weight per litter" showed the lowest LOAEL for the endpoint developmental toxicity, this effect was selected for the calculation of the ED<sub>10</sub> as given in the Guidance on the Application of CLH criteria for continuous data (ECHA, 2017):

Dose in mg/kg bw/d	0	75	150	300	600
Average weight (g)/litter	3.68	3.69	3.38*	3.16*	2.81*
		NOAEL	LOAEL		

<sup>\*</sup> statistically different from control P<0.05

- For effects that are measured as changes in magnitude, the ED<sub>10</sub> is defined as the dose at which a change of 10%, compared to the concurrent control group, is observed
- The ED<sub>10</sub> is 166.45 mg/kg bw/d because at this dose level the average weight/litter is calculated to be 90% of the control value. A 10% reduction of the control value of 3.68 g gives 3.312 g. Interpolation between 75 and 150 mg/kg bw/day to a dose level which would be expected to result in an average weight/litter of 3.312 g gives a value of 166.45 mg/kg bw/day.
- (150 75) / (3.69 3.38) = 241.94
- 3.69 3.312 = 0.378
- $0.378 \times 241.94 = 91.45$
- 91.45 + 75 = 166.45 mg/kg bw/d
- Therefore, the ED<sub>10</sub> is between 4 and 400 mg/kg bw/d, the substance is assigned to "group 2", medium potency and the generic concentration limit should be applied.

#### c) Effects via lactation

In the absence of any studies indicating effects via lactation no classification for effects via lactation is warranted for glycerol formal.

#### 10.11 Specific target organ toxicity-single exposure

Evaluation not performed for this substance.

#### 10.12 Specific target organ toxicity-repeated exposure

Evaluation not performed for this substance. However, an evaluation of the repeated dose toxicity studies is included in the overall assessment of the endpoint reproductive toxicity in section 10.10.

#### 10.13 Aspiration hazard

Evaluation not performed for this substance.

#### 11 EVALUATION OF ENVIRONMENTAL HAZARDS

Evaluation not performed for this substance.

#### 12 EVALUATION OF ADDITIONAL HAZARDS

Evaluation not performed for this substance.

#### 13 ADDITIONAL LABELLING

Not relevant

#### 14 ANNEXES

Please see separate documents for Annex I and confidential Annex I.

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