# Annex I to the CLH report

# **Proposal for Harmonised Classification and Labelling**

Based on Regulation (EC) No 1272/2008 (CLP Regulation), Annex VI, Part 2

# **International Chemical Identification:**

# 3,3'-Dimethylbiphenyl-4,4'-diyl diisocyanate; [TODI]

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#### Contact details for dossier submitter:

**BAuA** 

Federal Institute for Occupational Safety and Health

Federal Office for Chemicals Friedrich-Henkel-Weg 1-25 44149 Dortmund, Germany

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Note to the reader: For an explanation of the abbreviations used in this Annex, please refer to the list of abbreviations provided in the main dossier.

#### 1 HEALTH HAZARDS

#### 1.1 Respiratory sensitisation

#### 1.1.1 Human data for the category source substances HDI, MDI, TDI

#### 1.1.1.1 Case reports

Table 1: Cases related to HDI, MDI, and/or TDI as documented in the published literature (non-comprehensive)

Subject of the study	Occupation/task	Agent(s)	Diagnosed disease/effects	Reference
Case report of three painters with respiratory tract symptoms	#1: Spray-painting with polyisocyanate lacquer #2: Painting with polyisocyanate plastic lacquer #3: Spray-painting, brush-painting with plastic lacquer	TDI	#1: Asthmatic bronchitis #2: Asthmatic symptoms/attacks #3: Not specified (severe cough, pressure on the chest)	(Swensson et al., 1955)
Case report of six subjects with respiratory symptoms suggestive of diisocyanate sensitisation	Developmental and experimental work on urethane foams and surface coatings; #1: Engineer, known to be sensitised to TDI. Reexposure occurred unintentionally due to an accident. #2/3/4: Laboratory assistants using TDI to produce plastic foams. #5: Fitter dismantling equipment which was used in the making of foam. #6: Not accepted as a case of sensitisation as symptoms were attributed to anxiety.	TDI	TDI respiratory sensitisation as demonstrated by respiratory symptoms	(Williamson, 1965)
Examination by bronchial provocation test for sensitivity to TDI of 24 workers with respiratory disease handling diisocyanates	Not specified	HDI. MDI, TDI	Asthma	(O'Brien et al., 1979)
Study to determine the mechanisms of bronchial hyperreactivity ("sensitivity") to TDI in 28 workers with a history of sensitivity to TDI	TDI production	TDI	Asthmatic reactions; five workers were identified as non-reactors	(Butcher et al., 1979)
Case report of two workers with respiratory symptoms	Not specified #1: Production supervisor #2: Welder, exposed continuously to polyurethane foam fumes	MDI	#1: Occupational asthma #2.: Hypersensitivity pneumonitis	(Zeiss et al., 1980)
Radioallergosorbent testing of 26 TDI-reactive individuals shown to react to provocative inhalation challenge with TDI	Not specified	TDI	Asthma	(Butcher et al., 1980)

Subject of the study	Occupation/task	Agent(s)	Diagnosed disease/effects	Reference
Case report of four subjects diagnosed with MDI-related asthma	Welding of polyurethane belts	MDI	Asthma	(Lob and Boillat, 1981)
Case report of subject with repeated prolonged exposure to MDI	Manufacturing engineer	MDI	Hypersensitivity pneumonitis and pleuritis progressing to fibrosing alveolitis	(Friedman, 1982)
Inhalation challenge tests in exposed workers with respiratory symptoms related to TDI or MDI	MDI: Not specified; TDI: Printers and laminators of flexible packaging	TDI, MDI	Occupational asthma in 24/40 workers with MDI- and 30/51 workers with TDI-related respiratory symptoms	(Burge, 1982)
Case report of subject with history of shortness of breath, wheezing, malaise and chills	Foreman in a garage where painting was done using a polyisocyanate activator	HDI	Combined alveolitis and asthma	(Malo et al., 1983)
Retrospective analysis of 109 MDI production workers	MDI production	MDI	8/109 workers were diagnosed with chronic obstructive bronchial disease and 3/109 with contact dermatitis.	(Diller and Herbert, 1983)
Case report of one subject	Manufacture of shoe soles	MDI	Occupational asthma	(Innocenti and Paggiaro, 1983)
Case report of one patient with symptoms of hypersensitivity pneumonitis	Packing and shipping of automobile equipment, occasionally engaged in spraying a mixture of MDI and polyol to produce polyurethane foam	MDI	Hypersensitivity pneumonitis	(Baur et al., 1984)
Case report of one patient showing symptoms of severe asthma	Grain elevator operator/repairman cutting polyurethane plate made of MDI	MDI	Occupational asthma	(Chang and Karol, 1984)
Case report of two patients with developed asthma and/or alveolitis	Painting, insulating	HDI, MDI	Asthma, alveolitis	(Laitinen et al., 1984)
Mechanistic challenge study in four subjects exhibiting a late asthmatic response after TDI exposure	Not specified	TDI	Asthma	(Mapp et al., 1985)
Case-control study in 78 workers with respiratory symptoms, 372 railway yard repair workers, representing 95% of the work force, served as negative controls.	Iron and steel foundry; workers handling PepSet, a chemical binding system containing MDI	MDI	Asthma (12/78)	(Johnson et al., 1985)
Case report of two workers who developed asthmatic symptoms	Gym-shoe factory, injecting MDI into shoe soles	MDI	#1: Asthma, hypersensitivity pneumonitis #2: Asthma	(Mapp et al., 1985)

Subject of the study	Occupation/task	Agent(s)	Diagnosed disease/effects	Reference
Case report of one patient with a history of respiratory illness	Chemical industry technical representative, exposed while unloading a railroad tank car containing MDI and having further work-related intermittent exposure	MDI	Occupational asthma	(Banks et al., 1986)
Case report of one patient with asthma persisting for twelve years after single massive exposure to TDI	Not specified	TDI	Asthma	(Moller et al., 1986)
Case report of four workers with respiratory symptoms	Iron foundry; core making, sand mixing, and fettling associated with the Cold-Box process	MDI	Asthma bronchiale due to contact with isocyanates	(Erban, 1987; Erban, 1988).
Study on the inhibitive effect of prednisone on late asthmatic reactions and airway inflammation induced by TDI in eight sensitised subjects with previously documented late asthmatic reactions	Not specified	TDI	Asthmatic reactions	(Boschetto et al., 1987)
Case report of one patient having TDI-induced asthma	Accidental peak exposure during maintenance work in a chemical plant (this peak exposure lead to onset of symptoms of asthma)	TDI	Isocyanate induced Asthma. Positive in 1974 (after accident), no hyperresponsiveness to challenge testing in 1985 (after 11 years without exposure to TDI), but positive in 1987 (after return to work with TDI).	(Banks and Rando, 1988)
Case report of one patient diagnosed with asthma induced by TDI	Self-employed car painter	TDI	Death after an asthma attack  The subject was recommended to cease working with isocyanates after diagnosis of asthma induced by TDI in 1980.  Nevertheless he continued under usage of anti-asthmatic drugs. He died 1986 within 1 hour of the second exposure to a new kind of polyurethane paint in the workplace.	(Fabbri et al., 1988)
Challenge study examining cross- reaction between TDI and MDI in 25 subjects having developed asthma to TDI	Furniture industry, handling polyurethane varnishes catalysed with TDI	TDI	Occupational asthma	(Innocenti et al., 1988)
Case report of eight patients with an unequivocal history of professional asthma	#1: Employee in polyurethane foam car seat manufacture #2, 4, 5, 6, 7, 8: Workers in shoemaking factory #3: Shoemaker	HDI, MDI., TDI	Occupational asthma	(Cvitanovic et al., 1989)

Subject of the study	Occupation/task	Agent(s)	Diagnosed disease/effects	Reference
Assessment of specific IgE and IgG antibodies in 62 workers with possible occupational asthma caused by isocyanates	Workers in foam industry (TDI), spray painters (HDI/MDI), various (MDI)	HDI, MDI, TDI	Occupational asthma; specific inhalation challenges were positive in 29 subjects.	(Cartier et al., 1989)
Case report of two subjects showing respiratory symptoms	Not specified	MDI	Occupational asthma	(Malo et al., 1989)
Group-based report on 63 workers with a diagnosis of probable isocyanate-induced asthma	Manufacture of TDI, manufacture of foam, manufacture of refrigerators	TDI	TDI-induced asthma in 30/63 workers	(Banks et al., 1989)
Case report of one subject complaining of nocturnal dyspnoea and dry cough	Paint processing plant	TDI	Hypersensitivity pneumonitis due to isocyanates	(Nozawa et al., 1989)
Case report of one patient with symptoms of non-cardiac chest pain probably secondary to pleuritis	Worker manufacturing award placques with a polyurethane coating resin containing MDI	MDI	Isocyanate-induced asthma	(Sales and Kennedy, 1990) and
Case report of six workers with respiratory complaints	Production of polyurethane foam; #1, 2, 3, 5: Workers manufacturing polyurethane foam #4: Research technician #6: Worker in the shipping department; Later all six worked in areas with negligible/no exposure to TDI	TDI	TDI-induced occupational asthma	(Banks et al., 1990)
Case report of 13 workers with respiratory symptoms consistent with asthma	Manufacture of waferboards; workers performing routine (i.e. waxing of former conveyor belt) and non-routine (unplugging jammed conveyors, repairs, adjustments) maintenance tasks	MDI	Occupational asthma (12 cases) and hypersensitivity pneumonitis (1 case)	(Reh and Lushniak, 1984)
Case report of one patient with, <i>inter alia</i> , bilateral pleuritic chest pain and haemoptysis	Spray-painter spraying isocyanate-containing paint onto warm metal	HDI, another isocyanate (possibly TDI)	Haemorrhagic pneumonitis	(Patterson et al., 1990)
Evaluation of the morphologic basis of the different outcomes of TDI asthma after quitting occupational exposure in ten patients with TDI asthma	Not specified	TDI	Asthma	(Paggiaro et al., 1990)

Subject of the study	Occupation/task	Agent(s)	Diagnosed disease/effects	Reference
Case report of one patient having bronchospasms after burning polyurethane packs and an immediate asthmatic reaction while working with polyurethane foam.	Task at work:Burning polyurethane packs Task at home: Insulating a window/drilling dry polyurethane foam Tasks with unspecified location: Painting cars with isocyanate-containing paints	MDI, TDI	Immediate bronchial hyperreactivity	(Dietemann-Molard et al., 1991)
Study reassessing temporal patterns of bronchial obstruction after exposure to diisocyanates in 23 subjects that were referred for investigation of occupational asthma and underwent specific inhalation challenges with positive results	Six foam industry workers, ten spray painters, seven employees from various industries (plastics, foundries)	HDI, MDI, TDI	Occupational asthma	(Perrin et al., 1991)
Study of blood parameters in ten subjects, previously shown to develop a dual or late asthmatic reaction after inhaling TDI	Not specified	TDI	Occupational asthma	(Finotto et al., 1991)
Evaluation of 23 employees complaining about work-related respiratory symptoms	Paint mixers and spray-painters	TDI	Asthma in 3/23 patients	(Park et al., 1992)
Case report of two workers with asthma	Wood-roof maintenance workers brushing/rolling lacquers/varnishes containing TDI	TDI	Occupational asthma	(Vandenplas et al., 1992a)
Case-control study of activated T-lymphocytes and eosinophils in the bronchial mucosa of patients with isocyanate-induced asthma; nine occupationally sensitised subjects and twelve healthy non-atopic control subjects were tested.	Not specified	MDI, TDI	Occupational asthma	(Bentley et al., 1992)
Case study of a man with dry cough and exertional dyspnoea	Handling spray-paint containing isocyanates	TDI, MDI	Hypersensitivity pneumonitis	(Akimoto et al., 1992)
Cross-sectional study in 216 coal-miners exposed to MDI showing symptoms of work- related shortness to breath	Coal miners working in rock consolidation with MDI	MDI	Specific bronchial hyperresponsiveness to MDI (4), isocyanate asthma (2)	(Lenaerts-Langanke, 1992)
Evaluation of closed-circuit methodology for inhalation challenge test with isocyanates in 20 consecutive workers suspected of having isocyanate- induced asthma	Not specified	HDI, MDI, TDI	Occupational asthma in 6/20 workers	(Vandenplas et al., 1992b)

Subject of the study	Occupation/task	Agent(s)	Diagnosed disease/effects	Reference
Specific inhalation challenge study in workers with possible occupational asthma	Not specified Workers exposed to spray paints	HDI	Occupational asthma in 10/20 workers	(Vandenplas et al., 1993a)
Inhalation challenge study in workers complaining of respiratory and general symptoms related to workplace exposure	Manufacture of woodboard chips with MDI-based resin #1: Maintenance mechanic #2: Production line welder #3: Quality control laboratory #4: Electrician #5: Industrial mechanic #6: Production supervisor #7: Cleaning #8: Casual	MDI	Hypersensitivity pneumonitis	(Vandenplas et al., 1993b)
Examination of seven subjects with occupational asthma induced by TDI or MDI and three control subjects never exposed to isocyanates	Not specified	MDI, TDI	Occupational asthma	(Calcagni et al., 1993)
Patient claiming compensation for bronchial asthma	Surface worker in a coal mine involved in polyurethane rock consolidation	MDI	Occupational asthma	(Nemery and Lenaerts, 1993)
Case-control study of sputum eosinophilia after asthmatic responses induced by isocyanates in 9 subjects with occupational asthma induced by MDI or TDI and four control subjects	Not specified	MDI, TDI	Occupational asthma	(Maestrelli et al., 1994a)
Study examining CD8 T-cell clones in bronchial mucosa of two patients with asthma induced by TDI	Use of polyurethane paint	TDI	Occupational asthma	(Maestrelli et al., 1994b)
Case report of 14 patients suspected of isocyanate-induced hypersensitivity pneumonitis.	#1, 3, 10, 12, 14: Foam production #2, 8, 9: Paint spraying (#4: Plastic welding) #5, 11: Adhesive application #6, 7, 13: Injection molding	HDI. MDI, TDI, HDI, (TDA/TIPHP in #4)	Hypersensitivity pneumonitis	(Baur, 1995)
Study on the outcome of specific bronchial responsiveness to occupational agents after removal from exposure in 15 subjects with occupational asthma	Not specified	HDI, MDI, TDI	Occupational asthma	(Lemière et al., 1996)
Case report of one subject with occupational asthma	Steel foundry; mold and core processing with use of resins containing MDI	MDI	Occupational asthma (1986) followed by fatal asthma attack (1992)	(Carino et al., 1997)
Case report of one subject with breathing difficulties	Carpenter/glueing wood onto aluminium sheets	MDI	Asthma and contact urticaria	(Kanerva et al., 1999)

Subject of the study	Occupation/task	Agent(s)	Diagnosed disease/effects	Reference
Inhalation challenge study in 24	Not specified	HDI, MDI, TDI	Occupational asthma	(Malo et al., 1999)
symptomatic subjects				
Analysis of specifig IgG response	Not specified	HDI. MDI, TDI	Occupational asthma (12), hypersensitivity	(Aul et al., 1999)
to isocyanates in 13 subjects with			pneumonitis (1)	
respiratory reactions		3.55.7		(7. 0. 1. 1.000)
Case report of one worker with	Toy manufacture; spray painter/spray painting of	MDI	Occupational asthma	(Perfetti et al., 2003)
respiratory symptoms, who was	polyurethance foam balls with a paint containing MDI			
exposed for three years without				
developing sensitisation. Probably a single high dose after an				
accidental spill represented the				
trigger for sensitisation				
Case report of a woman with	Manufacture of plastic components for the car industry	MDI	Occupational sensitisation to MDI causing	(Valks et al., 2003)
breathing difficultie; symptoms	using a two-component polyurethane glue	WIDI	contact urticaria and asthma simultaneously	(Valks et al., 2003)
started after a peak exposure	asing a two component porjuremane grac		contact difficulty and astimia simulations disty	
(heavy and prolonged contact				
with a glue).				
Case report of one man	Handling of spray-paint containing isocyanate	MDI	Combined hypersensitivity pneumonitis and	(Matsushima et al.,
complaining about respiratory			bronchial asthma	2003)
symptoms				
Case report of one patient with	Hospital nurse working with MDI-containing synthetic	MDI	Occupational asthma	(Donnelly et al., 2004)
respiratory symptoms	plaster casts			
Case report of one man who	Breaking up a large refrigerator containing MDI	MDI	Hypersensitivity pneumonitis with acute	(Morimatsu et al., 2004)
reported coughing and fever			respiratory distress syndrome	
Re-examination of 25 subjects	Spray-painting using polyurethane varnishes	TDI	Occupational asthma; re-examination of	(Pisati et al., 2007)
diagnosed with occupational			subjects with occupational asthma after 58 ±	
asthma after long-term removal			7 months following removal from exposure.	
from exposure			Seven were still reactors, 18 had lost	
C		MDI	reactivity.	(0): 1 2000
Case report of one subject	Mixing polyurethane glues for the manufacture of	MDI	Asthma and urticaria (concomitant type I	(Stingeni et al., 2008)
complaining of breathing	adhesives		and type IV sensitivities to MDI)	
difficulties Follow-up study in 17 patients	Not specified	HDI MDI TDI	Diisocyanate-induced asthma	(Diggilia et al. 2000)
diagnosed with diisocyanate-	Not specified	HDI, MDI, TDI	Diisocyanate-induced astnma	(Piirilä et al., 2008)
induced asthma after cessation of				
exposure				
Case report of one patient with an	Paint quality controller (laboratory)	HDI	Occupational extrinsic allergic alveolitis;	(Bieler et al., 2011)
acute respiratory event	Tame quality controller (incorner)	1121	life-threatening allergic reaction	(2.0.01 00 01., 2011)

Table 2 shows the results from studies regarding the annual incidence of TDI-related occupational asthma cases as reviewed by (Ott, 2002).

Table 2: Data taken from Tables II and III in (Ott, 2002)

Study	Time period	Annual incidence of TDI-induced occupational asthma [%]	TDI concentration [ppb]	Exposure sampling
		TDI product	ion units	
(Adams, 1975)	1961 - 1970	5.6	1962 - 1964: 58-72% of samples > 20 1965 - 1966: 4-21% of samples > 20 1967 - 1970: 1-2% of samples > 20	Area samples
	1956 - 1959	1.6	1956 - 1957: 60 (mean area conc.)	Area samples
(Porter et al., 1975)	1960 - 1969	0.8	1960 - 1969: steady decline in area conc.	
	1970 - 1974	0.3	1974: < 4 (mean area conc.)	
(Weill et al., 1981)	1973 - 1978	1.0	1.6 - 6.8 (TWA; range by job) (STC > 20, 5-11% of time in moderate to high exposure jobs)	Area samples 1973-75 Personal samples 1975-78
	1967 - 1979	1.8	3.4-10.1 (TWA; range by job)	Area samples 1967-75
(Ott et al., 2000)	1980 - 1996	0.7	0.3-2.7 (TWA; range by job) (STC > 20, 0.5-0.9 times/shift in moderate to high-exposure jobs)	Personal samples 1976-96
		PU foam produc	tion facilities	
(Woodbury, 1956)	1954 - 1955	5	Multiple TDI spill episodes described in 18-month period	No sampling data
(Williamson, 1964)	1962 - 1963	> 2.7	Samples mostly < 20 (up to 200 detected during spills)	Area samples
(Bugler et al., 1991)	1981 - 1986	0.8	0.9-2.6 (TWA; range by job) 22% of 8-h samples with short-term conc. > 20 and 10% > 40	Personal samples
(Jones et al., 1992)	1982 - 1986	0.7	1.4-4.5 (TWA; range by job) (STC > 20, 3% of time in production and 0.1% of time in finishing jobs)	Personal samples

#### 1.1.1.2 Longitudinal studies

The available longitudinal studies are summarised in Table 3.

Table 3: Longitudinal studies on occupational asthma related to exposure to HDI, MDI, and/or TDI

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Adams, 1975)	Prospective cohort study (nine years), two plants  565 subjects employed for some period between 1961 to 1972  A) Comparison of respiratory symptoms in TDI plant workers (n = 76) with control workers (n = 76) in another plant  B) Lung function in healthy workers (n = 100)	TDI  Manufacture	Area samples taken at points in the plant where free TDI might have been expected (ca. 250 measurements a week; Marcali method, (Marcali, 1957))  Samples > 20 ppb: 1962-64: 58–72% 1965-66: 4–21% 1967-70: 1-2%	A) Respiratory symptoms (questionnaire): No significant difference in symptoms between men working in TDI plant and controls, with the exception of higher frequency of wheezing in controls.  B) Lung function: Duration of exposure had no effect on FEV₁ or FVC in the regression analysis.  C) Respiratory symptoms (questionnaire): Prevalence of symptoms in TDI-sensitised men significantly higher than in controls →	Reviewed in (Ott, 2002)  Method of analysis did not calculate individual decline in lung function.  Regression analysis included duration of exposure, but no exposure level  Area measurements  Lung function measurements in the afternoon
	C) Long-term effects in men removed due to symptoms without exposure to TDI since two to 11 years (n = 46) compared to agematched control group (n = 46)  D) Lung function in men removed due to symptoms and without exposure to TDI since two to 11 years (n = 61)			persistence of symptoms  D) <b>Lung function</b> : FEV <sub>1</sub> and FVC smaller than predicted by equation obtained from a control group: FEV <sub>1</sub> -267 mL, FVC -269 mL	Only healthy workers included  Smoking not included in regression analysis

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Butcher et al.,	Prospective cohort, 2.5	TDI	Area sampling (1973): frequent	<b>Lung function</b> changes (n = 102):	Attrition rate = 7.2%
1977)	years		excursions of 8h-TWA value of		
		Manufacture	5 ppb; many above 20 ppb	Mean values of FVC and FEV <sub>1</sub>	Two workers had left the
	Visits: April 1973		D 1 1 (1075)	increased in all groups. Other lung	study by October 1975 after
	(before TDI production), November 1973 (after		Personal monitoring (1975)	function parameters decreased slightly (n. s. different from zero or predicted).	developing reactivity to TDI.
	production had started),		Frequent and large discrep-		No quantitative exposure es-
	every six months		ancies between simultaneously	Paradoxical differences for lung	timation for the four exposure
	thereafter		measured area and personal	volumes and diffusion capacity (greater	categories
			exposure levels	declines in the groups with higher	
	Initially n = 166		-	exposure).	Smoking not considered in
	Gu 1 'n TDI'd'		Four groups:	No construction of the Con	analysis of change in lung
	Study in TDI-sensitive persons (specific and		1) Mainly in TDI area: n = 77	No exposure-related excess decline in lung function determined.	function
	unspecific challenge)		2) Intermittently in TDI area:	rung function determined.	
	unspecific chancinge)		n = 36	Respiratory symptoms (questionnaire	
			3) Comparison group: $n = 53$	administered by interviewers):	
			4) Workers transferred from	administered by interviewers).	
			control group to exposure group	No significant increase in prevalence of	
			after production had begun	bronchitis, atopic disorders, upper	
			(added later)	respiratory symptoms from April 1973	
				to October 1975.	
				Significant proportion of exposed	
				workers (26 of 89) reported onset of	
				lower respiratory symptoms after	
				beginning work in TDI areas (due to	
				symptom development in non-	
				smokers).	
				Inhalation challenge with TDI: Nine	
				out of 13 workers had an adverse	
				bronchial response (immediate type,	
				late type or dual type). Some reacted at	
				5 ppb, some to a higher concentration only.	

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Wegman et	Follow-up of (Wegman	TDI	118 area samples + 14 personal	Lung function (because of acute effect	High attrition rate
al., 1977)	et al., 1974)		samples taken during study	seen on Monday: Monday morning	
	1972: n = 112	PU cushion manufacture	period to characterise 20 work stations	following three-day weekend):	Followed up: (Wegman et al., 1982)
	19/2. 11 – 112	manuracture	stations	Dose-response relationship for two-	1982)
	1974: n = 63 (available		Marcali method (Marcali, 1957)	year change in FEV <sub>1</sub> (-12/-85/-205 mL	Possible confounding variab-
	for re-survey); $n = 57$			from low to high exposure groups).	les explored: Age, months
	with personal exposure levels		Each individual was classed according to his or her usual	Only those in lowest exposure group	employed, smoking habits,
	levels		work station	showed normal declines in FEV <sub>1</sub> .	variables related to lung size. Authors report that none of
			World State of		those was able to explain the
			Three exposure groups (ppm):	Those in highest group had three- to	differences.
			$\leq 0.0015 \ (n = 20)$ $0.0020 - 0.0030 \ (n = 17)$	fourfold higher FEV <sub>1</sub> declines than expected (103 mL/year).	
			0.0020 - 0.0030  (n = 17) $\ge 0.0035 \text{ (n = 20)}$	expected (103 mL/year).	
			= 0.0033 (ii 20)	Significant association between acute	
				and chronic decrement in FEV <sub>1</sub> .	
				Respiratory symptoms	
				(questionnaire): Prevalence of cough	
				and phlegm increased with increase in	
				exposure. Wheezing and dyspnea not	
(Diam at al	Eive veen masmastive (0	TDI	2002 marsanal samples from 142	associated with exposure.	No unounosed ensur
(Diem et al., 1982)	Five-year prospective (9 surveys)	וטו	2093 personal samples from 143 workers representing all job	<b>Lung function</b> (spirometry, annual change):	No unexposed group
1902)	• /	manufacture	categories	change).	"The present data do not
	First survey in 1973 (5 months before start of			Decrease in FEV, %FEV and FEF <sub>25-75</sub>	identify a specific exposure
	production)		8 h TWA from 0.1 ppb - 25 ppb,	was significantly larger in the high	below which no effect upon
			geometric mean 2.00 ppb	cumulative exposure category than in the low category (adjusted for pack-	FEV <sub>1</sub> annul decline will occur. However, they do suggest that
	Initially: n = 168		Average exposure:	years of smoking). No association of	the NIOSH-recommended
	After 5 surveys:		Three TWA exposure job	the other lung function annual changes	standard of a 5 ppb 8-h time-
	n = 274 (males)		categories:	with exposure.	weighted average and a 20
	Median follow-up time		Geometric mean in ppb (time	A more detailed englysis of EEV	ppb 10-min short-term
	for $n = 223$ men who met		per shift < 20 ppb):	A more detailed analysis of FEV <sub>1</sub> and FEF <sub>25-75</sub> in six categories of cumulative	exposure limit is reasonable."
	inclusion criteria of		Low: 0.02 (1.3 min)	TDI exposure and smoking showed a	
	spirometric data 4.1 years (1 – 5.5)		Medium: 2.0 (8.6 min)	significant effect of TDI exposure in	
	yours (1 3.3)		High: 4.5 (28.2 min)	never smokers only and a significant	

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Diem et al., 1982), ctd.			Cumulative exposure calculated from number of months spent in each of the three TWA exposure categories and their respective geometric means. Workers were divided into two groups using a division point of 68.2 ppbmonths (= 1.1 ppb x 62 months). Low exposure group n = 149, high n = 74. Working time spent > 5 ppb: 2% in low exposure group, 15% in high exposure group.  Peak exposure categories: Division point 0.19 months > 20 ppb	effect of smoking in the low exposure group only. → effects not additive  Effects similar for six categories of TDI peak exposure and smoking with the exception that a significant exposure effect was also found in current smokers → higher TDI exposure seems to mask smoking effect → peak exposure analysis suggests additive effect (lacking in cumulative exposure analysis)  Respiratory symptoms (questionnaire): No significant correlation in increase in prevalence from initial to final interview and exposure to TDI.	Low cumulative exposure group was older and initially had higher prevalence of respiratory symptoms than high exposure group → possible underestimation of excess decline in lung function due to TDI  75% of the low exposure group had follow-up time > 2.5 years and 99% of the higher exposure group  Atopy, race and smoking were considered  Age and FEV₁ level were considered in the more detailed analysis of FEV₁ and FEF₂5.75
(Musk et al., 1982)	Five-year follow-up  n = 259 from three sites were examined in 1971; one of the sites closed in 1972 and there was high worker turnover; 107 subjects were available for re-examination in 1976	MDI and TDI for the manufacture of PU automobile components	2573 environmental samples were collected by plant personnel in the breathing zone of subjects pouring urethane plastic (exposure in areas with the highest exposures were measured)  During lung function survey further measurements were made by plant personnel and study personnel at selected sites with highest TDI and MDI concentrations  Marcali method (Marcali, 1957)	Lung function (spirometry (FEV <sub>1</sub> , FVC); change over 5 years/change over the course of a day/change between before and after two weeks of vacation):  Mean annual decrement in FEV <sub>1</sub> of 0.02 L was interpreted as being only age-related  No significant acute change in FEV <sub>1</sub> over the course of a day before or after vacation reported  After two weeks of vacation FEV <sub>1</sub> was increased in those who had taken the vacation (n = 49, n. s.) and was decreased in those who had worked (n = 31, n.s.).	Uncertainties in exposure assessment and spirometry  Smoking, age, height, sex were considered in the regression analysis of FEV <sub>1</sub> .  Healthy worker survivor effect (although it is reported that subjects who left had similar lung functions to the remaining subjects, it seems possible that workers left due to earlier symptoms of sensitisation).

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Musk et al.,	-		All environmental	Exposure category did not affect daily	
1982), ctd.			measurements made over the 5	change in FEV <sub>1</sub> /pre- to postvacation	
			years together with the	change in FEV <sub>1</sub> /five-year change in	
			occupational history of the	FEV <sub>1.</sub>	
			subjects determined the	D	
			exposure category (No exposure/MDI/TDI/MDI and	Respiratory symptoms	
			TDI).	(questionnaire):	
			121).	No association between exposure to	
			90% of all measurements of	isocyanates and bronchitis or dyspnea	
			TDI taken over the four years	found	
			prior to the follow-up study		
			were < 5 ppb (plant 1) and	No acute exposure-related symptoms	
			< 4 ppb (plant 2)	reported	
			Geometric mean TDI		
			concentration: 1.5 ppb (plant 1)		
			and 1 ppb (plant 2)		
			MDI levels tended to be lower		
			than TDI levels		
(Wegman et	Four-year follow up	TDI	Environmental sampling at	Lung function:	Uncertainties in exposure
al., 1982)	(Wegman et al., 1974;	A 1-:1-	selected work sites on the same	Acute change in FEV <sub>1</sub> (during work	assessment
	Wegman et al., 1977)	Automobile seat cushion	day as lung function was measured.	shift) observed at the beginning of the study was weakly associated with long-	High attrition rate
	1972: n = 111	manufacture	measured.	term change in FEV <sub>1</sub> .	High author rate
	1974: n = 63	manuracture	Additional sampling during the	term change in 1 E v 1.	Lung function decline
	1976: n = 48 (all those		first two years of the study.	Chronic change in FEV <sub>1</sub> (over four	evaluated from 3 occasions
	who were still at work in			years):	only
	1976) $\rightarrow$ n = 37 with		Personal sampling in production		
	exposure history and		area, area samples in warehouse	Mean exposure to TDI was the best	
	acceptable spirograms		and nonproduction sites.	predictor of four-year change in FEV <sub>1</sub>	
	On all three accessors		Manuali mathed (Manuali 1057)	in a stepwise regression model.	
	On all three occasions workers were examined		Marcali method (Marcali, 1957)	Change in FEV <sub>1</sub> increased with	
	before work and as many			exposure and was significantly	
	as possible six to ten		Occupational histories taken	different between the exposure groups.	
	hours later.		from personnel records	different det noon die emposare groups.	

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Wegman et al., 1982), c	d.		Cumulative exposure of each worker calculated and from this the usual exposure level.  Three exposure groups: Low (< 2.0 ppb) Medium (2.0-3.4 ppb) High (> 3.5 ppb)	Decline in FEV <sub>1</sub> in high exposure group (60 mL/year) was higher than annual decline observed in other studies of normal populations (32-47 mL).  Respiratory symptoms (questionnaire; upper respiratory tract symptoms: sneezing, sinus trouble or postnasal drip, hay fever; lower respiratory tract symptoms: coughing, wheezing, shortness of breath): Prevalence of respiratory symptoms was unrelated to exposure category.	
(Omae, 198	Four TDI-producing plants, two research laboratories  1980:  n = 106 male exposed workers  n = 39 male controls (office workers)  1982 (one plant had closed):  n = 64 workers (follow-up rate 60%)  n = 21 controls (follow-up rate 62%)	TDI  Manufacture; research laboratory	Mean duration of TDI exposure: 9.0 years (subjects in 1980) 11.2 years (subjects in 1982)  Personal paper tape monitor (gives continuous profile; n = 161 samples in 1980, 106 in 1982)  Means of individual TWA: 0.7 ppb (1980) 1 ppb (1982)  Short-term exposure ≥ 20 ppb in 9.3% (1980) and 1.9% (1982) of collected samples	Lung function (Maximum expiratory flow volume curve, respiratory impedance):  Eight workers with asthmatic reactions, shortly after having begun work with TDI. Percentage of predicted values significantly less than 100% in some of the expiratory flow parameters.  No significant differences in lung function between the exposed workers and the referents.  Change in lung function over the day (1980; 68 TDI workers + 31 controls): No meaningful daily changes in lung function in either group.  Change in lung function over two years: When adjusted for aging, no remarkable intra-individual two-year decreeses in lung function parameters in both groups and no significant difference between the groups.	High loss to follow-up Co-exposures:  TDI plant workers: occasionally various irritants such as phosgene, chlorine, nitric acid, sulfuric acid; Research laboratory workers: irritative amines, organic tin compounds, MDI, HDI during experimental mold foaming  Effects of age, physical factors and smoking on lung function considered in analysis  Survival worker effect considered to be small by the authors  Hyperreactive persons to TDI may have already been transferred out of TDI sections

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Gee and Morgan, 1985)	Ten-year follow-up (includes significant proportion of subjects included in (Musk et al., 1982)Musk et al. 1982)  Examinations in 1971 and in 1981  n = 68 exposed n = 12 controls n = 65 subjects with pre- and post-shift measurement n = 42 studied in 1971 and 1981	MDI and TDI  Manufacture of fittings, seat covers, other fixtures used in the interior of cars	Routine area and some individual sampling had been carried out monthly or more frequently  Mean annual concentrations between 1973 and 1980 for TDI: 1- 5 ppb  Mean annual concentrations between 1975 and 1981 for MDI: 1- 5 ppb	No difference in the two-year decrement between the workers with asthmatic reactions and the other TDI workers.  Symptoms (interviewed by means of a questionnaire):  No significant differences in prevalence of respiratory symptoms between exposed workers and reference.  Significantly higher prevalence of throat and eye irritation in exposed workers than in reference. May be due to peak exposures to TDI or other irritants (phosgene).  Lung function (compared to predicted values):  Three subjects had impaired lung function (two exposed, one control).  Lung function of subjects studied previously had mean FVC and mean FEV <sub>1</sub> > 100% of the predicted values. Control group of one plant had a significantly lower percentage of the predicted FVC and FEV <sub>1</sub> than the exposed group. No other significant difference between any of the groups.  Lung function (change over shift): Change not higher than 10% in any subject.  No comparison between controls and exposed.	Mean annual exposure values on factory level only  Uncertainties in spirometry data (no reproducibility, leak in spirometer possible in 1971; learning effect from pre- to post-shift measurements)  Results on annual decline in lung function seen as "not realistic" (small increase in FVC, small decrease in FEV <sub>1</sub> ).

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Gee and Morgan, 1985), ctd.	,			Mean shift change in FEV <sub>1</sub> was -57 mL in exposed and +69 mL in controls in one plant and -23 and -80 mL in the other plant, respectively.	
(Musk et al., 1985)	Re-analysis of the data from (Musk et al., 1982)				The spirograms performed 1971 in the study by (Musk et al., 1982) were criticised ("inadequate", "lack of reproducibility", "leak in the spirometer"). (Musk et al., 1985) found the original conclusions valid.
(Pham et al., 1988)	Five-year follow up  1976: n = 318 workers (104 women)  1981: n = 156 (45 women)  Two factories producing PU foam  Follow up of Pham et al. 1978	Mainly MDI Production of PU foam	Isocyanate concentration: 1976: < 20 ppb  1981: ≤ 5 ppb  1976: Group I (n = 83): unexposed Group II (n = 117): indirectly exposed Group III (n = 118) directly exposed  1981: Only results for men reported for the longitudinal analysis. Group A (n = 45): unexposed at both studies Group B (n = 24): undirectly exposed at both studies Group C (n = 30): directly exposed at both studies Group D (n = 15): exposed in 1976, but removed in 1981	Lung function (flow volume curve, single breath CO diffusion test (D <sub>LCO</sub> )):  Ventilatory function and lung transfer factors significantly impaired in male exposed workers compared to group I. Only in the subgroup of workers exposed for more than 5 years.  Decline of ventilatory function variables not significantly different between the groups.  Significant larger loss of D <sub>LCO</sub> in subjects with persisting exposure (group C) compared to reference group.  Results returned to normal for the subjects no longer exposed (group D).  Respiratory symptoms (questionnaire): Increased prevalence of asthma in group II men and group III women and of chronic bronchitis in both sexes. Number of workers with asthma or chronic bronchitis increased over the five years, but this was not limited to the exposed group.	High loss to follow up (only half of the initial cohort still active after 5 years)  Rare information on exposure  In females, the proportion of smokers was the same in groups I – II. In males, there were slightly (n.s.) more smokers in groups II and III.  Co-exposure to other isocyanates? ("mainly MDI")

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Tornling et	Six-year follow-up	HDI monomer	Individual exposure assessments	Decline in <b>lung function</b> over six years	Participation rate at follow-up
al., 1990)	(initial study:	(and HDI	by industrial hygienist	(1978: Monday morning values were	78% among car painters and
	(Alexandersson et al.,	biuret trimer)	(interview about working	used; 1984: Workers were examined	81% among controls.
	1987))		routines, respirator use, hygienic	during the first three hours of a	
		Car painting	standards).	working day):	Selection bias (drop-outs may
	1978:				have quitted job because of
	46 male car painters and		Exposure measurements at	Smoking and ex-smoking car painters	respiratory symptoms, one
	142 male controls (car		seven representative shops	had significantly larger lung function	asthma case known)
	platers and mechanics)			decrease compared with respective	
	randomly chosen from		98 samples inside and outside	controls.	Smoking not quantified
	14 garages in Stockholm		the respirator		
				Non-smoking car painters displayed no	
	Reinvestigation in 1984:		Individual exposure was	faster deterioration in lung function	
	Participation rate 78%		calculated from workplace data,	than corresponding controls.	
	for car painters and 81%		proportion of work tasks, use of		
	for controls		respirators.	(Decrease in FVC correlated	
				significantly with number of HDI-BT	
	n = 36 car painters		18 peak exposure measurements	exposure peaks, but not with mean	
	n = 115 controls		(sampling time < 3 min)	exposure.)	
			Calculated TWA exposure:	IgG and IgE, specific IgE in car painters:	
			HDI: 0.0015 mg/m <sup>3</sup>	paniters.	
			HDI. 0.0013 mg/m²	No significant differences in Ig levels	
			(HDI-BT: 0.09 mg/m <sup>3</sup> ,	between car painters and controls.	
			frequently peak exposures > 0.2	between car painters and controls.	
			mg/m <sup>3</sup> )	No specific IgE found.	
			mg/m/)	ivo specific ign found.	
			Calculated yearly number of	Symptoms: Car painters reported	
			peak exposure situations up to	significantly higher frequency of	
			6000 for each car painter	wheezing than the controls. Differences	
				for other symptoms n.s.	
			No close correlation between		
			exposure peaks and mean		
			exposure		

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Jones et al.,	Cross-sectional, follow	TDI	258 workers wore monitors on	Lung function (spirometry, standing	Co-exposure to different
1992)	up		507 shifts resulting in 4845 12-	position, nose clips):	amines and other substances in
		Production of	min samples:		foam production
	Two plants	flexible PU	9% > 5ppb	Significant adverse effect of cumulative	_
		foam products	1% > 20 ppb	TDI exposure on initial level of FVC	Healthy worker effect
	n = 394 at the start of the	•		and FEV1 in current smokers.	(predicted values)
	study, through the fourth		TDI concentrations were		
	examination $n = 435$ had		assigned to groups of jobs.	TDI exposure had no significant effect	Differential misclassification
	ever worked in one of the		Information on the number of	on lung function decline.	of exposure (large number of
	plants		months spent in each exposure		samples < LOD)
	_		grouping was taken from	Respiratory symptoms (questionnaire	,
			personal records.	administered by trained interviewers):	
				Chronic bronchitis more prevalent	
			Mean by plant and job area	among those with higher cumulative	
			ranged from 1.17 to 4.47 ppb.	exposure (controlled for smoking, age,	
				sex).	
			Exposure measures:		
				Metacholine challenge (n = 303):	
			Cumulative exposure from hire	Metacholine responsiveness in 22% of	
			to first study examination;	tested workers.	
			cumulative exposure from hire		
			to the end of study; cumulative	Skin prick test with common inhalant	
			exposure during the study	allergens	
			period; length of time exposed	Total IgE, RAS	
			to concentrations > 5 and		
			20 ppb		

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Omae et al.,	Four-year follow up	TDI	Personal paper-tape monitors (n	Lung function (Flow-volume indices	No individual exposure
1992)	(cross-sectional results		= 59 samples in 1981, 48 in	in 1981; Average annual loss of the	estimates
	see (Omae et al., 1992))	PU foam	1983 and 52 in 1985)	indices during 1981-1985 (forced	
		manufacture		expiratory flow-volume test at follow-	No significant differences
	Cross-sectional: 1981		Group L (low exposure with	ups; slope of the regression equation	between group H1 and H2 (as
			little variation), $n = 28, 17.4$	for every subject)):	suggested in the abstract)
	Follow-up visits: 1983		years in the PU foam factories		
	and 1985		(mean), TWA (mean, max)	No "noteworthy" differences in	Workers in slab-type factories
			0.1 ppb, 1 ppb;	pulmonary function indices and	intermittently exposed to
	Japan:		Peak exposure level < 1 ppb	average annual losses between groups	relatively high levels of TDI
				H, L, reference.	and concurrent other chemical
	57 PU foam workers		Group H (exposed workers), n =		gases/aerosol → group H
	(follow-up rate 66%; two		29, 16.5 years in the PU foam	Group H1: Significantly larger average	divided into two subgroups
	excluded)		factories (mean), TWA (mean,	annual lung function losses (%MMF,	
			max) 5.7 ppb, 30 ppb;	%FEV <sub>1</sub> , $%$ MEF <sub>25</sub> ) than expected.	Smoking rate significantly
	24 reference workers		Peak exposure level 3-80 ppb	Significantly larger average annual	lower in group H than in
	(follow-up rate 61%;			losses in some obstructive pulmonary	group L and reference group
	three excluded)		Two subgroups of group H:	function indices than in group L or	
				reference group.	Comparison of average annual
			Group H1 (high short-term		losses of smokers and non-
			exposures), $n = 15$ , 13.8 years in		smokers in the 4 groups
			the PU foam factories (mean),		showed similar trends. Higher
			TWA (mean, max) 8.2 ppb,		losses in smokers than non-
			30 ppb; Peak exposure level 30-		smokers.
			80 ppb		
			Crown II2 n = 14 10 4		Based on a comparison
			Group H2, n = 14, 19.4 years in		between lung function of
			the PU foam factories (mean),		followed-up and lost workers, survival-worker effect was
			TWA (mean, max) 1.7 ppb, 4 ppb; Peak exposure level 3-		evaluated to be small.
					evaluated to be small.
			14 ppb		

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Dahlqvist et	Re-analysis of data from	HDI	Individual exposure assessments	<b>Lung function</b> (1978: spirometry on	Uncertainties in exposure
al., 1995)	(Tornling et al., 1990)		by industrial hygienist	Monday before work after two days of	assessment
	and (Alexandersson et	Monomer (and	(interview about working	no exposure and on Friday; 1984:	
	al., 1987)	biuret trimer)	routines, respirator use, hygienic	spirometry during the first three hours	(Current smokers on average
			standards).	of a working day)	had a higher yearly number of
	Evaluation if lung	Car painters			peak exposures to HDI-BT
	function decrease within	working with	81 exposure measurements for	Changes in FEV <sub>1</sub> and FVC within the	than the smokers as a whole
	the week is a marker of	polyurethane	three tasks in 25 spray-painting	week were dichotomised.	(previous and current)May
	vulnerability of further	paints	chambers.		indicate less use of protective
	decrement in lung			Ten workers had a decrease in FVC	equipment by smokers.)
	function		Peak exposure measurements	within the week.	
	G' C. 11		were performed (sampling time	To a land a land a land a EDV	Smoking not quantified
	Six-year follow up, two		< 3 min)	Ten workers had a decrease in FEV <sub>1</sub> within the week.	
	study occasions		TWA between 1978 and 1984	within the week.	
	Original group of		for the workers studied:	Car painters in the initial study who	
	workers were randomly		HDI: 0.0014 mg/m <sup>3</sup>	showed a decrease of FVC within the	
	chosen from 14 garages		(HDI-BT: 0.09 mg/m <sup>3</sup> )	week in 1978 had a significantly	
	in Stockholm, 28 car		(HDI DI: 0.0) liig/iii )	greater decline in FVC from 1978 to	
	painters participated in			1984 than car painters who did not	
	all three spirometric			(adjusted for smoking).	
	examinations, only those				
	20 were chosen who had			Significant correlation between	
	been working during the			changes within the week and six years	
	entire six years period			decline in FVC.	
				Decline in FVC was not significantly	
				correlated with the mean exposure to	
				HDI (or HDI-BT) estimated during the	
				entire follow up.	
				chine follow up.	
				(Six year decline in FVC was	
				correlated to the yearly number of peak	
				exposures to HDI-BT.)	
				Respiratory symptoms reported (for	
				example 3/10 workers with change in	
				FVC within the week in 1984 had	
				cough, dyspnoea, and/or wheeze).	

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Akbar-	1) Cross-sectional (daily,	HDI monomer	1) HDI monomer, HDI polyiso-	1) Lung function (spirometry on	No individual exposure
Khanzadeh	weekly changes)	(and	cyanate, volatile organic	Monday and	estimates
and Rivas,	•	polyisocyan-	compounds	Friday before and after shift):	
1996)	2) Longitudinal (2.5-year	ate), combined			Very small number of air
	follow up)	with organic	Personal and area samples	No significant differences between	samples
		solvents		exposed and control group	
	1)	(MDI)	HDI:		Control group appropriate?
	16 Urethane mold		92% < LOD (set to 50% of	No significant reduction in lung	
	operators	Encapsulated	LOD); mean concentration	function during workshift or during	
	19 Controls (final	automobile	(personal, area): 1.55 ppb (n =	week in the exposed group compared	1) HDI in control area
	assembly department, office area)	glass plant	6), 0.65 ppb (n = 3)	to the control group. Some findings in subgroups by sex.	0.67 ppb
			(HDI polyisocyanate:		Co-exposure
	2)		75% < LOD;	Respiratory symptoms	_
	Oct 1989 – March 1992:		mean concentration (personal,	(questionnaire): Some symptoms more	Smoking was significantly
	65 exposed to		area): $0.09 \text{ mg/m}^3 \text{ (n = 6)}, 0.02$	prevalent in control group (n. s. or not	more prevalent in the exposed
	diisocyanates and solvents		$mg/m^3 (n=3))$	tested?).	group
	40 exposed to solvents 68 controls (office,		2) Mean concentration:	2) <b>Lung function</b> (spirometry before the shift):	2) Co-exposure
	assembly, hardware		HDI 1 ppb (n = 8 samples)	,	Controls had no occupational
	department)			Significant decrease in lung function	exposure "between the two
	,		(HDI polyisocyanate 0.29	parameters in isocyanate/solvent-	tests"
			$mg/m^3$ (n = 5 samples))	exposed group.	
			MDI 0.45 ppb (n = 7 samples)	Significant differences in lung function change (FEV1 and FVC) among groups	
				Respiratory symptoms (questionnaire): Proportion of subjects who developed respiratory symptoms in the isocyanate-exposed group was not significantly greater than that of the non-exposed group.	

1998)  UK  Manufacture of PU foam  780 workers in 12  measurements) for 100 job categories. Cumulative exposure between first and last lung function measurement was  No significant control of the control of the categories of PU foam between first and last lung function measurement was	udinal decline in <b>lung function</b> Followed up by Clark et al.
based on job histories.  For the sanalysis mean da working day) was exceeded on 107 (4.7%) occasions.  Five of the 780 subjects (0.6%) had a mean daily exposure exceeding the limit value.  Feak exposure limit value of 20 ppb was exceeded in 500 (19%) samples.  Sanalysis mean daily sanalysis mean day of FEV1 (question to the result of analysis mean day of FEV1 (question to the result of analysis mean day of FEV1 (question to the result of analysis mean day of FEV1 (question to the result of analysis mean day of FEV1 (question to the result of the result of analysis mean day of FEV1 (question to the result of the	netry; three or more rements):  Inificant effect of TDI on annual anction change.  In an array population, regression is showed a significant effect of laily exposure on annual changes  In and FVC. Due to irritant  I an annual 2003  High attrition rate (47%)  Leavers reported excess breathlessness and wheeze compared to non-leavers of the total population.  Linear regression considered

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Hathaway et al., 1999)	Nine-year follow-up	HDI	Average number of years of potential exposure: 8.4	Lung function (as part of annual evaluation of workers):	Exposure not measured on individual level
	Production began in 1988, follow up through 1997	Production of HDI biuret and trimer	Area and personal sampling (different methods and	Average number of available tests for calculating slope: 7.8 (exposed) and 8.2	Smoking not quantified
	n = 43 "potential cases"	from monomer	equipment over time)	(controls).	Height and race only partially controlled
	and n = 42 "potential controls" of another unit at the same plant		Exposure when not wearing respiratory protection was considered	No significant difference in annual change of lung function (slopes) between exposed and control group.	Co-exposure in control group reported (depending on work area): cerium and neodymium
	n = 32 matched pairs (by smoking, sex, age and by race and height if		1992-1995 (personal monitoring): average (range):	By smoking status, the results show more variation.	oxides, nitric acid, ammonia, kerosene, tributyl phosphate
	multiple possibilities were available)		TWA during work not requiring respiratory protection in the unit (1 – 4 hours/day): 0.5 ppb (0.0 – 2.0 ppb); calculated as 8h-TWA: 0.13 ppb	Results seen as being within the range of lung function declines reported in other studies.	Qualitative information on potential drop outs: low turnover rate, few transfers between the units, subject attrition not been a problem
			Highest daily peak exposure: 2.9 ppb (1.0 – 10.0)		
			Exposure before 1992 believed to be somewhat higher (no		
			quantification)		
(Ott et al., 2000)	Historic cohort study using medical records	TDI manufacturing	Duration of TDI unit assignments:	Occupational asthma:	Long follow-up time
	and exposure records from 1967 to 1997		5.7 years (average, men)	Case identification was based on site physician. One episode of asthma-like symptoms was not enough to be an OA	Exposure concentration linked to the asthma incidence not clear. (Ott et al., 2003) report
	313 employees ever assigned to the TDI		4.7 years (average, women)	case.	for this study an exposure of 0.3 – 2.7 ppb (TWA; range by
	production unit for $\geq 3$ months;		3 months to 30 years (range)	19 asthma cases presumed to be due to TDI, 9 skin allergies, 1 case of asthma	job) since 1980, assigning this to a yearly incidence of 0.7%.
	158 reference employees;		1967 (area sampling): < 10 ppb in most areas and 25 ppb in the	and skin disease; Yearly incidence: 19 cases in 1779 work years = 1.1%;	
	- •		residue handling area	before 1980: 1.8%; since 1980: 0.7%	

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Ott et al.,	40 records were not		1969-1973: < 10 ppb in most	Cumulative incidence for people	Peak exposure and dermal
2000), ctd.	found (16 of the study		areas with 60 to 80 ppb in	assigned to TDI unit for at least 20 yrs:	exposure make it difficult to
	group and 24 of the		certain areas	11.5% (95% CI 5.3-17.7%)	evaluate the 8h-TWA.
	reference group)				
			1976-1988 (personal 8 h	7 of 19 cases had reported previous	Smoking, non-occupational
			samples, paper type method):	incidents of exposure to TDI (two	asthma and allergy were
			5.9 ppb (average)	related to rashes that had developed	assessed.
				while handling TDI or waste products	
			1989-1997 (personal 8 h	containing TDI)	Exposure to phosgene
			samples, filter method); 2.8 ppb		
			(average)	Respiratory symptoms:	
				Since 1980 a standardised	
			JEM: Industrial hygiene	questionnaire was used that contained	
			measurements were linked to	four questions with dichotomous	
			job-specific work history per	answers (concerning	
			person; peak exposure and 8 h	wheezing/cough/chest	
			TWA concentration were	discomfort/shortness of breath).	
			aggregated on a job- and time-		
			specific basis for three job	No significant associations with	
			groups (potentially	responses in the questionnaires were	
			low/moderate/high TDI	found for those exposed to TDI versus	
			exposure); cumulative dose	referents.	
			estimates (ppb-months)	<b>T 6 11 ( 1 1 1 1 1 1 1 1 1 1</b>	
				Lung function (spirometry):	
			Average TDI concentration: <	Neither cross-sectional nor longitudinal	
			5 ppb for 59% of the workers	analyses of FVC and FEV <sub>1</sub> showed	
			Cumulative TDI dose: <	significant dose-response findings	
				relative to exposure to TDI across the	
			500 ppb-months for 89% of the workers	total exposed population.	
			WOLKELS		
			Frequencies of peak exposure >		
			20 ppb per shift: 0.5 in moderate		
			exposure jobs, 0.9 in high-		
			exposure jobs		

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Bodner et al.,	Longitudinal, data taken	TDI	Mean observation period of TDI	Clinical symptoms	Longest follow-up time
2001)	from routine medical		workers 7.8 years (SD 6.2)	(questionnaire):One of the symptoms	(together with Ott et al. 2000)
	surveillance	Manufacture		significantly more prevalent in controls	for TDI workers until then.
	examinations offered		449 8 h TWA TDI samples in	than in exposed subjects at baseline	
	every 1 to 2 years		20 job categories; mean TDI	(shortness of breath). Prevalence for all	Retrospective (change of
			exposure values per category	symptoms increased in both groups	formats of health surveys)
	Cross-sectional analyses		calculated for start-up period	over time. Prevalence of symptoms not	
	(symptoms before entry		(1971-1979) and full production	higher in TDI exposed subjects	Not enough exposure samples
	and at last examination)		period (1980-1997); individual	compared to controls at final	to derive annual TDI
	D . 6 1071 1007		work histories were matched to	examination.	concentration estimates for
	Data from 1971-1997,		the 20 job categories to produce	N. CC. A. CTDI	each year for each job
	mean follow-up ca. 8		average exposure estimates and	No effect of TDI on clinical symptoms	category
	years		cumulative exposure estimates for each work segment for each	reported during the study period found in regression models using four	Decreasion analyses for
	Dow Chemical, Texas,		worker	cumulative exposure categories or	Regression analyses for symptoms were adjusted for
	USA		Worker	using a continuous cumulative variable	observation period and pack-
	USA		Mean TDI concentration per	or using quartiles of exposure.	years. Covariates considered
	305 TDI-exposed		individual: 2.3 ppb (SD 1.0),	or using quarties or exposure.	for the mixed models for
	workers		max. 5.2 ppb	Lung function (spirometry): Average	longitudinal lung function
	Workers			annual decline in FEV <sub>1</sub> was 30 mL.	change were initial $FEV_1$ ,
	581controls		Average cumulative TDI	No association of TDI and decline in	initial FVC, age, observation
	(hydrocarbons		exposure: 96.9 ppb-months (SD	lung function found with mixed	period, height, race, sex, race,
	department)		110.6), max. 639 ppb-months	regression models using different	entry period, pack-years,
				exposure terms and subgroups.	asthma, shortness of breath
			Quartiles of the cumulative TDI		
			estimates: 1-29 ppb-months, 30-		No exposure to MDI (as in
			70 ppb-months, 71-133 ppb-		some foam-manufacturing
			months, > 133 ppb-months		operations)
			F		
			Exposure categories with cut-		
			points at 1 ppb for 1, 5, and 10		
			years, expressed in ppb-months (distribution for all		
			observations):		
			1-12 (8.3%), 13-60 (36.6%), 61-		
			120 (27.1%), > 120 (27.0%)		

Reference	Study design and	Isocyanate	Exposure	Results	Remarks
	subjects	and use			
(Clark et al.,	17-year longitudinal	TDI	Personal measurements:	Longitudinal decline in lung function	Study was not designed to
2003)	1001 1000	3.6	1004 111	(same spirometer as in previous study;	identify cases of sensitisation
	1981-1998	Manufacture	n = 1004  valid	earliest measurement during 1981-1986	D 1
	UK	of PU foam	1.20/ in average of 46.4 mmhh	+ further measurement in 1997/1998	Persons showing evidence of TDI sensitisation would be
	UK		1.3% in excess of 46.4 ppbh (5.8 ppb, 0.02 mg NCO/m <sup>3</sup> )	used): Significantly higher loss in FEV <sub>1</sub> and FVC in handling group vs.	removed and would no longer
	Follow-up of Clark et al.		(3.8 ppb, 0.02 liig NCO/iii )	low exposure group. Annual decline of	be available for study
	1998		Respiratory protection taken	FEV <sub>1</sub> and FVC not associated to TDI	be available for study
			into account by subtracting 50%	exposure.	High attrition rate
	7/12 factories remained		of calculated exposure values	enposure.	Tigir warran raw
			T T T T T T T T T T T T T T T T T T T	Respiratory symptoms	Respiratory illness was the
	n = 251 (217  were in the)		Average daily dose for each	(questionnaire): Differences in	reason for leaving in 2.3% of
	previous study)		exposed job at each factory	prevalence of respiratory symptoms	cases
			calculated from the current and	between initial and final survey	
			previous measurements	(reduction in some, increase in other	70 subjects out of 251 (28%)
				symptoms).	changed groups during the 17-
			Mean exposure for the period:		year period
			Exposed group $(n = 175)$ :		Number of present smokers
			8.4 ppbh		fell from 129 (51%) to 100
			о рроп		(40%) between the two studies
			Handling group $(n = 26)$ :		(1070) serveen the two studies
			4.8 ppbh		Only two data points used for
					lung function decline
			Low exposure group $(n = 11)$ :		
			2.3 ppbh		
(Dragos et al.,	Prospective inception	HDI	Personal breathing zone samples	Health assessment included:	Subjects lost to follow-up
2009)	cohort study, 18 months	monomers	(n = 51) during regular and	- Respiratory symptoms (questionnaire)	21.5%
	205	(and	specific activities	- Lung function (spirometry)	
	n = 385 apprentice carpainters recruited	oligomers)	Area compling $(n = 41)$ in arrow	- Metacholine challenge	Short observation period
	between 1999 and 2002,		Area sampling (n = 41) in spray cabins and workplace	- Skin prick tests (only first visit) - HDI-specific IgE, IgG and IgG4	Pre-exposure possible
	complete data for		background	- Tidi-specific tgd, tgd and tgd4	11c-exposure possible
	n = 298		ouekground		No individual exposure
	/0		Duration for effective exposure		estimates
			to HDI max. 7 months, median		
			3 months		

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Dragos et al.,	First visit upon entry and		Median (maximum)	Aims:	Masks worn when spraying,
2009), ctd.	second visit at the end of		concentration in µg/m³, personal	- describe changes in specific	but not always those
	the training programme		samples:	antibodies to HDI	recommended and often
	Mantaudan Caraba		Monomer:	- describe incidence of work-related	removed inappropriately for
	Montreal area, Canada		Spraying 0.001 (0.006)	symptoms - examine association between work-	inspecting the work.
			Spraying 0.001 (0.000)	related symptoms and changes in	In regression analysis
			Mixing 0.0003 (0.0003)	specific antibody levels, and other	(dependent variable: IgE or
			(0.000 <i>5</i> )	potential risk factors	IgG) only duration of
			Brush cleaning < LOD	F	exposure was used, but no
			8	Increases in specific IgE and IgG levels	concentration.
			(Oligomer:	> 97 <sup>th</sup> and 95 <sup>th</sup> percentile were	
				significantly associated with duration	At the exposure level in this
			Spraying 0.283 (0.916)	of exposure (nine subjects increased	study and after a few months,
				their IgG levels /IgE levels above the	a small proportion shows
			Mixing 0.4365 (0.6890)	cut-off of the 97 <sup>th</sup> percentile).	increases in HDI-specific IgG
			D. 1. 1	Leave de la constitue de la co	and IgE
			Brush cleaning 0.079 (0.079))	Increases in specific IgG and IgG4 showed a protective effect on the	
			Concentrations from area	incidence of work-related lower and	
			sampling were lower than from	upper respiratory symptoms,	
			personal sampling	respectively.	
			r · · · · · · · · · · · · · · · · · · ·	T. P. C.	
				13 subjects (4.4%) developed work-	
				related respiratory symptoms, 19	
				(6.4%) developed work-related	
				symptoms of rhinoconjunctivitis.	
				N	
				No association between change in IgE	
(Cassidy et al.,	Matched retrospective	HDI	Industrial hygiene personal	levels and incidence of symptoms. <b>Asthma</b> (annual medical surveillance	No quantitative exposure
(Cassidy et al., 2010)	cohort study	11101	samples	history forms; suspect cases were	estimations on the individual
2010)	conort study	Two plants	Samples	inspected further by a company	level
	Expands on Hathaway et	manufacturing	If record indicated that	physician): No new asthma cases were	1.0.02
	al. 1999 (includes an	or producing	respiratory protection was used,	reported.	Small number of exposure
	additional plant)	monomer	sampling record was not		samples to reflect whole study
	•	(and/or	considered		period
		polyisocyan-			
		ates)			

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Cassidy et al.,	Observation period:		Mean (range):	Changes in <b>lung function</b> over time	Smoking was assessed as
2010), ctd.	Plant 1		Plant 1, 237 samples	(annual spirometry), examined by a	binary variable. Controls may
	1988-2007		0.79 ppb (Non detectable –	random coefficient regression model:	have been heavier smokers
	Plant 2		31 ppb)	Decline in lung function (FEV <sub>1</sub> , FVC)	(significant difference in lung
	1987-2006		Plant 2, 29 samples	over time in the exposed group was	function decline between
	Southern US		0.3 ppb (Non detectable – 2 ppb)	significantly greater than in the control group.	smoking controls and smoking exposed)
	57 potentially exposed in				
	plant 1 and 43 in plant 2		Most of the study group		Potential co-exposures
	(mainly exposed to HDI monomer)		reported some instances of dermal exposure		reported:
	Controls: Plant workers without documented history of exposure to		dermai exposure		Exposed group: Other aliphatic diisocyanates, HDI polyisocyanates
	diisocyanates				Control group from plant 1: dinitrotoluene, hydrazine,
	1:1 matching by age, gender, race, smoking status, date of birth, date of hire				methylene chloride, maleic anhydride, toluene diamine, ethylene oxide
	of fine				Control group from plant 2: cerium, neodymium oxides, nitric acid, ammonia, kerosene, tributyl phosphate (depending on work area)
					No employee had to be medically removed because of HDI exposure
					Individuals with asthma were excluded from work with potential exposure (only in plant 1) and there may have
					been self-deselection.

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Gui et al.,	Inception cohort study	TDI-based	Continuous fixed-point air	Over the first year of employment, 7	Actual exposure of individuals
2014)		state-of-the-art	sampling in foaming hall and	workers (14%) had findings that could	is not known: TDI air levels
	Evaluation of 49 newly	PU foam	cutting areas.	indicate TDI-related health effects	may have been higher near the
	hired workers pre-	production in		(Either new asthma symptoms, TDI-	source. Dermal exposure
	employment, after six	Eastern	90% of the samples < LOD	specific IgG, new airflow obstruction	occurred. Glove use differed
	and after twelve months	Europe	(0.1 ppb)	or a decline in FEV <sub>1</sub> $\geq$ 15%).	between exposure risk groups.
	Grouping of workers in		Maximum recorded 10.0 ppb	Twelve workers (25%) were lost to	No unexposed control group
	exposure risk groups,		(foaming hall), 5.4 ppb (cutting	follow-up. Among these workers,	
	based on potential risk of		area)	current asthma symptoms were	No exposure quantification
	TDI exposure: low $n = 8$ ,			reported (at baseline or 6 months) in a	per exposed group
	medium $n = 28$ , high $n =$		No air sampling period	significant higher percentage compared	
	13.		exceeded an 8 h TWA of 5 ppb	to those who completed the 12-month follow-up.	Workers with spirometry data at baseline $n = 23$ , with
			Peak exposures recorded were		spirometry data at all three
			below 20 ppb.	No significant associations were found	time points $n = 16$ . Baseline
				between the exposure risk group and	spirometry conducted at
			Personal sampling performed on seven workers. All showed TDI	health outcomes.	another facility.
			levels < LOD.	Self-reported glove use differed	
				significantly between the exposure risk	
			Dermal exposure occurred	groups (25% of the workers in the low,	
			(uncured or just cured foam,	32% in the medium, 100% in high	
			contaminated surfaces).	exposure risk group).	
				Although this production facility is	
				reported to be state-of-the-art with	
				exposure below the OEL, the study	
				suggests possible TDI-related health-	
				effects.	

#### 1.1.1.3 Case-control studies

The available case-control studies are summarised in Table 4.

Table 4: Case-control studies on respiratory sensitisation related to HDI, MDI, or TDI

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Tarlo et al., 1997)	Comparison of the level of isocyanate Concentration in 20 "case companies" (with compensated isocyanate asthma claims) with 203 "non-case companies"	HDI, MDI, TDI (or more than one)	Exposure data taken from a database of the Ontario Ministry of Labour (MOL): Air samples collected during the same 4-yr period during which the OA claims arose.  Exposure determined on the basis of the highest level identified.  Two categories: Always < 0.005 ppm Ever ≥ 0.005 ppm	56 accepted claims for OA (OA cases with identified isocyanate exposure during the 4-year period from mid-1984 to mid-1988 in the Ontario Workers' Compensation Board) Combined across isocyanate types:  Companies with claims in the high exposure category: 10/20 (50%) Companies without claims in the high exposure category: 50/203 (25%) OR = 3.1 (95% CI: 1.1–8.5, p = 0.03).  MDI: OR = 1.7 (95% CI: 0.4–7.6) TDI: OR = 2.7 (95% CI: 0.7–10.6)  Estimated incidence of OA in a 4-yr study period: High exposure companies with claims: 2.7% Low exposure companies with claims: 2.2% Overall incidence in the total 223 companies surveyed: 0.9% (56 out of 6308 workers).	Many high exposure companies without claims. Other factors may be important in isocyanate sensitisation, or there may have been quantitative or qualitative differences in exposure that were not assessed.  Selection bias possible (some of the air sampling conducted in investigation of submitted claims for OA)  Companies with claims had more employees than those without claims (higher probability of at least one employee becoming sensitized in a greater group of employees; larger companies may be more likely to implement a surveillance program).
(Meredith et al., 2000)	Company A: 27 OA cases were matched to 51 references (sex, work area)	Company A: 24 cases attributed to TDI (manufac- ture of moulded and block flexible PU foam, flame bonding and surface coating of fabrics);	Company A: Personal exposure measurements by job category (1979-1986) made for a separate study + data collected after 1986 by occupational hygiene consultants were used to estimate 8h-TWA and	Asthma  Data from the two sites were analysed separately.  Company A: Conditional logistic regression: 8 h TWA as a binary variable (cut off: median concentration in control group) or continuous variable 0.1 ppb increments)	Uncertainties in exposure assessment Regression analyses adjusted for smoking and different atopic diseases

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Meredith et	Company B: 7 cases;	3 cases attributed to	peak exposure for each	Peak exposures:	Amines are used as catalysts
al., 2000),	all non-cases (n = 12)	MDI (batch	subject based on job title	1-50 ppb	in the manufacture of PU
ctd.	served as controls,	moulding of rigid	and date.	In 31 subjects peak exposure > 20 pbb	foams and they have been
	because matching	PU components at		No difference between cases and controls.	reported to cause respiratory
	was not possible	200°C)	Company B:		symptoms
	(moving between			Mean 8-h TWA:	
	work areas, few	Company B:	Personal monitoring	cases: 1.5 ppb; controls: 1.2 ppb	
	workers)	Cases attributed to	results from 1988 available		
		MDI from a	(Marcali method to the	OR for exposure > median of the control	
		chemical plant in which MDI and	middle of 1990, HPLC thereafter)	group: 3.2 (95% CI 0.96 – 10.6; p = 0.06)	
		poly-merric MDI	distribution,	Adjusted OR (for 0.1 ppb increase in 8h-	
		mixtures were pro-	For each subject, the	TWA): 1.07 (95% CI 0.99 – 1.16)	
		cessed and poured	proportion of	Adjusted OR higher for smoking (2.4) as well	
		into drums. Some	measurements $\geq$ LOD of	as history of either hay fever, eczema or	
		processes involved	the Marcali method (2	asthma (3.4), but also n.s.	
		heating the	ppb) and > 5 ppb were		
		mixtures.	calculated. Measurements	Company B:	
			< 2 ppb were treated as	Association between reported chemical	
			being 0.	accidents and asthma. 169/185 TWA samples	
				for controls and 74/84 for cases were < 2ppb.	
			90% of the 269 TWA		
			samples were < 2 ppb	Mean and median exposures were < LOD for	
				cases and controls. Median of the highest	
				concentration recorded for each subject was 3	
				ppb for both groups. Proportion of	
				measurements $\geq 2$ ppb was 0.09 (controls) and	
				0.18 (cases). Proportion of measurements > 5	
				ppb was 0.004 (controls) and 0.09 (cases).	
				3/7 cases and 1/11 controls had at least one	
				8h-TWA exposure measurement > 5 ppb (OR	
				7.5; p=0.09	

#### 1.1.1.4 Cross-sectional studies

The available cross-sectional studies are summarised in Table 5 and Table 6.

Table 5: Cross-sectional studies with quantitative exposure-response estimates on respiratory sensitisation related to HDI, MDI, and/or TDI

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Pronk et	n = 581	HDI	Personal exposure estimates	Prevalence ratios (PR) and 95% CI for an	For subsample with BHR see
al., 2007)		monomer	were obtained combining	interquartile range increase in exposure were	(Pronk et al., 2009)
	(241 spray	and trimers	personal task-based inhalation	calculated based on log-transformed exposure data.	
	painters, 50	in spray-	measurements for 23 different		Prevalence ratios were adjusted
	unexposed office	painting (car	isocyanate compounds and	<b>Respiratory symptoms</b> (grouped into "asthma-like	for age, sex, current smoking
	workers, and 290	body repair	time activity information	symptoms" and "COPD-like symptoms"), work-	and atopy (or some of those)
	others)	shops,		related symptoms (questionnaire): Respiratory	
		furniture	Exposure of 241 spray	symptoms were more prevalent in exposed workers	Possible effect modification by
	Workplace survey	paint shops,	painters,	than in office workers.	atopy was explored
	in several	industrial	[µg NCO * m-3 * h * mo-1],		
	companies	paint shops	median (min-max):	Significant positive log-linear exposure-response	
	between 2003 and	specialising		associations were found for:	
	2006	in ships and	Total isocyanate 3,682 (4-		
		harbour	66464)	Asthma-like symptoms	
		equipment		PR (95% CI) = 1.2 (1.0-1.5),	
		or airplanes)	HDI		
			27 (0.2-1427)	COPD-like symptoms	
				1.3 (1.0-1.7),	
			(Biuret		
			269 (0.2-13568)	Work-related chest tightness	
				2.0 (1.0-3.9) and	
			Isocyanurate		
			2250 (6-87623))	Work-related conjunctivitis	
				1.5 (1.0-2.1), but not for	
				W. 1l.c. 1.11.11.	
				Work-related rhinitis	
				1.3 (0.9-1.7)	
				Different HDI-specific (for monomer and	
				oligomers) IgE and IgG antibodies:	

Reference	Study design and	Isocyanate	Exposure	Results	Remarks
	subjects	and use	Exposure		Remarks
(Pronk et				Prevalence of specific IgE antibodies was low (up	
al., 2007),				to 4.2% in spray painters). Prevalence of specific	
ctd.				IgG was higher (2-50.4%). One of five specific IgE	
				antibodies and four of five specific IgG antibodies	
				were positively associated with exposure.	
				Bronchial hyperresponsiveness (BHR) assessed	
				by methacholine challenge in a subset of 229	
				workers. Individuals with asthma-like symptoms	
				were more likely to have BHR: PR $(95\% \text{ CI}) = 2.2$	
				(1.5-3.2). For COPD-like symptoms, the	
				association with BHR was less strong and n. s.	
(Pronk et	Subset of study by	HDI	Personal exposure estimates	Prevalence ratios (PR) and 95% CI for an	Associations were adjusted for
al., 2009)	Pronk et al. 2007	monomer	were obtained combining	interquartile range increase in exposure were	age, sex, current smoking and
		(and trimers)	personal task-based inhalation	calculated based on log-transformed exposure data.	atopy
	229 workers from	in spray-	measurements for 23 different		
	38 companies	painting	isocyanate compounds and	Lung function:	Associations for lung function
			time activity information	Highly exposed workers had lower FEV1,	parameters: additionally
	(91 spray-			FEV1/FVC and flow-volume parameters.	adjusted for height and race
	painters,		Exposure of 91 spray-painters,	Percentage of workers who met the Global	
	20 unexposed		[µg NCO/m <sup>3</sup> x h/mo],	Initiative for Chronic Obstructive Lung Disease	Strengths:
	office workers,		median (min-max):	(GOLD) criteria for COPD (FEV1/FVC <70%):	Quantitative inhalation exposure
	118 others)			Office workers 5, other workers 4, spray-painters	assessment based on > 500
			Total isocyanate	15. COPD clearly associated with exposure. PR	measurements and detailed task
			4530 (15.4-66464)	(95% CI): 2.7 (1.1-6.8)	activity information;
			HDI		Several objective respiratory
			36.2 (1.3-472)	<b>Bronchial hyperresponsiveness</b> (BHR) (defined as	effect measures investigated in
				a provocative cumulative dose of methacholine of ≤	one population
				2.5 mg (~10 μM) required to cause a 20% fall	***
				FEV1):	Limitations:
					Use of personal protective
				Percentage of workers with hyperresponsiveness	equipment, previous exposures
				(BHR20): office workers 0, other workers 14.7,	and dermal exposure was not
				spray-painters 20.	taken into account;
					Complex exposure
					environment;
					Healthy worker effect possible

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Pronk et al., 2009), ctd.				Hyperresponsiveness was found in 33 subjects and it was clearly associated with exposure expressed as total NCO. PR (95% CI): 2.0 (1.1-3.8) (adjusted for smoking, age, sex and atopy)	
				BHR combined with asthma-like symptoms was present in 19 subjects and the adjusted PR was 2.7 (1.0-6.8).	
				<b>Symptoms</b> (see (Pronk et al., 2007)): Asthma-like symptoms, COPD-like symptoms, work-related chest tightness were more prevalent among workers with higher exposure (n. s.).	
				Workers with asthma-like symptoms had sign. more BHR, sign. lower baseline FEV1, FEV1/FVC and maximal mid-expiratory flow.	
				No sign. association between exposure and exhaled nitric oxide (eNO)	
				IgE and IgE (see (Pronk et al., 2007)): The prevalence of specific IgE antibodies was low (< ~4.4%). The prevalence of specific IgG was higher (up to 47% in spray painters). Specific IgG sensitisation was more common in highly exposed workers.	
				Workers with specific IgE/IgG were more often hyperresponsive (overall; statistically significant only for one IgG).	
				"The current study provides evidence that exposure to isocyanate oligomers is related to asthma with bronchial hyperresponsiveness as a hallmark, but also shows independent chronic obstructive respiratory effects resulting from isocyanate exposure."	

Table 6: Further studies - cross-sectional studies

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Bruckner	Cross-sectional	TDI,	Exposed workers had	Symptoms (interview, physical examination)	Groups built based on
et al.,		polymeric	accumulated exposure from 3	Immunologic reactivity to isocyanate antigen	exposure and type of response
1968)	n = 26 with	isocyanates	months to 11 years	conjugates (several tests)	
	multiple exposures	including		Four groups:	
	to diisocyanates	MDI,	Air samples taken by	- Exposed minimal response (minimal symptoms of	
		xylylene	industrial hygienist, modified	mucous membrane irritation) $n = 5$	
	n = 18 had never	diisocyanate	Marcali method. Between 3	- Exposed overdose response (moderate to marked	
	worked with or	D 1	and 79 samples per year for	signs and symptoms of chemical irritation of the	
	around isocyanates	Research,	single years between 1957 and	respiratory tract) $n = 16$	
		development and	1967.	- Exposed sensitised (signs and symptoms of sensitisation) n = 5: With increasing number of	
		production	Median concentration per	exposure, the time to reaction became shorter and	
		of	year: 0-77 ppb	finally bronchospastic symptoms developed within	
		isocyanates	year. 0-77 ppo	seconds after exposure to minute amounts of	
		and other		isocyanates. All had irritative symptoms before	
		components		developing symptoms indicative for sensitisation.	
		of urethane		All had exposures > 20 ppb.	
		plastics		- Non-exposed n = 18	
				6 cases of irritant dermatitis	
				Workers exposed to low levels (not given) of	
				isocyanates developed eye, mouth and throat	
				symptoms. According to the authors concentrations	
				between 20-100 ppb "may predispose some	
(XX)	G : 1	mp.i	1 1 6	workers to sensitivity to isocyanate compounds"	
(Wegman	Cross-sectional	TDI	Area sampling on the day of	<b>Lung function</b> (spirometry: FEV <sub>1</sub> , FVC; in the	Followed up: (Wegman et al.,
et al., 1974)	1972	Manufacture	lung function testing and on three subsequent days	morning before work and in the afternoon after eight hours work; only FEV <sub>1</sub> reported):	1982; Wegman et al., 1977)
19/4)	1972	of PU for	(Marcali method, (Marcali,	All exposure groups showed significant loss in lung	Age, height, years smoked,
	Before and after	matresses	(Warcan method, (Warcan, 1957))	function (FEV <sub>1</sub> ) during the working day.	cigarettes smoked, duration of
	shift on a Monday	and auto seat	1731))	Dose-response relationship suggested (mean change	exposure was considered for
	after three days	cushions	All job areas were sampled	in FEV <sub>1</sub> 0.078 L in group A and 0.180 L in group	stepwise regression analysis
	away from work		and assigned exposure values	D). Confirmed by regression analyses. And con-	22-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-
	,		and each worker was	firmed by calculation of ratios of those showing no	
	n = 111 (78 males)		categorised according to his or	change or increase over those showing decrease per	
	,		her exposure to a measured	exposure group (ratio increases with exposure	
			mean concentration of TDI.	group).	

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Wegman			Originally exposure categories	Greater fall in FEV <sub>1</sub> in workers with symptoms	
et al.,			were combined to four groups	compared to workers without symptoms, n. s.	
1974), ctd.			(ppm): A 0.002 - 0.003, B 0.004, C 0.005, D 0.006 - 0.013	No trend of FEV <sub>1</sub> across subgroups of age, years of smoking or years of employment.	
(Pham et	Cross-sectional	MDI	Workers used MDI and some	Lung function (single breath carbon monoxide	Followed up by (Pham et al.,
al., 1978)	Two factories	PU foam	TDI for 1 to 10 years.	transfer factor test, spirometry):	1988)
	producing mainly plastic foam	moulding	Plant A: MDI consistently < 20 ppb	Lower values of VC and diffusion constant in the exposed groups and associated with length of	Exposure on factory level
	automobile accessories		Plant B: MDI peaks up to	exposure.	Men and women analysed separately
			87 ppb at foam injection	Possibility of fibrosis in workers with long	
	318 workers (214 men) who had been		workplaces	exposure suggested.	Exposure to stripping agents, solvents, polyvinyl vapour in
	employed for at least a year		Group I: Not exposed to any occupational hazard n = 83	Results for men not confirmed by results for women.	exposed groups
	louse a your		(62 men)		Exposure to TDI
				Respiratory symptoms (questionnaire): Higher	
			Group II: Indirect exposure risk due to foam plastics manufacture n = 117 (61 men)	frequency of bronchitis in exposed groups compared to unexposed group (men and women).	No statistically significant differences between the groups concerning age, height,
			Group III: Definite, direct		weight, smoking.
			exposure risk due to foam plastics manufacture n = 118		More men smoke than women and they are heavier smokers.
(Holness et	Cross-sectional,	TDI	(91 men)  Mean length of exposure to	Lung function (spirometer, beginning and end of	Respirable dust, mean for all
al., 1984)	shift, intraday,	1101	isocyanates of 6.5 years	work shifts on Monday, Wednesday, Friday, sitting	exposed: 0.30 mg/m <sup>3</sup>
ui., 1701)	intraweek	Use in	isocyunates of 0.5 years	position using noseclips):	exposed: 0.30 mg m
		foaming	Monitoring of TDI and	position using nostemps).	Significantly lower frequency
	1982	operations	respirable dust during same	Values of all lung function parameters (Monday	of family history of asthma,
			shift as lung function analysis	morning) lower in the exposed than in the control	hay fever, bronchitis in
	Toronto area		(area samples; personal samples for 86 workers)	group (not significant, adjusted for smoking).	exposed group (may be due to screening prior to employment
	Four companies		,	Significantly larger declines in lung function over the shift in exposed workers.	or workers with positive family history may have
					developed symptoms and left).

Reference	Study design and	Isocyanate	Exposure	Results	Remarks
	subjects	and use	-		
(Holness et	95 isocyanate-		Mean exposure concentration	Decline in FVC and FEV <sub>1</sub> over the shift increased	
al., 1984),	exposed workers		for five groups of workers:	over the three exposure categories, but was	
ctd.	(70% males, 26		Area: 0.1 – 1.8 ppb	statistically significant only between controls and	
	foam-line, 11		Personal: $0.6 - 2.1$ ppb	exposed groups.	
	injection, 28		N. C. II		
	finishing, 21		Mean for all exposed:	No significant relationships observed in regression	
	miscellaneous)		Area: 0.6 ppb	analysis with continuous exposure.	
	27 . 1 . 1		Personal: 1.2 ppb	D 1 4 10 4 CI 1.1	
	37 control workers		0 1 21.1	Respiratory and further symptoms: Slightly	
	(62% males; 16		Some analyses with three	higher frequency of respiratory symptoms in	
	plant, 21 Ministry		exposure categories: control,	exposed group, n. s	
	of Labour)		≤1ppb, >1ppb		
	(20 1 1.1)				
	(29 were excluded)		One personal sample > 20 ppb		
			Y 4 207 C4 1		
			Less than 3% of the personal		
( ) 1	G : 1	EDI MDI	or area values > 5 ppb	T 0 4 / PRIVE PRIVE PRIVE	T 1 1 1 1
(Alexander	Cross-sectional	TDI, MDI	Personal sampling on same	Lung function (spirometry: FEV <sub>1</sub> , FVC, FEV%,	To calculate day exposure
sson et al.,	(7 (571)	C DII	day as lung function tests	MMF; nitrogen washout: Phase III, Closing	figures < detection limit
1985)	n = 67 (57  males)	Seven PU foam	December of TDI in	volume; in the morning prior to work; exposed	$(0.001 \text{ mg/m}^3)$ were set to
	n 50 namenala (11	manufacturi	Day mean exposure to TDI in foaming of PU blocks:	workers were studied again in the afternoon after	zero.
	n = 56 controls (11		for the whole group: 0.008	work):	Selection bias
	with lung function	ng factories (two foam		Town Constitute of the constitution to	
	tests)	PU blocks,	$mg/m^3 (0.001 ppm)$	Lung function of non-exposed group similar to reference values.	(underestimation of acute adverse effects of TDI as
		five cast PU	Highest exposure in the group	reference values.	sensible individuals may tend
		in moulds)	working by foaming machine:	Lung function of exposed group significantly	to terminate their
		III IIIouius)	$0.023 \text{ mg/m}^3 (0.008-0.060)$	impaired as compared to reference values, but	employment)
			0.023 Hig/III (0.008-0.000)	significant in subgroup of smokers only.	employment)
			Day mean exposure to MDI ≤	significant in subgroup of smokers only.	
			0.001 mg/m <sup>3</sup> during casting in	No significant changes during work shift.	
			moulds.	Two significant changes during work shift.	
			inouius.	<b>Symptoms</b> (standardised questionnaire):	
			Highest measurement:	Symptoms (sumumation questioniane).	
			TDI	Frequency of symptoms significantly higher in	
			$0.275 \text{ mg/m}^3$	exposed non-smokers than in non-exposed non-	
			MDI	smokers (nose, throat, dyspnea).	
			$0.139 \text{ mg/m}^3$	No significant difference in symptoms frequency	
			0.107 1119/111	between exposed and non –exposed smokers.	

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Venables	Cross-sectional	TDI	TDI:	21 workers (9.5%) with OA symptoms	No individual exposure levels
et al.,	(Outbreak of			(questionnaire) in 7 years (onset of symptoms after	_
1985)	asthma was	Steel coating	14 ppb at oven entry during	1971)	Affected individuals may have
	investigated)	plant;	normal processing, up to		left the plant
		continuous	26 ppb during 5 minute	Symptomatic groups had significantly lower FEV <sub>1</sub>	
	1979	process, coat	stoppage	than asymptomatic group.	
		was cured by			
	n = 221	passage	TWA 1979: 20 ppb	TDI was found to be the cause of the asthma	
		through an		outbreak. It was liberated by a coating modified by	
		oven		a supplier in 1971.	
(Alexander	Cross-sectional and	HDI	Exposure questionnaire	Exposed workers were examined on Monday	Uncertainties in exposure
sson et al.,	over workweek			morning before work and on Friday afternoon	assessment
1987)		Monomer	Exposure monitoring		
	15 garages in	(and biuret		Change in <b>lung function</b> within the week	Selection bias (some car
	Stockholm area	trimer)	278 samples of HDI (and	(spirometry: FEV <sub>1</sub> , FVC, maximum mean	painters had been relocated or
			HDI-BT)	expiratory flow MMF; Nitrogen washout: Phase III,	their employment terminated)
	n = 41 car painters	Car painters		Closing volume):	
		working	Exposure has been		
	n = 48 car platers	with	individually related to time,	Car painters did not differ from controls in any of	
	(exposed to	polyurethane	use of respiratory protections,	the spirometric variables (before the workweek).	
	solvents, grinding	paints	working operation,		
	dust, welding fumes		ventilation.	Closing volume percent was significantly higher in	
	like car painters,		x	exposed than in control workers.	
	not to isocyanates		Individual exposure	N	
	70		determined by industrial	No significant difference in lung function in car	
	n = 70  car		hygienist	painters before and after a workweek.	
	mechanics		IIDI. 1.0/3	Sto(intoinh	
	Ci		HDI: $1.0 \mu\text{g/m}^3$	<b>Symptoms</b> (interview by a nurse, standardised	
	Car painters and platers were		(HDI-BT for car painting:	questionnaire): Eye, nose, throat irritation more	
	matched against a		mean (range):	frequent in car painters and platers than in controls, significant for platers only.	
	control by sex (only		115 µg/m <sup>3</sup> (10-385)	significant for platers only.	
	males), age, height,		High short-term peaks up to		
	and smoking		13500 µg/m <sup>3</sup> HDI-BT)		

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Wang et	Cross-sectional	TDI	Average length of	Lung function (spirometry in the morning, during	No unexposed control group
al., 1988)			employment 9.2 months	a usual working day, after 10 days holiday,5	
	1985	Velcro-like		months after improvement of the workplace): Lung	Difficult to distinguish
		tape manu-	Air samples, mean:	function of $n = 21$ workers after 10 days holiday:	between irritant and allergic
	Taiwan	facture		Greatest changes in pre- and post-exposure FEV1	reactions
			Weaving $(n = 3)$ 12 ppb	and FVC for workers in the processing areas	
	n = 34, mostly				Reversibility may be due to
	females (38/45		Packaging/storage $(n = 3)$	<b>Asthma</b> or asthmatic bronchitis (defined by	irritant effect and due to short
	workers had		21 ppb	development of cough for more than 1 month and	exposure duration.
	complete data, 4			shortness of breath or wheezing for 1 month after	
	were excluded		Tape processing $(n = 15)$	working in the factory):14 workers met the case	High turnover rate
	because of smoking		47 ppb	definition of asthma or asthmatic bronchitis.	
	history)		III ah aat aan aantaati aa	O1	
	Follow up (five		Highest concentration measured: 236 ppb	Overall prevalence of asthma = 14/34 = 41.2% Significant trend in asthma frequency across the	
	Follow-up (five months after		measured: 236 pp6	three exposure areas (0 cases in weaving, 37.5% in	
	recommendations		5 months after improvement:	packaging/storage, 84.6% in tape processing).	
	for improvement of		7 of 9 air samples < 7 ppb at	packaging/storage, 84.0% in tape processing).	
	worker protection		the processing area	Follow up (5 months): No asthmatic symptoms.	
	by the study team)		the processing area	Lung function significantly improved (FEV <sub>1</sub> and	
	by the study team)			FVC) for 10 workers still employed.	
(Olsen et	Cross-sectional	TDI	Average TDI plant experience	<b>Lung function</b> (spirometer, after at least two days	No individual exposure levels
al., 1989)			4.1  years  (< 1 - 9  years)	away from work, standing or sitting, without the	1
	Dow, Texas, USA	Manufacture	, , ,	use of nose clips): TDI exposure (classified as	Age, height, smoking
		operations	Routine industrial hygiene	current, highest, cumulative, cumulative highest-to-	considered in regression
	n = 57		measurements: TWA < 5 ppb,	date) not associated with decline in FEV <sub>1</sub>	analysis
	manufacturing		short-term exposure level		
	workers		20 ppb for routine plant	Respiratory symptoms (questionnaire):	Exposure misclassification
	(85% participated)		processes		possible, because rankings
				Prevalence of upper respiratory symptoms 68% in	were applied to jobs regardless
	n = 89 unexposed		Use of self-contained	nonexposed group, 34% in exposed group	of calendar time
	workers		breathing apparatus for	D 1 61	
	(89% participated)		breaking into lines for	Prevalence of lower symptoms 33% in nonexposed	
			employees.	group, 17% in exposed group	
			Potential exposure was ranked		
			by an industrial hygienist:		
			None, low, moderate, high		

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Huang et al., 1991)	Cross-sectional 1988-1989 Asia 48 workers (25 males) in three factories: Factory A n = 15 Factory B n = 29 Factory C n = 13 18 controls (9 males)	TDI  Furniture manufacture factories; painters exposed to TDI aerosol while brushing PU varnish to the surfaces of wood furniture	Area sampling at five spots  Day mean exposure calculated from four measurements taken one, three, five, seven hours after the start of the work shift  Marcali method  Mean (range):  Factory A: 0.79 mg/m³ (0.49-1.18)  Factory B: 0.31 mg/m³ (0.22-0.89)  Factory C: 0.11 mg/m³ (0.07-0.24)  Aerosol  Dermal exposure likely (at least in factories A and B)	Lung function parameters (spirometry): Impairment of some lung function parameters significant in workers of factories A and B compared to the control group.  Symptoms of the respiratory tract, skin, eyes (structured questionnaire administrated by occupational physicians):  Prevalence of symptoms was significantly higher in factory A as well as in factory B compared to the control group.  No significant difference was detected between workers in factory C compared to the control group.  Symptoms of the eyes, nose, throat in all workers in factory A, 60% in factory B. No symptoms of the eyes in factory C and in the control group, 11 to 15% reported symptoms of the nose or throat.  Asthma-like symptoms (dyspnea and wheezing during work):4 workers (26.7%) in factory A 3 workers in factory B (15%) no subject in factory C and of the control group.  Patch test (0.1% TDI): Positive patch test in 5 and 2 painters in factories A and B (including three and two workers with contact dermatitis, respectively) and no subject in factory C or the control group.  Mast cell degranulation test:  Significantly higher mast cell degranulation percentage (MCDP) in painters from factories A and B than for the controls (specific to TDI-OA conjugates).  No significantly higher MCDP in painters in factory C compared to the control group.	Cited in (Diller, 2002)  Exposure measured only on one day and not on an individual level  High exposure levels make it difficult to differentiate between irritant and allergic reactions.  No information on potential differences in PSA between the factories.  Medical history, smoking habits, duration of exposure, weight, height, age were assessed.  No subject had a history of respiratory or skin diseases.

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Parker et al., 1991)	Cross-sectional	MDI, TDI	Mean number of years in autobody industry 11.4 ± 9.7	<b>Lung function</b> (spirometry at the start and the end of the work day):	No individual exposure levels
	Minnesota, USA  39 randomly selected autobody repair shops (out of	Autobody repair	Isocyanate samples from 32 shops 8 h TWA total isocyanates:	Abnormal lung function (< 5th percentile) in 8% (FEV1, FVC) and 23% (FEV1/FVC) of never smokers.	Exposure to dust, solvents
	139 contacted shops 59 were eligible)		not detected to 60 ppb, mean 5 ppb	No significant change in lung function between morning and afternoon shifts.	
	162 workers (160 males)		Four percent of workers who spray-painted at least one hour/week never used a respirator, 33% sometimes,	Working-years in the autobody industry, nonfunctioning spray booth, smoking were associated with a decrement in FEV1/FVC (regression analysis).	
			63% always.	No relationship between shop isocyanate concentration and lung function.	
				Respiratory symptoms (self-administered questionnaire):	
				Significant increase of wheezing across categories of respirator use (always, sometimes, never) while spray painting and for coughing and wheezing while sandblasting for non-smokers.	
				No trends for respiratory symptoms and respirator use while sanding.	
(Lee and Phoon,	Cross-sectional	TDI	24 personal breathing zone samples:	Lung function:	Cited in (Diller, 2002)
1992)	26 exposed workers ("mixers"), 26 controls (workshop	PU foam manufacture	Mean: 0.16 ppm	Mean diurnal variation in PEFR (in one week period): Significantly higher diurnal variation in PEFR in mixers than in controls.	High exposure level Survivor population
	maintenance and field staff from government departments), matched by age,		Range: 0.01 – 0.50 ppm	FEV <sub>1</sub> /FVC significantly lower in exposed (83.0%) than in controls (89.3%)	232or population
	race, smoking state				

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Lee and Phoon, 1992), ctd.	V			Mixers with ten or more years of exposure showed evidence of chronic airways obstruction.  Respiratory symptoms (questionnaire): About 50% of mixers had eye irritation or cough during work (significant higher prevalence than in controls).  No overt cases of OA	
(Omae et al., 1992)	Cross-sectional (4- year follow up see (Omae et al., 1992))  1981  Japan  90 workers (male), 44 reference workers in the same factories	TDI PU foam manufacture	Working in PU foam factories for 0.5-25 years, mean 13.3  129 personal samples: Arithmetic mean: 3.2 ppb, geometric mean: 1.0 ppb , 90th percentile: 8.4 ppb, maximum: 26 ppb  Short-term exposure peaks > 20 ppb in 16/129 samples	Lung function, change over working day (three methods: forced expiratory flow-volume test, respiratory impedance, airway resistance and specific airway conductance):  No significant differences in lung function between PU foam workers and referents, except for lower PEF and%PEF in the exposed group.  No change of lung function during work shift in both groups.  Symptoms (questionnaire with interview): Significantly higher prevalence of respiratory symptoms, nasal symptoms, eye symptoms in the exposed workers.	Exposure to tertiary amines, organic tin compounds, polyols, silicon oil, dichloromethane, freons, flame-resisting agents, pigments etc.  Possibly a survivor population  Current smoking did not affect the results
(Bernstein et al., 1993)	Cross-sectional 1991  n = 243 (n = 175 males) 3-year old plant	MDI Urethane mould plant that had been designed to minimise exposure to MDI	Average duration of employment: 18.2 months (range: 0-32 months)  Continuous monitoring of MDI area levels: < 5 ppb  Occasional spills reported by workers, but not detected by monitors	Methods:  Workers with at least one lower respiratory symptom (questionnaire) and workers with specific antibodies were instructed to perform serial PEFR studies for two weeks (n = 43). PEFR studies were also done in 23 control subjects (no symptoms, no antibodies).  Workers with PEFR variability were evaluated by a physician (including methacholine test) for final diagnosis of OA/non-OA. Workers who were assigned final diagnosis of OA/non-OA/work-related urticaria were reevaluated in 1992 (n = 6).	No unexposed control group

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Bernstein				Results:	
et al., 1993), ctd.				PEFR variability detected in 3/9 workers with questionnaire diagnosis of OA, in 2/4 workers with non-OA, in 2/23 control workers without symptoms.	
				Three cases of physician-diagnosed OA (3/234, prevalence ca. 1%) and two cases of physician-diagnosed non-OA.	
				Two workers had specific IgE and IgG to MDI-HSA. One of those had urticaria.	
				Cases are considered to be due to intermittent higher than normal exposures to MDI during nonroutine working activities.	
				Cases were removed from exposure. After 1 year clinical status of OA was described as "inactive".	
(Kim et al.,	Cross-sectional	TDI	Area samples (n = 41)	Examinations: Respiratory symptoms	Cited in (Diller, 2002)
1997)	Korea	Spray painters	Range 0.5 – 10 ppb	(questionnaires and interviews), Chest auscultation, IgE, IgG, FVC, FEV <sub>1</sub>	No control group
	81 workers (41 males)	Workshops manufactur-	Mean $3.5 \pm 2.3$ ppb	Diagnosis of <b>TDI OA</b> was made if there was a decrease of PEFR over 20% of baseline and if the changing pattern was closely related to workshift.	No individual exposure data
		ing furniture or musical instruments or repairing motor vehicles	Four samples (9.8%) > 5 ppb	PEFR was recorded in the following cases: Subject complained of sputum, cough, and dyspnea aggravated by work, wheezing audible by auscultation, FVC or FEV <sub>10</sub> < 80% of the normal Korean reference value, positive IgE RAST for TDI	
				PEFR was checked for 15 workers. Eight workers (9.9%) were diagnosed with TDI-OA.	

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Ulvestad et al., 1999)	Cross-sectional Norway?  19 injection workers (previous tunnel workers who were grouped into a department set up for sealing work; exposed to PU and acrylic resins; all the workers employed in this department in 1996 were included)  104 other tunnel workers, 6 different sites	MDI monomer (and prepolymer) Sealing work in tunnels	Job-years; mean (range): injection workers: 21 (1-42) tunnel workers: 13 (1-46)  MDI monomer (personal sampling, 20 samples): mostly below the LOD (< 1 μg/m³); 1.9 and 3.0 μg/m³ at 2 occasions where isocyanate resin was spilled during injection work  Pre-polymer:  Four shift samples: 5.5 – 300 μg/m³ (median 7.1);  18 short-term exposure values: 18-4300 (median 103) μg/m³  Stationary sampling (n = 6): monomer < 4 μg/m³. prepolymer < 4 - 31 μg/m³	Examinations: Respiratory symptoms (questionnaire), lung function (spirometry), IgE (TDI, MDI, formaldehyde, eight common allergens), Metacholine provocation test, Clinical examination  Higher prevalence of respiratory symptoms, airflow obstruction, BHR, asthma in injection workers compared to other tunnel workers.  Two TDI-HSA-specific IgE positive injection workers (with work-related respiratory symptoms)	No exposure measurements available from the years the "injection department" had existed → most common exposure situations for workers during the last ten years were simulated.  No individual exposure data  Workers had not been informed about health hazards of the chemicals they worked with and did not report any use of airway protection.  Exposure to acrylic resins  Previous exposure to TDI  Underestimation of exposure possible  Years in the same job and smoking status were considered in the regression model
(Jang et al., 2000)	Cross-sectional Korea 64 randomly selected workers, 27 controls (23 males)	MDI (n = 20), TDI (n = 44)  Petrochemical plant  Manufacture	60 personal breathing zone samples  Sampling during manufacture, sampling time 30-60 min  Mean (maximum):  TDI 17.4 µg/m³ (42.9 µg/m³)  MDI µg/m³ (6.4 µg/m³)	Airway hyperresponsiveness (AHR) (definition: PC20 FEV <sub>1</sub> < 16 mg/mL of methacholine; continuous index of bronchial responsiveness: BRindex):  Prevalence of AHR higher in MDI-exposed workers (4/20; 20%) than in TDI-exposed workers (2/42; 5%) and in controls (read from Figure: 2/27; 7%).  Significantly higher BR index in MDI-exposed workers than in controls, but not significantly higher than in TDI-exposed workers.  Differences statistically significant?	No individual exposure measurements  Medication, work history, atopy, smoking was assessed by questionnaire

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Kakooei et al., 2006)	Cross-sectional Iran 39 employees in an	MDI Window fixation, window glue	Personal samples  Average concentration of  MDI:  Window fixation	Lung function: %FEV1/FVC, %PEF significantly smaller in the exposed group than in the control group.  Respiratory symptoms (questionnaire):	Occupational health and hygiene problems due to missing application of adequate engineering controls and proper safe work practice.
	automobile manufacturing company  117 unexposed employees at other work stations	processes	34.53 μg/m <sup>3</sup> Window glue workplaces 27.37 μg/m <sup>3</sup>	Skin, respiratory, eye, mental symptoms significantly more prevalent in the exposed group.  Respiratory, eye, mental symptoms significantly more prevalent in workers exposed to higher concentrations compared to lower concentrations than the mean value of 31.22 µg/m³.  Respiratory symptoms increased with the duration of service. However, symptoms not significantly correlated to years or intensity of exposure.	Study was conducted in the summer. Higher exposure levels in the winter likely, because windows are kept closed then.  No significant differences between the two groups in age, height, duration of service. However, duration of service was shorter in the exposed group.
(Littorin et al., 2007)	Cross-sectional  Southern Sweden  n = 136 exposed to TDI in eleven plants  n = 118 unexposed workers from different activities	TDI or TDI-based PU  MDI used in 4/5 moulding plants (low or non-detectable). IPDI used in 1 of these plants.	Median personal 8 h exposure to TDI (ppb): continuous-foaming: 0.63-4.0 flame lamination: 0.76-1.5 molding: 0.17-0.64 low heating or nonheating processes: 0.02-0.05  Individual airborne exposure: measured during one shift (n = 79 workers), estimated based on department, task, air measurements (n = 57).  Biomonitoring: 2,4-TDA and 2,6-TDA Urine: LOD – 623 and 353 noml/L Plasma: LOD-254 and 509 nmol/L	Respiratory and eye symptoms (structured interview, physical examination):  Comparison between exposed and unexposed group:  Total symptoms: significant increase in symptoms of the lower airways, nose bleeding (as the only nose symptom investigated), eye symptoms for the exposed group.  Work-related symptoms: strong associations with exposure, in particular for attacks of eye symptoms (OR = 10), "wheezing etc" (OR = 21) and dry cough (OR = 11).  Continuous measure of exposure within the exposed cohort:  Only eye symptoms significantly associated with exposure measures (air, plasma, urine; OR from 1.6 to 4.2)	No information on smoking.  Symptoms may have been caused by combined exposures. Coexposures: dusts, other diisocyanates, organic solvents, thermal degradation products of readymade PU in flame lamination plants (mix of mono-and diisocyanates, aminoisocyanates, aminoisocyanates, aminoisocyanates, are as remarkable by authors, because of the selected workforce. However, no doseresponse relationship with TDI.  Individual airborne exposure was measured for a part of the workers only.

Reference	Study design and subjects	Isocyanate and use	Exposure	Results	Remarks
(Littorin et al., 2007), ctd.		5 moulding plants, 2 continous-foaming plants, 2 flame-lamination plants, 2 plants with low heating or non-heating processes	Correlations between air measurements and biomarkers in urine as well as biomarkers in plasma. Biomarkers in urine and plasma also correlated.  Skin exposure certainly present	Effect of 2,4-TDI on the eyes was more pronounced compared to 2,6-TDI  No clear patterns for other exposure-response relationships	Logistic regression model included age, gender, smoking. Atopy was considered.  Preemployment health examinations should lead to a selected workforce in the Swedish PU industry (rather healthy concerning airway disease).
(Pourabedi an et al., 2010)	Cross-sectional, shift  Iran  n = 43 car painters (healthy on enrolment) exclusion criteria: respiratory disorders including asthma, cigarette smoking, use of respiratory drugs	HDI  Car body paint shop	Mean daily exposure: 15 minutes  Mean daily HDI TWA air concentration in the breathing zone: 0.42 ± 0.1 mg/m³  Mean weekly HDI TWA: 0.13 ± 0.059 mg/m³	Lung function: Variation in PEF (peak flow meter, before and after the shift, over one week):  Mean peak flow at the end of the shift on painting day was significantly lower than at the start of the shift  72% of the workers had >10% variation in PEF on painting days  Effects of exposure remained till the day after painting  Significant difference between the two days  Significant correlation between HDI and percentage of decrease in peak flow as well as mean peak flow	High exposure levels  No unexposed control group  Questions concerning statistical analysis/ reporting of results  Organic solutions

## 1.1.2 Animal data for the category source substances HDI, MDI, and TDI

Table 7 shows the complete list of animal studies initially considered for this dossier. Based on the test substance and route used for induction and further quality criteria (for details cf. main dossier), studies were selected for or excluded from further assessment.

Table 7: Overview (in chronological order) of available animal studies for diisocyanates and results of filtering for further assessment 1.2

Species	Induction route	Induction agent	Effects observed	Elicitation route	Elicitation agent	Endpoint(s) assessed	Other reason for exclusion	Reference
GP RB RA	INH	TDIuc						(Niewenhuis et al., 1965)
GP	IDE		•					IUCL: (Bayer, 1968)
GP	TOP	III (D)	1					IUCL: (Bayer, 1970)
GP GP	INH INH	HMDI HMDI						IUCL: (DuPont, 1971) IUCL: (DuPont, 1974)
GP	IDE	пии	ļ					IUCL: (Duprat et al., 1976)
GP	IDE							IUCL: (DuPont, 1977)
GP	TOP	PIPDI	]					IUCL: (IBR, 1977)
MO	INH	2,4-TDI						(Sangha and Alarie, 1979)
GP	IDE+ TOP	m-XDI						IUCL: (Huntingdon, 1980)
МО	TOP							(Tanaka, 1980)
GP	TOP							IUCL: (BRC, 1981)
GP	IDE TOP							(Karol et al., 1981)
МО	INH	HDI	Y		-	RF	One exposure < 1 d, no AB	(Sangha et al., 1981)
GP	IVE							(Bernstein et al., 1982)
GP	IPE							(Chen and Bernstein, 1982)
	SCU							
	IDE							
GP	IPE TOE							(Karol and Magreni, 1982)
	TOP							
DO	ITR							(Patterson et al., 1982)
MO	INH	HDI-BT	]					(Weyel et al., 1982)
GP	IDE							IUCL: (Bayer, 1983)
GP	IDE+ TOP							IUCL: (IBR, 1983a)
GP	IDE+ TOP							IUCL: (IBR, 1983b)

<sup>&</sup>lt;sup>1</sup> Studies deselected for further assessment are shaded grey, as are the fields explaining which criteria for inclusion based on test substance, route, or quality were not met (for details on the deselection strategy, cf. main dossier). If for a given induction agent and route a study contained experiments with negative test results as well as experiments demonstrating effects, only the latter have been further evaluated. Experiments with knock-out animals were not considered, since the aim of this review was to identify effects in healthy animals.

<sup>&</sup>lt;sup>2</sup> For explanation of abbreviations cf. section 15 of the main dossier.

GP	Species	Induction route	Induction agent	Effects observed	Elicitation route	Elicitation agent	Endpoint(s) assessed	Other reason for exclusion	Reference
GP   IDE   CF   IDE	GP	INH	TDI	Y				-	(Karol, 1983)
GP   IDE   GP   TOP									
GP   TOP   TOP   TUCL: (Bayer, 1984b)   TUCL: (Bio-Research Laboratories, 1984b)   TUCL: (Bayer, 1984)   TUCL: (Bayer, 1984)   TUCL: (Bayer, 1984)   TUCL: (Bayer, 1984)   TUCL: (Bayer, 1985)   TUCL: (									
GP   TOP									
Fig.									
GP   IDE	GP	INH	m-TMXDI						IUCL: (Bio-Research Laboratories, 1984a; Bio-
Clemmensen, 1984   IUCL: (Hazleton, 1984   IUCL: (Bayer, 1985   IUCl: (Bayer	GP	IDE		,					
RA	GP								(Clemmensen, 1984)
GP			2 4-TDI	1					
MO				ł					TOCE. (Hazieton, 1964)
GP   TOP   TOP   GP   TOP   GP   TOP   GP   TOP   GP   TOP   GR   GStadler and Karol, 1985)   (Stadler and Karol, 1985)   (Stadler and Karol, 1985)   (Tominaga et al., 1985)   (Gad et al., 1986)   (Gad et al., 1986)   (Gad et al., 1986)   (IUCL: (University of Louisville 1987)   (Tominaga et al., 1986)   (IUCL: (University of Louisville 1987)   (Tominaga et al., 1986)   (IUCL: (University of Louisville 1987)   (Tominaga et al., 1986)   (IUCL: (University of Louisville 1987)   (Tominaga et al., 1986)   (IUCL: (University of Louisville 1987)   (Tominaga et al., 1986)   (IUCL: (University of Louisville 1987)   (Tominaga et al., 1986)   (IUCL: (University of Louisville 1987)   (Tominaga et al., 1986)   (IUCL: (University of Louisville 1987)   (Tominaga et al., 1988)   (IUCL: (University of Louisville 1987)   (Tominaga et al., 1988)   (IUCL: (University of Louisville 1987)   (Tominaga et al., 1988)   (IUCL: (University of Louisville 1988)   (Tominaga et al., 1988)   (T	МО	TOP	HMDI						(Stadler and Karol, 1984)
MO		IDE+							IUCL: (Bayer, 1985)
MO		TOP							(Stadler and Karol, 1985)
MO		TOP							
ND	1110	101	HMDI	]					(Tommaga et al., 1903)
MO	МО		MDI	Y		-	RF		(Weyel and Schaffer, 1985)
IDE	МО	+							(Gad et al., 1986)
NH	MO		2,4-TDI	]					
MO   TOP   MO   TOP   (Tanaka et al., 1987)   (Thorne et al., 1988)   (Thorne et al., 1988)   (GP   INH   TDIuc   TD	GP		IDDI	ì					
MO   TOP   TDI	MO		прі	ļ					
Cibulas et al., 1988   GP   IDE	MO								
GP   IDE				Y	INH	TDI-GPSA	AB, RF	-	
RA			TDluc	J					
RA			HDI	Y		-	IF		
RA         INH         HDI         Y         -         IF         Only IF         IUCL: (Mobay, 1989)           MO         TOP         IPDI         (Stern et al., 1989)           RA         INH         TDI         Y         -         IF         Only IF         IUCL: (Union Carbide, 1989)           GP         INH         MDI         Y         -         AB         -         (Dearman and Botham, 1990)           RA         INH         m-TMXDI         Y         -         IF         Only IF         IUCL: (Union Carbide, 1990)           RA         INH         TDI         Y         -         IF         One exposure          (Hesbert et al., 1991)           GP         INH         HDI trimer         (Pauluhn and Eben, 1991)         (Dearman et al., 1992a)	RA	INH	TDI	Y		-	IF	Only IF	IUCL: (Union Carbide, 1988)/ (Tyl et al., 1999)
MO   TOP   IPDI					INH	m-TMXDI-GPSA		-	
RA				Y		-	IF	Only IF	` • • • •
RA   INH   m-TMXDI   Y   -   IF   Only IF   IUCL: (Union Carbide, 1990)  RA   INH   TDI   Y   -   IF   One exposure < 1 d, no AB   (Hesbert et al., 1991)  GP   INH   HDI trimer   IDE   (Pauluhn and Eben, 1991)  MO   TOP   (Dearman et al., 1992a)				Y		-	IF	Only IF	IUCL: (Union Carbide, 1989)/
MO	GP	INH	MDI	Y	IPE	- MDI-GPSA	AB	-	(Dearman and Botham, 1990)
CP		INH	m-TMXDI	Y		-	IF		IUCL: (Union Carbide, 1990)
MO TOP (Pauluhn and Eben, 1991) (Dearman et al., 1992a)	RA			Y		-	IF		(Hesbert et al., 1991)
		IDE	HDI trimer	J					
MO TOP									
MO   TOP   (Dearman et al., 1992b)  GP   INA   (Kalubi et al., 1992)									

Species	Induction route	Induction agent	Effects observed	Elicitation route	Elicitation agent	Endpoint(s) assessed	Other reason for exclusion	Reference
GP	IDE+ TOP			•				IUCL: (Safepharm, 1992)
MO RA	INH	m-TMXDI	Y		-	IF, RF	One exposure < 1 d, no AB	IUCL: (Union Carbide, 1992)
GP	IDE+ TOP		•					IUCL: (Bayer, 1993)
GP	INH	TDIuc	7.7		TID I	TD.		(Huang et al., 1993)
GP	INH	TDI	Y	INH	TDI	IF	-	(Huang et al., 1993)
GP GP	INH IDE	TDI	Y	INH	TDI	AB, RF	-	(Aoyama et al., 1994) IUCL: (Bayer, 1994)
MO	TOP							(Hilton et al., 1994)
WIO	IDE							(Tinton et al., 1774)
GP	INH	MDI TDI	Y	INH	MDI MDI-GPSA TDI TDI-GPSA	RF	-	(Pauluhn, 1994)
	IDE				IDI-GPSA			
GP	TOP							(Rattray et al., 1994)
D. 4	INH	MDI	Y	INH	MDI	AB, RF, SS	-	(D. 1. 1. 1004.)
RA	INH	PMDI						(Reuzel et al., 1994a)
RA GP	INH IDE	PMDI HMDI						(Reuzel et al., 1994b)
GP	INH	MDI	Y	INH	MDI	AB, IF, RF	_	IUCL: (Bayer, 1995a) IUCL: (Bayer, 1995b)
GP	IDE	WIDI	1	11111	WIDI	AD, II', KI		(Blaikie et al., 1995)
MO	TOP							(Hilton et al., 1995)
RA	INH	MDI	Y		-	IF, RF	_	IUCL: (Hoymann et al., 1995)
GP	INA	2,4-TDI				,		(Yamada et al., 1995)
GP	TOP		•					(Basketter and Gerberick, 1996)
GP	IDE							IUCL: (Bayer, 1996a)
GP	IDE							IUCL: (Bayer, 1996b)
	INH	PIPDI						
MO	TOP							(Dearman et al., 1996a)
MO	TOP	TDI	Y			IF, RF		(Dearman et al., 1996b)
GP	INH	TDI	1		-	IF, KF	-	(Gagnaire et al., 1996)
MO	TOP							(Karol and Kramarik, 1996)
GP GP	IDE INA							(Mapp et al., 1996) (Niimi et al., 1996)
	IDE+							(Niiiii et al., 1996)
GP	TOP							IUCL: (NOTOX, 1996)
МО	INA TOP							(Scheerens et al., 1996)
GP	INH	TDI	Y	-	-	IF	Only IF	(Ban et al., 1997)
GP	INH	TDI	Y		-	RF	-	(Gagnaire et al., 1997)
RA	INH	TDI	Y		-	IF, RF	One exposure < 1 d, no AB	(Huffman et al., 1997)
GP	TOP	m-XDI						IUCL: (Huntingdon, 1997)
GP	INH+ IDE							(Pauluhn and Mohr, 1998)
	INH	TDI	Y	INH	TDI/TDI-GPSA	AB, IF, RF	-	, ,
GP	IDE							IUCL: (Safepharm, 1998a)
GP	IDE+ TOP							IUCL: (Safepharm, 1998b)
MO	TOP							(Woolhiser et al., 1998)
MO	INA							(Zheng et al., 1998)

Species	Induction route	Induction agent	Effects observed	Elicitation route	Elicitation agent	Endpoint(s)	assessed	Other reason for exclusion	Reference
GP	TOP								(Zissu et al., 1998)
RA	INH	PMDI							(Pauluhn et al., 1999)
MO	TOP		ì						(Scheerens et al., 1999)
RA	INH INH	PMDI HDI-IC							(Pauluhn, 2000a) (Pauluhn, 2000b)
RA	IDE								
GP	INH	PMDI							(Pauluhn et al., 2000)
МО	TOP +SD S	2,4-TDI							(van Och et al., 2000)
MO	TOP	2,4-TDI							(Vandebriel et al., 2000)
GP	INA INH	TDI	Y	TOP	TDI		SS	-	(Ebino et al., 2001)
Gi	ITR TOP								(Lomo et al., 2001)
МО	SCU		1						(Matheson et al., 2001)
RA	INH	HDI-BT HDI-IC							(Pauluhn and Mohr, 2001)
RA MO	INA TOP		,						(Zheng et al., 2001) (Haag et al., 2002)
RA	INH	PMDI							(Kilgour et al., 2002)
MO	INA								(Lee et al., 2002)
MO	SCU		ı						(Matheson et al., 2002)
RA	INH	PMDI							(Pauluhn, 2002a)
RA	INH	HDI-IC PMDI							(Pauluhn, 2002b)
MO	TOP					1			IUCL: (Bayer, 2003a)
RA	INH	MDI	Y		-	1	RF	One exposure < 1 d, no AB	IUCL: (Bayer, 2003b)
MO	INA								(Lee et al., 2003)
GP	IDE+ TOP								IUCL: (NOTOX, 2004)
MO	TOP		ı						(Vanoirbeek et al., 2004)
RA	INH	2,4-TDI	ı						(Kouadio et al., 2005)
MO	INH	TDI	Y	INH	TDImix	AB,	IF, RF	-	(Matheson et al., 2005a; Matheson et al., 2005b)
GP	TOP								(Nabe et al., 2005)
RA	TOP	DI CO.	ì						(Pauluhn, 2005)
RA	INH TOP	PMDI							(Pauluhn et al., 2005)
MO	TOP								(Plitnick et al., 2005)
	INH	TDI	Y	INH ITR	TDImix		AB, IF	-	, ,
МО	SCU			IIK					(Ban et al., 2006)
	TOP +ITR								
RA	TOP INH	PMDI	1						(Pauluhn and Vohr, 2006)
МО	TOP	111111	l						(Selgrade et al., 2006)
МО	TOP								(Farraj et al., 2007)
MO	TOP		<b>.</b>						(Lim et al., 2007)
RA	INH	HDI-IC PHDI/ PTDI							(Ma-Hock et al., 2007)
MO	SCU	1 11/1	l						(Sun et al., 2007)
MO	TOP								(Tarkowski et al., 2007)

Species	Induction route	Induction agent	Effects observed	Elicitation route	Elicitation agent	Endpoint(s) assessed	Other reason for exclusion	Reference
МО	INH	HDI IPDI PIPDI TDI	Y		-	IF, SS	-	(Arts et al., 2008)
RA RA	TOP INH INH ITR	HMDI IPDI	}					IUCL: (Bayer, 2008a) IUCL: (Bayer, 2008b)
RA RA	TOP TOP	IPDI	1					(Fukuyama et al., 2008) (Pauluhn, 2008a) (Pauluhn, 2008b)
MO	INH	trimer HDI IPDI TDI	Y		-	IF, SS	-	IUCL: (BASF, 2009)  (de Jong et al., 2009)
RA MO MO	TOP INA TOP TOP		1 - 1			11,55		(Svensson-Elfsmark et al., 2009) (Vanoirbeek et al., 2009) (Vanoirbeek et al., 2009)
RA MO MO	INH TOP TOP	NDI MDI	]				Only IF and	IUCL: (Bayer, 2010) (Fukuyama et al., 2010) IUCL: (Bayer, 2011)
MO RA MO	INH INH INA	TDI PMDI	Y		-	IF, RF	sensory irritation	(Lindberg et al., 2011) (Pauluhn and Poole, 2011) (Swierczynska-Machura et al.,
MO MO MO	TOP TOP							2012) (de Vooght et al., 2013) (Song et al., 2013) (Woolhiser et al., 2013)
MO RA	TOP INH TOP	TDI	Y		-	RF	Only sensory irritation	(Nayak et al., 2014) (Pauluhn, 2014)
MO	+INH INA TOP							(Swierczynska-Machura et al., 2014) (Liang et al., 2015)
RA	INH	HDI	Y		-	RF	Only sensory irritation	(Pauluhn, 2015)
MO MO	TOP TOP	HDI/PHDI	J					(Pollaris et al., 2015) (Wisnewski et al., 2015)

In the following sections, one key study for each animal species is summarised in detail<sup>3</sup>.

 $<sup>^3</sup>$  Note: Text is a mixture of excerpts from the respective publications or IUCLID summaries and of text prepared by the DS. Direct use of original text is not specifically marked.

### 1.1.2.1 Pauluhn and Mohr, 1998

### Study reference:

Pauluhn J. and Mohr U. (1998): Assessment of respiratory hypersensitivity in guinea pigs sensitized to toluene diisocyanate: A comparison of sensitization protocols. Inhalation Toxicology 10 (2), 131-154. DOI: 10.1080/089583798197790 (last accessed 2016-09-20)

Since the classification criteria for RS ask for inhalation (and not mixed intradermal and inhalation) exposure, only the experimental design and results for the two treatment groups with exclusive inhalation exposure are reported here.

## Test type:

No test guideline was followed since none is available for this endpoint. Sensitisation in guinea pigs was induced by single inhalation exposure to TDI vapour with subsequent inhalation challenge with the homologous TDI–protein conjugate, immunoglobulin  $G_1$  (Ig $G_1$ ) antibody analysis, and histopathological examination of the lung. In order to distinguish specific from nonspecific respiratory response, guinea pigs were subjected to additional acetylcholine (ACh) bronchoprovocation assays one day before and one day after the challenge with TDI.

### Test substance:

Toluene diisocyanate (TDI, DESMODUR T80), an 80:20 mixture of the 2,4- and 2,6-isomers, source: Bayer AG, Leverkusen, Germany, EC number 247-722-4, CAS number 26471-62-5, degree of purity > 99.9% (identity of remaining < 0.1% not reported), batch number not reported.

#### Test animals:

Guinea pigs/Dunkin-Hartley/female, weight at study initiation: 250-350 g, age at study initiation not reported, 8 animals per treatment group, 16 animals in control group.

#### Administration/exposure:

Route of induction and challenge: inhalation; control group: pooled from a sham-exposed group (8 animals) and a group receiving intradermal injections of corn oil (vehicle control for additional experiments performed in this study, 8 animals); induction concentrations used in treatment groups: 136 or 220 mg TDI vapour/m³ air; challenge 1: on day 20, unspecific challenge with acetylcholine (ACH); challenge 2: on day 21, specific challenge with 0.5 mg TDI/m³ air for 30 min; challenge 3: on day 22, unspecific challenge with acetylcholine (ACh); challenge 4: on day 28, specific challenge with TDI-GPSA conjugate.

## Results and discussion:

Following single 15 minute-inhalation nose-only exposure to TDI at two different dose levels, Dunkin-Hartley guinea pigs displayed an increased respiratory rate after specific challenge with TDI (day 21) and TDI-GPSA hapten-protein complex (around day 28). Four weeks into the test, production of TDI-specific  $IgG_1$  antibodies was demonstrated in serum samples of exposed animals. On sacrifice one day after the conjugate challenge, increased influx of granulocytes in trachea, lung and lung-associated lymph nodes and an increased number of macrophages in lung tissue were demonstrated. The results are displayed in more detail in Table 8 below (Pauluhn and Mohr, 1998).

Table 8: Results indicative of respiratory sensitisation from (Pauluhn and Mohr, 1998)

Parameter		Control	Group 1 (136 mg/m³)	Group 2 (220 mg/m <sup>3</sup> )
	Specific TDI challe	enge (day 21)		
Immediate onset respiratory hypersensitiv increase of respiratory rate <sup>4</sup>		19%	63%	63%
Immediate onset respiratory hypersensitivincrease of respiratory rate 5	ity, intensity of	25%	50%	38%
	TDI-GPSA challeng	ge (ca. day 28)		
Immediate onset respiratory hypersensitiv increase of respiratory rate 4	ity, duration of	6%	25%	38%
Immediate onset respiratory hypersensitiv increase of respiratory rate 5	ity, intensity of	6%	38%	38%
Se	rum antibody prod	luction (day 28)		
Highest serum dilution demonstrating pos IgG <sub>1</sub> antibodies	itive TDI-specific	NA	1:100	1:100
	Histopatho	ology		
	Trache	а		
Influx of granulocytes	Moderate	19%	13%	38%
illitux of granufocytes	Severe	0%	0%	50%**
Influx of eosinophilic granulocytes	Moderate	19%	25%	38%
minux of eosinophine granulocytes	Severe	0%	0%	50%**
	Lung			
Increased number of macrophages		19%	63%*	75%
Influx of granulocytes (bronchi)	Moderate	0%	25%	38%*
initian of granufocytes (oroncin)	Severe	0%	0%	0%
	Lung-associated l	ymph nodes		
Influx of granulocytes	Moderate	0%	13%	63%**
.005 ** .001	Severe	0%	0%	0%

<sup>\*</sup> p < 0.05; \*\* p < 0.01

## 1.1.2.2 Respiratory sensitisation in mice (Matheson et al., 2005a; Matheson et al., 2005b)

#### Study references:

Matheson J.M., Johnson V.J., Vallyathan V., and Luster M.I. (2005b): Exposure and immunological determinants in a murine model for toluene diisocyanate (TDI) asthma. Toxicological Sciences 84 (1), 88-98. DOI: 10.1093/toxsci/kfi050 (last accessed 2016-09-19); Matheson J.M., Johnson V.J., and Luster M.I. (2005a): Immune mediators in a murine model for occupational asthma: Studies with toluene diisocyanate. Toxicological Sciences 84 (1), 99-109. DOI: 10.1093/toxsci/kfi051 (last accessed 2016-09-20)

The results of this study have been published in two publications of which only the main study (Matheson et al., 2005b) is summarised below, as (Matheson et al., 2005a) primarily addressed mechanistic questions which are not of relevance for this CLH dossier. Text, tables and figures are reproduced from the original publications, with slight editorial modifications by the DS.

### Test substance

TDI (80:20 molar mixture of 2,4:2,6 isomers provided by Bayer, USA, Pittsburgh, PA)

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<sup>&</sup>lt;sup>4</sup> Fraction of animals for which the number of events with an increase in respiratory rate amounted to more than three times the standard deviation of the individual baseline (similar period during the pre-challenge phase), no significance testing reported.

<sup>&</sup>lt;sup>5</sup> Fraction of animals for which the area under the (respiratory rate) curve exceeded three times the standard deviation of the individual baseline (similar period during the pre-challenge phase), no significance testing reported.

## Test animals

Preliminary studies were conducted using several mouse strains including C57BL/6, BALB/c, and B6C3F1 mice. Since the C57BL/6 strain produced the most robust responses under the current exposure conditions, the strain was used in the current studies. Female wild-type C57BL/6 J and FcErIg knockout (B6.129-FcerIg5tmlRav.N12) mice, deficient in the g chain of the FcerI, FcgRI, and FcgRIII genes, were obtained from Jackson Laboratory (Bar Harbor, ME), and Taconic (Germantown, NY), respectively, at approximately 5 to 6 weeks of age. Upon arrival the mice were quarantined for 2 weeks and acclimated to a 12-h light/dark cycle. Animals were housed in microisolator cages in pathogen-free and environmentally controlled conditions at NIOSH facilities in compliance with AAALAC approved guidelines and an approved IACUC protocol (03-JM-M-005). Food and water were provided ad libitum.

#### Methods

## Atmosphere generation

TDI vapours were generated by passing dried air through an impinger that contained 3 mL TDI. A computer-interfaced mass flow controller (Aalborg Instruments, Orangeburg, NY, model GFC-37, 0–20 LPM) regulated the TDI concentration in the chamber, while a similar mass flow controller (model GGC-47, 0–100LPM) regulated the diluent air. Temperature and relative humidity were monitored by a Vaisala transmitter (Vaisala Inc., Woburn MA, type HP-233) interfacing with the TDI and diluent air controllers in a National Instruments (Austin TX) data acquisition/control system. The generation system produced TDI vapour, free of TDI aerosol.

Real-time monitoring of the chamber atmosphere was performed using an Autostep<sup>TM</sup> continuous toxic gas analyzer (Bacharach, Inc, Pittsburgh, PA) with TDI concentrations never varying more than 10% in the study.

## Induction regime

Mice were exposed to TDI by inhalation either of 20 ppb of TDI for 6 weeks, 5 days per week, 4 h per day (subchronic exposure), or of 500 ppb TDI for 2 h (acute exposure), in a 10 L inhalation chamber with only the heads of the animals extended into the chamber.

## Challenge

Challenge (1 h, 20 ppb TDI) was performed on all groups 14 days following the last day of subchronic or acute exposure. The 6-week exposure period is the time during which sensitisation to TDI develops in the current models. Therefore, mice that were exposed to TDI during this 6-week period followed by challenged are, henceforth, referred to as "sensitised/challenged" groups.

### Control groups

Three control groups were examined, including an air sensitised/air challenged, TDI sensitised/air challenged, and air sensitised/TDI challenged treatment group. As all control groups responded similarly, for convenience, only results from the air sensitised/TDI challenged control treatment are shown in the publication and are, henceforth, referred to as "controls" except in AHR studies, where values for all groups were reported.

#### Tissue collection

Groups of mice from each treatment group were sacrificed 48 h after airway challenge, using a  $CO_2$  atmosphere, and lungs and nares were collected. Lungs were inflated with 10% neutral buffered formalin (NBF), and tissues were immersed in 10% NBF for 24 h, after which the nares were decalcified. The tissues were embedded in paraffin, serially sectioned, and stained with hematoxylin and eosin for histopathological assessment. PAS staining was performed to identify goblet metaplasia and Chromatrope 2R/Mayer's Hematoxylin staining for eosinophil identification. The histopathological grading system was performed blinded and expressed on a 0–5 scale for each animal, with 0 representing no change, 1 = minimal, 2 = slight/mild, 3 = moderate, 4 = moderate/severe, and 5 = severe.

Additional groups of mice were sacrificed 24 h after challenge and utilised for bronchoalveolar lavage fluid (BALF) and blood collection. To obtain BALF, mice were anaesthetised with 50 mg/kg of pentobarbital, exsanguinated, and intubated with a 20-gauge cannula positioned at the tracheal bifurcation. Each mouse lung was lavaged three times with 1.0 mL of sterile HBSS and pooled. BALF recovery was  $80 \pm 5\%$  for all animals. The BALF samples were centrifuged, and the supernatant frozen at -80 °C until enzyme analysis. The cells

were resuspended at 105 cells/mL of HBSS, and 0.1 mL was used for cytospin preparations. The slides were fixed and stained with Diff-Quick (VWR, Pittsburgh, PA), and differential cell counts were obtained using light microscopic evaluation of 300 cells/slide. Total cell counts were performed with a haemocytometer. In replicate experiments, lungs were collected 24 h following challenge, and tissues were frozen in RNAlater (Qiagen, Valencia, CA) and stored at -80 °C for reverse transcription polymerase chain reaction (RT-PCR) analysis. Tissues frozen in liquid nitrogen were incubated with RNAlaterICE (Ambion, Austin, TX) at -20 °C for 24 h prior to RNA isolation.

## Transfer experiments

Adoptive and passive transfer experiments were conducted to assess the role of specific immunity in the asthma response. For adoptive transfer experiments, single cell suspensions were prepared from groups of mice exposed to TDI for six weeks or air sham controls by gently pressing pooled lymph nodes (mediastinal and auricular) and spleens through a stainless steel screen. The cell suspensions were washed with HBSS(Gibco, Grand Island, New York), the cell number adjusted to  $2 \times 10^7$  cells/mL, and aliquots layered onto Lympholyte-M (Accurate Chemical, Westbury, NY).

After centrifugation at 2500 rpm, the lymphocyte interface was collected and washed, and 5.0 x 10<sup>7</sup> cells in 0.5 mL volumes were injected intravenously into naive recipients. B or T cell depletion was conducted by incubating isolated lymphoid cells with either panT or panB Dynabeads (Dynal Biotech Inc., Lake Success, NY) at a 7:1 cell:bead ratio, according to the manufacturer's instructions. The respective T and B cell populations were > 98% pure, as assessed by FACS analysis on a FACS Calibur (BD Biosciences, Palo Alto, CA) utilising anti-CD3 and anti-B220 FITC conjugated monoclonal antibodies (PharMingen, San Diego, CA). The resulting T and B lymphocyte populations were injected intravenously into naive recipients at a concentration of 2.9 x 10<sup>7</sup> cells and 2.5 x 10<sup>7</sup> cells, respectively, in 0.5 mL volumes. To measure TDI-specific serum activity, naive mice received an intradermal injection of 30 mL heat-inactivated (56 °C, 4 h) or nonheated pooled serum into the dorsum of the right ear from either TDI sensitised/challenged mice or control mice. Animals were challenged 24 h later with 1% TDI (in acetone:olive oil, 4:1) on the dorsum of the same ear, and the change in ear thickness was compared to the thickness pre-challenge. Additional groups of mice received an intravenous injection of 200 mL of either heated or unheated pooled sera from TDI sensitised/challenged or control mice. Twenty-four hours after intravenous lymphocyte or serum transfer, mice were challenged either by inhalation with 20 ppb TDI for 1 h or by a single application of 25 mL of 1% TDI (in acetone:olive oil, 4:1) onto the dorsum of the right ear, as previously described (Ebino, 1999). Respiratory responses including pathology (as outlined above) and airway responsiveness to methacholine (see below) were determined 48 and 24 h following challenge, respectively. The ear challenge response was determined by measuring the change in ear thickness from baseline pre-challenge ear thickness 24 h following TDI application. Cell proliferation in the draining lymph node was determined in an additional group of recipient mice using a modification of the local lymph node assay, as originally described by (Dearman and Kimber, 2000). Twenty-four hours after challenge, the mice were injected intravenously with 200 mL of <sup>3</sup>H-thymidine (specific activity 0.1 mCi/mL; Amersham, Piscataway, NJ), and incorporation of <sup>3</sup>H-thymidine into DNA in the draining auricular lymph nodes was measured.

### Antibody detection

Total serum IgE was measured using a sandwich enzyme-linked immunosorbent assay (ELISA) as previously described (Satoh et al., 1995). Briefly, plates were coated with 5 mg/mL of rat monoclonal antimouse IgE (PharMingen). Serial two-fold dilutions of test sera, starting at a 1:5 dilution, were added and incubated with peroxidase-goat anti-mouse IgE (1:1000, Nordic Immunological Laboratories, Capistrano Beach, CA) and developed with ABTS substrate, 2,20-azinobis(3-ethylbenzthiazoline-6-sulfonic acid). Total serum IgE concentrations were derived from a standard curve obtained using murine monoclonal anti-DNP IgE (Sigma, St. Louis, MO). TDI-specific antibodies were detected by ELISA using a TDI-mouse serum albumin conjugate, kindly provided by Dr. Meryl Karol (University of Pittsburgh, Pittsburgh, PA), as previously described (Satoh et al., 1995). Serial two-fold dilutions of test sera, starting at a 1:5 dilution, were added to individual wells and incubated with peroxidase-conjugated, goat anti-mouse antibodies against either total IgG (1:400, Sigma, St. Louis, MO), IgG<sub>1</sub>, or IgG<sub>2a</sub> (both at 1:400, The Binding Site, Birmingham, UK) and developed with ABTS substrate. Antibody titers were determined by plotting the serial dilution curve for each

sample individually vs. the optical density (OD) for each dilution of that sample. A cut-off OD of 0.2 (average OD of challenge only mouse serum was  $0.06 \pm 0.005$ ) was used to determine the titer.

## Eosinophil peroxidase activity (EPO)

Measurement of EPO activity was performed on BALF supernatants according to the method of (Bell et al., 1996), with slight modifications. Briefly,  $0.1\,\text{mL}$  of peroxidase substrate solution, consisting of ophenylenediamine dihydrochloride (OPD), urea hydrogen peroxide, and phosphate-citrate buffer (Sigma Fast Tablets, Sigma, St. Louis, MO), was added to  $0.1\,\text{mL}$  of the BALF supernatant. The mixture was incubated at 37 °C for 30 min before stopping the reaction with 50 M of 2 N hydrochloric acid. Optical densities were measured at 490nm (OD490). Non-specific activity was determined by treating duplicate sample sets with the EPO inhibitor, 3-amino-1,2,4-triazole (2 mM,Sigma), and was always less than 10% of the non-treated samples. Results are expressed as OD490 corrected for background and volume of BALF supernatant retrieved (BALF recovery was  $80 \pm 5\%$ ).

## Airway hyperresponsiveness (AHR)

AHR to methacholine challenge was assessed, 24 h following TDI challenge, using a single chamber whole-body plethysmograph (Buxco, Troy, NY). A spontaneously breathing mouse was placed into the main chamber of the plethysmograph, and pressure differences between the main chamber and a reference chamber were recorded. AHR was expressed as enhanced pause (PenH), which correlates with measurement of airway resistance, impedance and intrapleural pressure and is derived from the formula:

$$PenH = [(Te - Tr)/Tr] \times Pef/Pif;$$

where Te = expiration time, Tr = relaxation time, Pef = peak expiratory flow, and Pif = peak inspiratory flow (Schwarze et al., 1999). Mice were placed into the plethysmograph and exposed for 3 min to nebulised PBS followed by 5 min of data collection to establish baseline values. This was followed by increasing concentrations of nebulised methacholine (0–50 mg contained in 1.0 mL of PBS) for 3 min per dose using an AeroSonic ultrasonic nebulizer (DeVilbiss, Somerset, PA). Recordings were taken for 5 min after each nebulisation. The PenH values during each 5 min sequence were averaged and expressed as percentage increase over baseline values following PBS exposure for each methacholine concentration.

#### Real-time RT-PCR

Tissues were homogenised, and total cellular RNA was extracted using the Qiagen RNeasy kit (Qiagen, Valencia, CA) according to the manufacturer's instructions. One microgram of RNA was reverse-transcribed using random hexamers and 60 U of Superscript II (Life Technologies, Grand Island, NY). Real-time PCR primer/probe sets for murine 18S, IFN $_{\gamma}$ , IL-4, IL-5, and TNF $_{\alpha}$  were purchased as predeveloped kits from Applied Biosystems (Foster City, CA). Real-time PCR was performed using Taqman Universal Master mix with Amperase in an iCycler (Bio-Rad, Hercules, CA) for 1 cycle at 50 °C for 2 min (degrade carry over using Amperase), and 95 °C for 10 min, followed by 60 cycles at 95 °C for 15 sec and 60 °C for 1 min. The differences in mRNA expression between control and treatment groups were determined by the relative quantification method developed by (Pfaffl, 2001) utilising the threshold cycle (CT) method and real-time PCR efficiencies of the target gene normalized to the housekeeping gene 18S/rRNA.

## Statistical analysis

All studies were replicated with representative data shown. For statistical analysis, standard one-way analysis of variance (ANOVA) followed by the Student-Newman-Keuls test was used for multiple group comparisons. Student's two-tailed unpaired t test was used to determine the level of difference between two experimental groups, and p < 0.05 was considered a statistically significant difference. For the analyses of RT-PCR data, the fold change from the mean of the control group was calculated for each individual sample (including individual control samples to assess variability in this group centered around one) prior to ANOVA and SNK.

### Results

#### AHR

The results with respect to Airway Hyperresponsiveness (AHR) are shown in Figure 1 below.

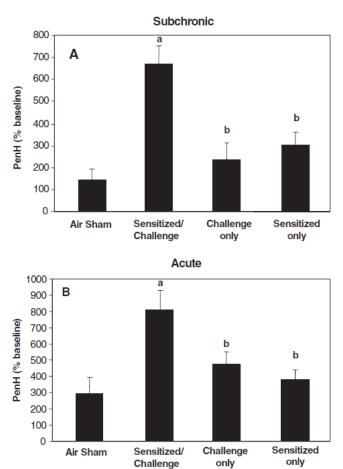


Figure 1: AHR in TDI-exposed mice. Mice which received air only, air sensitised/TDI challenged, TDI sensitised/air challenged, or TDI sensitised/challenged by either subchronic exposure (A) or acute exposure (B) were assessed for nonspecific methacholine reactivity. The change in PenH values in response to 50 mg/mL of inhaled aerosolised methacholine was determined 24 h after challenge and is expressed as percent change from baseline values (aerosolised saline). The PenH baseline values (0.48  $\pm$  0.06) did not differ between treatment groups. Significantly different from a = air sham control group or b = sensitised/challenged group (p < 0.05, n < 5, mean  $\pm$  SEM). Taken from (Matheson et al., 2005b).

Mice exposed to 20 ppb TDI by inhalation for 6 weeks and challenged 14 days later demonstrated a marked increase in AHR to methacholine. A slight increase in AHR to methacholine occurred in the sensitised-only and challenged-only groups, but was not statistically significant. Mice exposed to an acute high dose (500 ppb) of TDI followed 14 days later with 20 ppb challenge also exhibited significant AHR to methacholine challenge compared to controls. No differences in baseline PenH values were observed between treatment groups in the subchronic or acute exposure protocols. Furthermore, mice subchronically exposed to TDI show increased PenH values within 2 h following challenge with TDI, indicating TDI-specific airway responsiveness, an important characteristic of asthma.

For the reporting of the remaining parts of this study, the control group will represent mice that received air exposure for 6 weeks (subchronic) or 2 h (acute) followed by TDI challenge (challenge-only).

#### Antibodies

The results of the antibody assessment are shown in Figure 2.

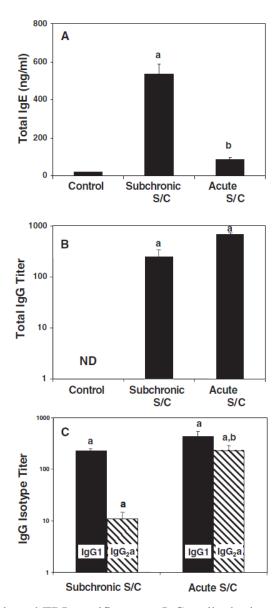


Figure 2: Total serum IgE levels and TDI-specific serum IgG antibody titers. Sera were collected 24 h after TDI challenge from mice that received TDI challenge only (control), subchronic low-dose TDI exposure, or acute high-dose TDI exposure. Total IgE levels (A), TDI-specific IgG antibodies (B), and TDI-specific IgG<sub>1</sub> and IgG<sub>2a</sub> antibodies (C) are shown. No TDI-specific IgG antibodies were detected in the control group for (C). Significantly different from a = control group or b = subchronic sensitised/challenged group, (p < 0.05, n = 5, mean  $\pm$  SEM). ND = not detected. From (Matheson et al., 2005b).

Twenty-four hours after TDI challenge, blood was collected from control and exposed mice and the serum analysed for total IgE and TDI-specific IgG antibodies. Total serum IgE levels in mice that received subchronic TDI exposure were increased by approximately 10-fold compared to control mice, while IgE levels in serum from mice that received an acute exposure to TDI were comparable to controls. Total IgG TDI-specific antibodies, as well as  $IgG_1$  and  $IgG_{2a}$  TDI-specific antibodies, were consistently detected and significantly elevated in both the subchronic low-dose and the acute high-dose exposed groups, compared to undetectable levels found in the control group. In addition, while there were equivalent levels of  $IgG_1$  and  $IgG_{2a}$  antibodies in the acute high-dose group,  $IgG_1$ -specific antibodies were at least 30-fold higher than  $IgG_{2a}$  antibody levels, in subchronically exposed mice.  $IgG_1$  and  $IgG_{2a}$  antibodies specific for TDI were not detectable in sera of control mice (not shown).

### Markers of inflammation

The pathological changes induced by TDI exposure are summarised in Table 9, followed by an overview of the findings from BALF analysis in Figure 3.

Table 9: Summary of pathological changes induced by TDO exposure, from (Matheson et al., 2005b). Histopathological changes were assessed 48 h after the last TDI inhalation challenge. Values are expressed on a 0-5 scale, with 0 representing no changes, 1 = minimal, 2 = slight/mild, 3 = moderate, 4 = moderately/severe, and 5 = severe. Mean individual severity within a group was calculated by added severity scores of all animals and then dividing that by the total number of animals. a = Significantly different from control group (p < 0.05).  $b = \text{Epithelial changes represent epithelial hyperplasia, epithelial regeneration, and loss of structure. * = Mean <math>\pm \text{SEM } (n = 5)$ .

Tissue alteration		Control	Subchronic	Acute
		Nares		
Exudate		$0.2 \pm 2^*$	$2.5 \pm 2^{a}$	$2.2 \pm 6^{a}$
Goblet metaplasia		$1.2 \pm 0.2$	$4.2 \pm 0.1^{a}$	$4.3 \pm 0.2^{a}$
Inflammation	Lymphocytes	$0.5 \pm 0.2$	$2.2 \pm 0.4^{a}$	$0.5 \pm 0.3$
	Neutrophils	$0.8 \pm 0.2$	$2.7 \pm 0.5^{a}$	$1.8 \pm 0.6$
	Eosinophils	$0.4 \pm 0.3$	$2.9 \pm 0.5^{a}$	$0.7 \pm 0.3$
	<b>Epithelial changes</b>	$0.2 \pm 0.2$	$2.1 \pm 0.1^{a}$	$3.3 \pm 0.1^{a}$
	Hyaline droplet	$0.2 \pm 0.3$	$3.1 \pm 0.4^{a}$	$2.0 \pm 0.2^{\rm a}$
		Lung		
Goblet metaplasia		0	$1.9 \pm 0.3$	$2.3 \pm 0.7^{a}$
Inflammation	Lymphocytes	$0.7 \pm 0.3$	$3.3 \pm 0.4^{a}$	$0.8 \pm 0.3$
	Neutrophils	0	$1.9 \pm 0.3^{a}$	$0.2 \pm 0.2$
	Eosinophils	0	$3.4 \pm 0.3^{a}$	$0.2 \pm 0.1$
	Macrophages	0	$2.4 \pm 0.3^{a}$	$1.7 \pm 0.2^{a}$
	<b>Epithelial changes</b>	0	$2.4 \pm 0.4^{a}$	$1.2 \pm 0.3^{a}$

Airway inflammation is a central feature of the asthmatic response to TDI and is considered a key manifestation of underlying bronchial hyperresponsiveness. Mice subjected to the subchronic TDI exposure regimen presented histological changes in the lungs and nares consistent with an inflammatory response, manifested by neutrophil, lymphocyte, eosinophil, and macrophage infiltration. Tissues at these sites exhibited degenerative cellular changes including loss of cilia, goblet cell metaplasia, septal exudate, hyaline droplet formation, and epithelial hyperplasia. Mice exposed by the acute high-dose exposure regimen exhibited similar histopathology as observed in the subchronic exposure, but fewer inflammatory cells, including eosinophils. Control mice revealed minimal histopathological changes that were contained primarily in the nares.

Total cell numbers in the BALF of mice exposed following the subchronic protocol were increased two-fold compared to the control group. Differential analysis showed that large increases in eosinophils and lymphocytes were responsible for the observed increase in cell recruitment. There was also a significant increase in neutrophil infiltration into the lung, although to a much lesser extent than other inflammatory cells. Macrophages were the predominant cell type in the lung of control mice, representing over 95% of the cells, whereas macrophages decreased to 56% of the total cell population in the subchronically exposed mice following challenge. Mice exposed to the acute high-dose treatment exhibited an 8-fold increase in lymphocyte numbers following challenge, but minimal effects on other inflammatory cells, including eosinophils. Corresponding to the increase in eosinophil numbers, EPO activity in BALF supernatants was significantly elevated in subchronically exposed mice after challenge, while no increase in activity was found in the acute high-dose treated animals.

Cytokines have been implicated in the recruitment of inflammatory cells to the lung and in the pathogenesis of asthma. To determine the effects of TDI on the relative expression of cytokines in the airway, RNA was isolated from the lungs of mice 24 h after challenge, and the levels of IL-4, IL-5,  $TNF_{\alpha}$  and  $IFN_{\gamma}$  mRNA were determined by real-time PCR, cf. Figure 4.

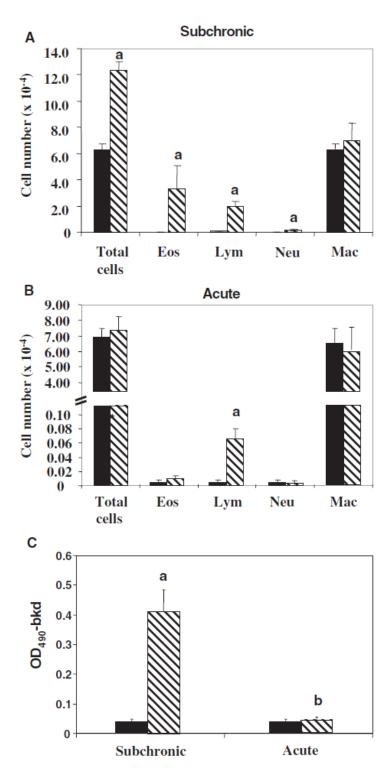


Figure 3: Cellular distribution and EPO activity in bronchoalveolar lavage fluid (BALF). BALF was collected 24 h after TDI challenge, and cytospin preparations were examined for cellular content. Differential cell counts for subchronically exposed mice (A) and acutely exposed mice (B) were determined using light microscopy by evaluation of 300 cells per slide. Data are presented as total cell number for each population in the BALF (Eos = eosinophil; Lym = lymphocyte; Neu = neutrophil; Mac = macrophage). BALF supernatants were measured for eosinophil peroxidase activity (C), and the data are expressed as the optical density at 490 nm after background subtraction (OD490 – bkd). Solid bars represent control group responses, and stripped bars represent TDI sensitised/challenged group responses. Significantly different from a = control group or b = subchronic sensitised/challenged group, (p < 0.05, n = 5, mean  $\pm$  SEM). Taken from (Matheson et al., 2005b).

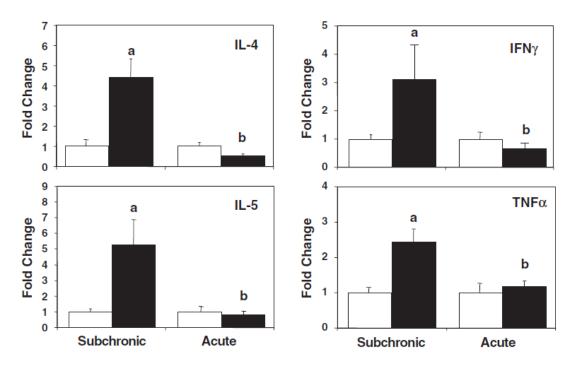


Figure 4: Inflammatory cytokine gene expression in the lungs of TDI-exposed mice. Twenty-four hours following challenge, RNA was isolated from lungs and real-time RT-PCR was performed using IL-4, IL-5, IFN $_{\gamma}$ , TNF $_{\alpha}$ , or 18s (internal control)-specific primer/probe sets. Cytokine mRNA expression data for subchronic and acute exposure mice are presented as fold change from the respective control group. Open bars represent control group responses, and solid bars represent TDI sensitised/challenged group responses. Significantly different from a = control group or b = subchronic sensitised/challenged group, (p < 0.05, n = 4, mean  $\pm$  SEM).

Compared to the control group, subchronic TDI-exposed mice showed significant elevations in IL-4, IL-5, IFN $_{\gamma}$  and TNF $_{\alpha}$  mRNA transcripts following TDI challenge. In contrast, no increase in expression of IL-4, IL-5, IFN $_{\gamma}$  or TNF $_{\alpha}$  was observed in the lungs of mice that received acute TDI exposure.

## Transfer experiments

To determine whether specific immunity was involved in the asthmatic response to TDI, adoptive transfer experiments were conducted in which lymphocytes, B cells, or T cells from TDI-exposed mice were transferred into naive recipients. Twenty-four hours following cell transfer, the mice were challenged with 20 ppb TDI, and lung inflammation and airway reactivity were assessed 48 and 24 h later, respectively.

Histological examination of lungs from mice that received lymphocytes from subchronic TDI exposed animals showed slight, diffuse infiltration of lymphocytes and eosinophils following TDI challenge, while those receiving lymphocytes for acute TDI exposed group revealed lymphocyte infiltration but no eosinophils. No lung inflammation was evident after challenge in transfer mice that received lymphocytes from control animals. Naive mice that received either purified lymphocytes, T cells, or B cells from mice that underwent subchronic exposure also displayed significantly increased responsiveness to methacholine 24 h following TDI challenge, when compared to the control group. Recipient mice that received unfractionated lymphocytes from mice in the acute treatment group also showed a significant increase in AHR to methacholine 24 h following TDI challenge, although the magnitude of increase over the control group was about half that observed following total cell transfer from subchronic exposure mice. Adoptive transfer experiments with purified B and T cells from mice that received the acute exposure regimen were not conducted (Figure 5).

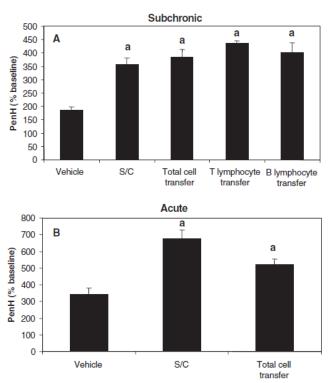


Figure 5: AHR following adoptive transfer with lymphocytes from TDI-exposed mice. Lymphocytes pooled from the auricular lymph nodes and spleens from TDI-subchronically exposed (A) or acutely exposed mice (B) were injected i.v. into naive recipient mice that where challenged by TDI inhalation 24 h later. Twenty-four hours following TDI challenge, mice which received vehicle, total lymphocytes, T lymphocytes, or B lymphocytes, as well as a TDI-exposed positive control group (sensitised/challenged, S/C) were assessed for methacholine reactivity. The change in PenH values in response to 50 mg/mL of inhaled aerosolised methacholine is expressed as percent change from baseline values (aerosolised saline). The PenH baseline values (0.51  $\pm$  0.07) did not differ between treatment groups. a = Significantly different from vehicle control group, p < 0.05, n = 5, mean  $\pm$  SEM. From (Matheson et al., 2005b).

To help determine whether TDI-specific lymphocytes were present in the transfer experiments, lymphocytes from mice that underwent subchronic TDI exposure were adoptively transferred to naive recipients, and 24 h later the recipients were challenged with 25 mL of 1% TDI on the dorsum of the ear. Ear swelling was determined following an additional 24 h. Mice that received unfractionated lymphocytes, B cells, or T cells produced a significant ear swelling response following TDI challenge. Cell proliferation in the draining auricular lymph node was also significantly increased in adoptively transferred mice following TDI ear challenge, although the response following transfer of B cells was minimal compared to T cells. This was evidenced by 20-fold, 8-fold, and 2.4-fold increases in <sup>3</sup>H-thymidine uptake in mice receiving total lymphocytes, T lymphocytes, and B lymphocytes, respectively, compared to controls. Transfer of lymphocytes from acutely exposed mice was not performed in these experiments (Figure 6).

To help elucidate the role of humoral immunity in TDI-induced asthma, passive transfer experiments were performed in which serum from mice that had been exposed subchronically and challenged with TDI was administered to naive mice. Histological examination of lungs from mice that received serum from TDI-exposed animals showed minimal diffuse infiltration of lymphocytes and eosinophils 48 h after TDI challenge. No lung inflammation was evident after challenge in transfer mice that were injected with serum from control animals. Twenty-four hours following serum transfer, mice were challenged with TDI by inhalation, and AHR to methacholine was assessed 24 h later. Mice that received non-heated serum from subchronically exposed mice displayed increased AHR to methacholine challenge (50 mg/mL) at 24 h after TDI challenge. Heat inactivation of the serum (56 °C, 4 h), which destroys IgE activity, removed the ability to transfer AHR. Mice injected intradermally with sera (30 mL) from subchronically exposed mice and challenged 24 h later with 1% TDI demonstrated a dermal response, measured as an increase in ear thickness. Heat inactivation of the sera also markedly, but not completely, reduced the dermal response, possibly reflecting the presence of other soluble mediators in the serum that are heat-stable (Figure 7).

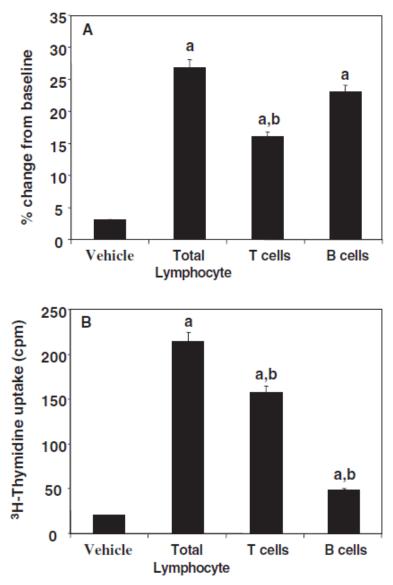


Figure 6: Contact hypersensitivity to TDI following adoptive transfer of lymphocytes from mice subchronically exposed to TDI. Lymphocytes pooled from the auricular lymph nodes and spleens from TDI-exposed mice were injected i.v. into naive recipient mice. Mice were challenged 24 h later with 1% TDI on the dorsum of the right ear, and after an additional 24 h, contact hypersensitivity responses were measured as a function of challenge-induced increases in ear thickness (A) and  ${}^{3}$ H-thymidine uptake in the draining auricular lymph nodes (B). Significantly different from a = vehicle control group or b = total lymphocyte transfer group, (p = 0.05, n = 4, mean  $\pm$  SEM). From (Matheson et al., 2005b).

The role of antibody in TDI-induced asthma was further explored using FcErIg transgenic mice, which lack the g chain subunit of the FceRI, FcgRIII, and FcgRI receptors and, thus, do not mount functional IgG and IgE immune responses. Transgenic mice were exposed to TDI by subchronic i nhalation, and methacholine reactivity was assessed at 24 h following TDI challenge. Increased AHR in transgenic mice was similar to the controls. Changes in lung cytokine mRNA expression were also examined in FcErIg transgenic mice. In contrast to the sensitized/challenged wildtype group, the levels of the asthma-associated cytokines IL-4, IL-5, IFNg and TNFa in the subchronically exposed FcErIg transgenic mice were not increased (Figure 8).

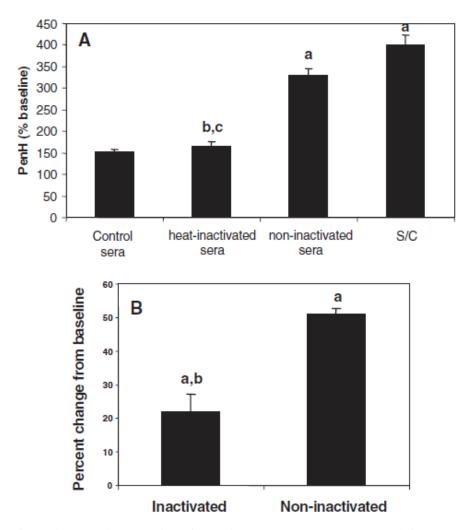


Figure 7: AHR following passive transfer of TDI immune serum. Sera pooled from TDI subchronically exposed mice was injected i.v. into naive recipient mice. (A) Twenty-four hours later mice were challenged with TDI (20 ppb via inhalation route for 1 h) and 24 h post-inhalation challenge, mice which received control sera, heat-inactivated TDI sera, noninactivated TDI sera, or TDI subchronic sensitised/challenged (S/C, positive control) were assessed for methacholine reactivity. The change in PenH values in response to 50 mg/mL of inhaled aerosolised methacholine is expressed as percent change from baseline values (aerosolised saline). The PenH baseline values ( $0.45 \pm 0.04$ ) did not differ between treatment groups. (B) Heat-inactivated or non-inactivated pooled serum from TDI subchronically exposed mice was injected intradermally into the dorsum of the right ear of naive recipient mice. Twenty-four hours following transfer, mice were challenged with 1% TDI on the same ear, and responses were measured as a function of challenge-induced increases in ear thickness 24 h post-challenge. Data are presented as percent change from pre-challenge ear thickness of the right ear. Significantly different from a = control serum treated group, b = non-inactivated treated serum group, or c = subchronic sensitised/challenged group, (p < 0.05, n = 5, mean  $\pm$  SEM). The response to control sera was compared to that of normal mouse sera, and no difference was observed (data not shown). From (Matheson et al., 2005b).

### Conclusion of the authors

In conclusion, a mouse model is described that demonstrates low-level subchronic TDI inhalation induces pathology, consistent with allergic asthma, manifested by airway inflammation, lung eosinophilia, increased AHR, asthma associated histopathology, Th cytokine expression, elevated serum IgE, and TDI-specific antibodies. Asthmatic symptoms also occur following high-dose, acute exposure, but the response is less robust, failing to demonstrate eosinophilia, elevated serum IgE levels, or Th cytokines. Evidence is also presented that, like allergic asthma, TDI asthma following subchronic exposure, while associated with a  $T_{\rm H2}$  response involving IgE antibodies, also involves  $T_{\rm H1}$  responses.

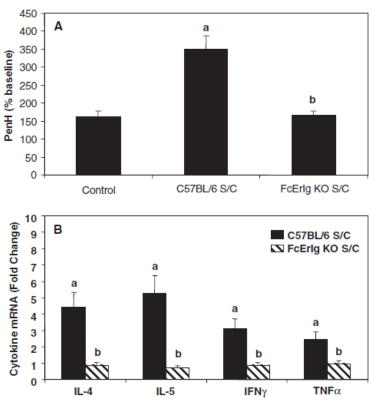


Figure 8: AHR and lung cytokine expression in mice lacking Fc-e and Fc-g (FcErIg) receptors after subchronic exposure to TDI. (A) Twenty-four hours following TDI inhalation challenge, control mice, FcErIg knockout S/C mice, or TDI-subchronically exposed C57BL/6 S/C mice were assessed for methacholine reactivity. The change in PenH values in response to 50 mg/mL of inhaled aerosolised methacholine was determined 24 h after challenge and is expressed as percent change from baseline values (aerosolised saline). The PenH baseline values  $(0.42 \pm 0.08)$  did not differ between treatment groups. (B) Twenty-four hours following TDI challenge, mice were sacrificed, RNA was isolated from the lungs, and real-time RT-PCR was performed using IL-4, IL-5, IFN- $\gamma$ , TNF $\alpha$ , and 18S-specific primer/probe sets. Data are presented as fold changes from the corresponding control strain. Significantly different from a = control group or b = wild-type sensitised/challenged group, (p < 0.05, n = 5, mean  $\pm$  SEM). S/C = TDI sensitised/ challenged C57BL/6 mice from subchronic exposure. From (Matheson et al., 2005b).

## 1.1.2.3 Hoymann et al., 1995

Summary as provided by the lead registrant for MDI (the full study report was not available to the DS).

## Study reference:

Hoymann H.G., Buschmann J., and Heinrich U. (1995): Untersuchungen zur chronischen Toxizität/ Kanzerogenität von 4,4'-Methylendiphenyl-Diisocyanat (MDI) [Studies on the chronic toxicity/carcinogenicity of 4,4'-methylenediphenyl-diisocyanate (MDI)]. Forschungsbericht 116 06 084, date: 1995-09-01. Fraunhofer-Institut für Toxikologie und Aerosolforschung. Umweltbundesamt (UBA)

Only a IUCLID summary of this study was available from which only the details relevant for RS are reproduced below. Details are confined to findings.

#### Test type:

Combined chronic/carcinogenicity test claimed to be similar to OECD 453, but with only female animals exposed and exposure limited to 17 h/d. GLP claimed.

### Test substance:

Monomeric 4,4'-methylenediphenyl diisocyanate (Desmodur 44 M Schuppen from Bayer AG, Leverkusen); 13 batches were tested (purity: > 99.5 %)

#### Test animals:

Rat, Crl:[WI]BR Wistar, female. At the start of the study the animals were approximately 10 weeks old. Acclimation: approx. 2 weeks. Origin: Charles River Wiga GmbH, Sulzfeld. 80 females per dose; at each dose level there were additional 80 rats per group in satellite groups for:

- chronic toxicity over 12 months (20 animals),
- lung function over 20 months (12 animals),
- lung clearance over 20 months (8 animals),
- bronchoalveolar lavage, biochemistry over 3 months + 1 week recovery (20 animals), and
- bronchoalveolar lavage, biochemistry over 12 months + 1 week recovery (20 animals).

## Administration/exposure:

Choice of the exposure concentrations was done after a range-finding test (90-day study at 0.3, 1 und 3 mg/m³, under exposure regime of ca. 18 hours/day, 5 days/week), where a no observed effect concentration was derived (NOEC: 0.3 mg/m³), based on substance-related effects seen in the highest and to some extent also in the mid-dose group. MDI aerosol was generated using an evaporation-condensation technique. The rats were exposed via whole-body exposure to concentrations of 0-0.2-0.7-2.1 mg/m³, 17 h/d, 5 d/wk, for up to two years in 6 m³ stainless steel inhalation chambers (horizontal air flow, renewal rate: approx. 15-fold per hour). Since the vapour saturation of MDI at 23°C is about 0.1 mg/m³, a part of the exposure was as vapour. Monitoring of total MDI was performed by gravimetrically calibrated, light scattering aerosol sensors. Concentrations of monomeric MDI in the inhalation chamber were measured with HPLC. The median mass aerodynamic diameters (in µm) were 1.03, 1.03, and 1.06, respectively. Controls: yes, sham-exposed.

## **Examinations:**

#### Clinical signs:

All animals were observed for clinical signs at least once a day; if clinical signs were present, the animals were further examined; animals in bad condition were killed and organs put in formalin.

## Organs examined at necropsy:

Macroscopic examination: full pathological examination is done on the surviving rats of the chronic tox test killed at 12 months exposure (satellite groups) and at 12 months resp. 24 months (animals with number 101-120 resp. 1-80) of the carcinogen test. Following organs are preserved in 10 % neutral buffered formalin solution: all organs/tissues that are macroscopically changed, brains, pituitary, thyroid, thymus, larynx and laryngopharynx, trachea, lungs, heart, aorta, pancreas, liver, kidney, adrenals, periferal nerve, sternum, femur and knee, vertebrae, tongue, lymph nodes (submandibular and mesenteric), mediastinal lymph nodes, nose, sinus, eyes/Harderian glands; lacrimal glands (extraorbitale), ovaries, uterus and vagina, mammary, skin, oesophgus, stomach, duodenum, jejunum, ileum, caecum, colon, rectum, urinary bladder, muscles, pancreas, mesenterium. Lungs (incl trachea), under +/- 20 cm water pressure, are preserved in formaline solution.

Organ weights: are performed on the animals of the satellite group used for chronic tox test after 12 months of exposure: in 10 animals/ group: fresh weights of brain, liver, kidneys and adrenals and ovaries. Also the relative organ weights are calculated (vs. the body weight at the end of the test). This examination was not performed in rats after 24 months of testing due to increased mortality and the number of surviving animals being too limited to allow any firm conclusions to be drawn. In the satellite groups used to examine BAL (10 animals/group) at the end of the exposure time as well as on the remaining 10 animals/group after recovery (=after 20 months: in surviving animals of the 20 animals/group at end of the test) terminal body weights and fresh weight on lungs (incl trachea) as well as the relative lung weight are calculated.

Microscopic examination (light microscopy) was done for all animals of the control group and the high dose group of the carcinogenicity test and the chronic tox after 12 months, on above tissues/organs after haematoxylin-eosin staining (Lilly-Meyer). In case of substance related pathological findings found in these groups, all corresponding organs (respiratory tract) of all other animals of low and mid-dose groups are examined. Moreover all organs with tumor-like or similar modifications were histologically examined. Peer review of the lung examinations (review examination by an external pathologist by Prof. Dr. D.L. Dungworth, University of California, Davis, USA. Data record and statistical treatment of the pathological findings was done using the PLACES program.

#### Other examinations:

- lung function: on rats under narcosis, with non-invasive method. After 6, 12 and 17 months identical tests were done on the same rats (of the satellite groups). a) Whole-body plethysmography and parameter on spontaneous breathing. b) Forced Expiration c) Lung volume and elasticity d) N-exchange test: homogenity of ventilation e) CO-diffusion test: diffusion,
- bronchoalveolar lavage (BAL): Biochemical and cytological parameter of lung lavage, b) measurement of surface tension,
- lung clearance, and
- investigations on MDI-metabolism: in blood and urine.

### **Statistics:**

Differences between test and control groups are judged statistically significant at level p<0.05. Body weight and food consumption, absolute and relative organ weight and hematological/biochemical data, BAL, clearance and lung function data are checked for difference between groups by variance analysis. If statistical difference was found between group means, the mean of the test group was compared to the mean of the control by t-test (lung function) or adapted t-test (Dunnett-test). The Wilcoxon test was used for surfactant data. Qualitative and semi-quantitative data (histopathology) are analysed by Fisher-test.

### Any other information on materials and methods incl. tables:

The photometrically determined chamber concentrations were 0.23, 0.70 and 2.05 mg/m³, with standard deviations of 0.06, 0.17 and 0.37 mg/m³, respectively. The fraction of the total MDI concentration present as monomeric 4,4'-MDI was 43%, 79% and 85%, respectively, for the low, mid and high exposure groups. The fraction of the total MDI concentration present as monomeric 4,4'-MDI was 43%, 79%, and 85%, respectively, for the low, mid and high exposure groups. The fraction of the total MDI concentration present as monomeric 4,4'-MDI was 43%, 79%, and 85%, respectively, for the low, mid and high exposure groups.

## Results and discussion:

Mortality: decreased survival time was seen in all groups (including controls). This was due to the earlier onset of age related changes e.g. tumours of pituitary and mammary gland. The cause of this finding could not be foreseen at the start of the test nor can it be clarified. In the carcinogenicity test: No significant differences occurred between the test groups and the controls. After 17-18 months exposure (i.e. 19-20 months age) cumulative mortality was 50%. Compared to internal and external historical data (1984-1988) on the same rat species, this represents a real decrease in survival time. After 17 months of exposure the weight differences from low, mid and high dose groups compared to controls were -6.7%; -7.9% and -11.3%. However it should be noted that at day 0 the weights of mid and high dose group were 2.4 and 2.2% lower.

Body weight: since 4.5 months of testing, the mean weight of the animals in the mid- and low dose groups were significantly decreased compared to the control group.

Organ weights: Lungs: relative fresh weights (normalised to body weight) for lungs are increased after 3, 12 and 20 months exposure. After 3 months: significantly increased weights in all test groups. After 12 and 20 months these differences are only present at the highest dose group. After 1 week recovery (clean air) following 3 months exposure, a recovery effect is seen in the low and mid dose. However, in the high dose group animals the lung weight remains sign increased. Histopathological changes corroborate with this finding. Other organs: no significant difference are seen between the test and control groups

Gross pathology: with exception of the changes as described under histopathological changes, no substance related changes could be found

Histopathology: I. After 12 months of exposure (satellite-groups): Non-neoplastic changes: Exposure related pathological changes were only found in the nose, lungs and lung associated lymph nodes (LALN). Nose: Very low to low graded (multi)focal degeneration of the olfactory epithelium: in 5/15 animals of the high dose group; in 1/19 animals of the mid dose group. These changes were absent in the low and control group. Statistically different were control and high dose group. Other changes were seen but these were not statistically significant from the controls. After 12 months MDI exposure: MDA-DNA adducts were found in olfactory nose epithelium, however only in marginal amount. Remark: The proof of MDA-DNA adducts is possibly feigned by the strong protein binding. The toxicological relevance of this finding is doubtful since MDI leads only in high concentrations to degeneration of the olfactory epithelium (Greim H (ed.) 2008, in: Occupational Toxicants - Critical data evaluation for MAK values and classification of carcinogens, Wiley-VCH, Weinheim, Vol. 14). Lungs: Statistically significant multifocal to diffuse interstitial (septal) fibrosis in all exposure groups. Slight to moderate interstitial fibrosis in mid and high dose group; present in resp. 18/19 animals and 15/15 animals (diff. not statistically significant). In the low dose group: 6/19. Moderate (multi)focal bronchiole-alveolar hyperplasia: higher frequency in mid and high dose groups. Focal alveolar hyperplasia (Type II cells especially): only in exposed groups (1 animal in low and in mid dose; 3 in the high dose). Not significant different but presumably related to exposure. Alveolar accumulation of macrophages with inclusion of particles in low amount and dose related frequency: only present in groups exposed to the test substance (statistically different compared to control: low dose: 8/19; mid: 16/19 and high dose: 15/15 animals). Epithelium associated giant cells of Langhans; difference very significant in mid and high dose groups. Low to moderate interstitial mononuclear cell infiltration in control to high dose animals: resp. 2/18; 5/19; 18/19 and 13/15. In the BAL there were after 3 and 12 months in the highest dose; increased macrophages, lymphocytes numbers; after 20 months increased number of lymphocytes. At no point in time was there a change in the number of granulocytes. Lung associated lymph nodes (LALN): Exposure related multifocal accumulation of particle bearing macrophages: in the mid (16/19) and high (6/14) dose group (statistically different from control). Slight reactive hyperplasia of the lymphoid tissue associated with macrophage accumulation: dose dependent increase in incidence. Other organs: Exposure related changes could not be detected.

Histopathology: II. After 24 months of exposure (carcinogenicity test): Lungs: A dose related neoplastic effect was only seen in the lungs. In 1 animal of the high dose group: bronchiole-alveolar adenoma built of dysplastic alveolar cells (type II pneumocytes). Further: dose dependent (multi)focal high grade dysplastic alveolar hyperplasia. Exposure related changes could only be found in the nose, larynx, lungs and lung-associated lymph nodes. Nose (only examined in control and high dose group): (Multi)focal, in general moderate squamous metaplasia, mainly in the proximity of the olfactory epithelium (in high dose significantly higher than in control: 16/80 vs 5/80). (Multi)focal generally moderate Becker cell hyperplasia (50/80 vs 33/80) and inflammatory cell infiltration of the mucosa (29/80 vs 10/80). Other changes, non significant but obviously dose related were: metaplasia of the respiratory epithelium, degeneration, erosion, respiratory and/or olfactory epithelium. Larynx (only examined in controls and high dose group): Slight multi(focal) squamous metaplasia significantly higher (13/79 vs 1/80). Focal hyperkeratosis (in the area of the epiglottis) and inflammatory infiltration of the mucosa (however non significant). Lungs: Alveolar cell hyperplasia: in frequency and severity significant difference between mid and high dose compared to controls. In the following incidences and severity are described for the 3 dose groups (number of animals with grade of the effect: very slight, slight, moderate, high; total animals displaying these changes): Low dose: 1/80; 4/80; 2/80; 1/80; 8/80, Mid dose: 0/80; 5/80; 5/80; 2/80; 12/80, High dose: 0/80; 6/80; 8/80; 7/80; 21/80. Alveolar bronchiolisation: (Multi)focal bronchiole-alveolar hyperplasia: is significantly higher in mid and high dose group (frequency in low; mid, high dose and control: 3/80; 14/80; 41/80; 3/80). The grading of this finding appeared to be dose related. The moderate and high grade hyperplasia only occurred in resp 5 and 2 animals of the high dose exclusively. Interstitial and peribronchiolar fibrosis: In all MDI exposed groups: statistically highly (p<0.001) significant compared to control (low, mid, high dose; control: 51/80; 73/80; 77/80; 4/80). Also the severity was significant difference in the different exposure groups: generally very slight (minimal) in low dose; mainly slight and slight to moderate in the high dose group. Other statistically significant dose dependent effects in lungs: Focal to multifocal alveolar accumulations of particle-laden (MDI?) macrophages: in very slight to moderate grade in all exposure groups: 52/80; 70/80 and 78/80 (highly sign diff with controls). Identity of the inclusion could not be defined via light microscopy.

In BAL: after 3 and 12 months of exposure increased number of macrophages and lymphocytes were seen; after 20 months only increased number of lymphocytes. Interstitial mononuclear cell infiltration (mainly low grade): Statistically significant in all exposure groups: number of animals with this finding in resp low; mid, high dose and controls were: 24/80; 48/80; 73/80 and 11/80. Accumulation of hemosiderin pigmented macrophages: from low to high grade dose dependent significantly increased in all exposure groups compared to controls: numbers for low, mid, high dose and control: 6/80; 9/80; 14/80 and 0/80. Small focal to multifocal cholesterol granulomas: in the high dose group: 11/80 vs 0/80 in controls. In the other groups: 4/80 low dose and 1/80 in the mid dose group. Focal osseous metaplasias: Incidence: significantly higher in high dose group vs control (resp. 11/80 and 1/80). In the low and mid dose group resp: 6/80 and 4/80. Lung associated lymph nodes (LALN; only examined in control and high dose group): Accumulation of macrophages with cytoplasmatic inclusions were seen in 68/80 high dose animals (highly significant differences with control were no such changes were observed). In addition, slight to moderate reactive lymphoid hyperplasia was seen, more frequent in high dose (13/80 vs control 6/80). Other organs: Exposure related changes could not be detected. Lung function tests: 1. Significant increased flow resistance in the small, peripheral air tracts in highest dose after 6 months. After 12 and 17 months also detected in the mid and low dose detected (cfr FEV0.1; FEF50 and FEF25). 2. Significantly reduced vital to total lung volume and elasticity of the lung tissue in the high dose already after 6 months (restrictive lung changes). After 12 resp 17 months increased incidence and finally also in the mid dose group and marginally in the low dose group. 3. Positive N-exchange test (indication of increased non-homogenity of the alveolar respiration) after 17 months in the mid and more expressed in the high dose group (already as a trend to be seen after 12 months). 4. Positive CO-diffusion test after 12 and 17 months: particularly in the high dose, less in the mid and marginally in the low dose group (indicating impairment of the diffusion through the alveolar-capillary membrane).

BAL findings: Changes in biochemical lavage parameters (increased lactate dehydrogenase, beta-glucuronidase, total protein, gamma-glutamyl transferase, hydroxyproline concentration, phospholipid concentration; indications of damage to the cell membrane vessel endothelium, cell necrosis, increased collagen metabolism) occurred generally already after 3 months exposure and increased after 12 and 20 months. After 1 week recovery with clean air, these findings seemed partially reversible. Increased concentration of surfactant-phospholipid were found in the mid and high dose groups. Functionally: a slight decrease in 'specific' surface activity of the phospholipid standardised surfact sample is observed in the high dose group (increased surface tension as measured by surfactometer). Increased lymphocyte concentration was seen after 3, 12 and 20 months (partially reversible after 1 week recovery with clean air). Increased number of macrophages after 3 months. The increased lung weights especially in the high dose group were still increased after 1 week recovery. This indicates chronic lung changes that were confirmed by the histopathological findings. Examination of the lung clearance (alveolar lung wash): After 6 months in the high dose group nearly doubled clearance half time compared to control. After 18 months this effect was not detectable anymore. Examination of blood and urine: Hemoglobin adducts and MDA urine concentrations were found in all MDI groups after 3 and 12 months exposure. A steady-state was observed after 3 months exposure.

### Conclusion of the authors

In a long-term inhalation study over a maximum of 24 months including satellite groups with 3, 12, and 20-month exposure, the chronic toxicity and carcinogenicity of monomeric methylene diphenyl diisocyanate (MDI) were investigated. Female Wistar rats were exposed in 6 m³ inhalation chambers for 17 hours/day, 5 days/week to 0.23, 0.70 and 2.05 mg/m³ MDI in aerosol form, a control group was kept in clean air. Essentially, a dose-dependent impairment of the lung function in the sense of an obstructive-restrictive malfunction with diffusion disorder, increased lung weights, an inflammatory reaction with increased appearance of lymphocytes (but not of granulocytes) in the lung in the high dose group as a sign of specific stimulation of the immune system by MDI, an intermediately retarded lung clearance in the high dose group as well as dose-dependent interstitial and peribronchiolar fibrosis, alveolar bronchiolisations and a proliferation of the alveolar epithelium, which was classified as preneoplastic, as well as a bronchiolo-alveolar adenoma were ascertained. The LOAEC for the female rat was 0.23 mg/m³ after long-term inhalation of 4,4'-MDI aerosols.

#### 1.2 Skin sensitisation

#### 1.2.1 Animal data for TODI

## 1.2.1.1 **GPMT** (Safepharm, 1998a)

Summary as provided by the lead registrant for TODI (the full study report was not available to the DS), with slight editorial modifications by the DS.

## Reference

Safepharm (1998): TODI: Magnusson & Kligman maximisation study in the guinea pig. Report no.: 235/224, date: 1998-02-26. Safepharm Laboratories Ltd. Nippon Soda Co. Ltd., unpublished.

## Test type

GPMT according to OECD TG 406. GLP: Yes, claimed. Study period: 1997-11-03 to 1997-12-07

### Test material

1-Isocyanato-4-(4-isocyanato-3-methylphenyl)-2-methylbenzene (TODI), purity 99.9%. Three different batches seem to have been applied: Lot/batch nos.: GD-076, HA-018, and TC-058, analytical purity  $\geq$  99.8% in all cases expiration dates: 2009-03-25 (GD-076/HA-018) and 2008-04-01 (TC-058); Vehicle: Arachis oil BP. Storage condition of test material: under 20°C, prevent from humidity (GD-076), 18-22 °C, in a dark place, protected from humidity (silica gel) (THC-058).

### Test animals

Species: Guinea pig. Strain: Dunkin-Hartley. Sex: Female. Source: David Hall Limited, Burton-on-Trent, Staffordshire, UK. Age at study initiation: 8-12 weeks. Weight at study initiation: 301-381 g. Housing: Single or in pairs. Diet (Guinea pig FD1 Diet, Special Diets Services Ltd., Wiltham, Essex, UK) and water: ad libitum. No. of animals per dose: 10 test and 5 control.

## Methods

Range-finding study (selection of concentrations for main study)

## **RANGE FINDING TESTS:**

## A. INTRADERMAL INDUCTION

No. of exposures: Four 0.1 mL injections of each concentration. Concentrations: 5%, 1%, 0.5%, 0.1% (w/v) in arachis oil. Evaluation after injection: 24, 48, and 72 hours and 7 days.

## **B.TOPICAL INDUCTION**

Concentrations: 50 %, 25 %, 10 %, 5 % (w/w) in acetone. Exposure period: 48 hours. Evaluation after dressing removal: 1 hour, 24, and 48 hours.

### **MAIN STUDY**

## A.1 INDUCTION EXPOSURE (intradermal); on day 0

No. of exposures: Three pairs of injections (0.1 mL per injection). Site: left and right side of the mid-line (shoulder region). Frequency of applications: Single injection. Test group: a) Freund's Complete Adjuvant plus distilled water in the ratio 1:1 b) 0.1 % w/v formulation of the test material in arachis oil BP; c) 0.1 % w/v formulation of the test material in a 1:1 preparation of Freund's Complete Adjuvant plus distilled water. Control group: a) Freund's Complete Adjuvant plus distilled water in the ratio 1:1 b) arachis oil BP; c) a 50% w/v formulation of arachis oil BP in Freund's Complete Adjuvant/distilled water 1:1.

# A.2 INDUCTION EXPOSURE (topical application); on day 7

Test group: 50% test substance in acetone. Control group: Acetone. Site: Shoulder region. Duration: 48 hours.

## B. CHALLENGE EXPOSURE

No. of exposures: 2. Day(s) of challenge: on Day 21. Exposure period: 24 hours. Test groups: 10. Control group: 5. Site: shorn right flank (50% test material formulation), left shorn flank (25% test material formulation). Concentrations: 50 % and 25 % in acetone. Evaluation (hr after challenge): 24, 48 and 72 h.

#### Results

Intradermal and Topical Sighting Test

Concentrations selected for the main study: Intradermal induction: 0.1 % w/v in arachis oil BP. Topical induction: 50 % w/w in acetone. Topical challenge: 50 % and 25 % w/w in acetone.

## Main Study

Skin reaction observed after intradermal induction: Well-defined erythema was noted at the intradermal induction sites of the test group animals at the 24 and 48 -hour observations. Very slight or well-defined erythema was noted at the intradermal induction sites of control group animals at the 24 and 48 -hour observations.

Skin reactions observed after topical induction: Well-defined erythema and slight oedema was noted at the topical induction sites of all test group animals at the one hour observation. Incidents of very slight to well-defined erythema and very slight to slight oedema were noted in test group animals at the 24-hour observation. Incidents of bleeding from the injection sites and bleeding wounds caused by the animal scratching the test site were also noted at the induction sites of test group animals at the 1-hour observation with small superficial scattered scabs, hardened dark brown/black coloured scab, crust formation or desquamation noted at the 24-hour observation. The degree of erythema and oedema could not be evaluated at the induction sites of four test group animals at the 24-hour observation.

Skin reactions observed after topical challenge: 25 % w/w in arachis oil BP. Positive skin responses (very slight to well-defined erythema - grades 1 to 2), and incidents of very slight oedema or slight oedema were noted at the challenge sites of four test group animals at the 24-hour observation and one test group animal at the 48- and 72-hour observations. The degree of erythema could not be determined at the challenge sites of four test group animals at the 24-hour observation because of other adverse reactions (hardened dark brown/black-coloured scab, scattered scabs and residual test material). These responses were attributed to contact sensitisation and therefore regarded as positive reactions. Very slight to slight oedema was also noted at two of these challenge sites at this time.

Residual test material and incidents of physical damage caused by attempted removal of adhered test material persisted at the challenge sites of nine test group animals at the 48-hour observation and nine test group animals at the 72-hour observation.

The degree of erythema could not be evaluated at the challenge sites of eight test group animals at the 48 and 72-hour observations. Similarly the degree of oedema could not be evaluated at the challenge sites of seven test group animals at the 48-hour observation and eight test group animals at the 72-hour observation. This was due to the severity of the other adverse reactions (hardened dark brown/black coloured scab, physical damage to skin caused by attempted removal of adhered test material, loss of skin elasticity and flexibility, superficial cracking of the epidermis, desquamation, small superficial scattered scabs and crust formation).

Residual test material was noted at the challenge sites of all control group animals at the 24, 48 and 72-hour observations with physical damage to skin caused by attempted removal of adhered test material noted at none of these sites of control group animals.

#### 50 % w/w in arachis oil BP

Positive skin responses (very slight or well-defined erythema - grades 1 to 2) and incidents of very slight or slight oedema were noted at the challenge sites of five test group animals at the 24-hour observation and two test group animals at the 48-hour observation. Slight oedema persisted at one of these challenge sites at the 72-hour observation. The degree of erythema could not be determined at the challenge sites of four test group animals at the 24 -hour observation, six test group animals at the 48 -hour observation and nine test group animals at the 72-hour observation because of the severity of other adverse reactions noted. These included physical damage to skin caused by attempted removal of adhered test material, hardened dark brown/black coloured scab, small superficial scattered scabs, loss of skin elasticity and flexibility, crust formation and

desquamation. The degree of oedema could also not be determined at the challenge sites of three test group animals at the 24 -hour observation, six test group animals at the 48-hour observation and eight test group animals at the 72-hour observation. Incidents of residual test material were noted at the challenge sites of two control group animals at the 24, 48 and 72-hour observations, No skin reactions were noted at these times. Body weight gains of guinea pigs in the test group, between Day 0 and Day 25 were comparable to those observed in the control group animals over the same period.

For a tabular overview of the findings, cf. Table 10.

Table 10: Results of skin sensitisation testing

Reading/hours post- challenge	Group	Conc.	No. with reactions/ total no. in group (%)	Clinical observations	Remarks on result
1 <sup>st</sup> /24	Test	25%	8/10	See section "Results"	Positive indication of skin sensitisation
	Neg.	25%	0/5	None	No indication of skin sensitisation
	Test	50%	9/10	See section "Results"	Positive indication of skin sensitisation
	Neg.	50%	0/5	None	No indication of skin sensitisation
2 <sup>nd</sup> /48	Test	25%	9/10	See section "Results"	Positive indication of skin sensitisation
	Neg.	25%	0/5	None	No indication of skin sensitisation
	Test	50%	8/10	See section "Results"	Positive indication of skin sensitisation
	Neg.	50%	0/5	None	No indication of skin sensitisation
3 <sup>rd</sup> /72	Test	25%	9/10	See section "Results"	Positive indication of skin sensitisation
	Neg.	25%	0/5	None	No indication of skin sensitisation
	Test	50%	9/10	See section "Results"	Positive indication of skin sensitisation
	Neg.	50%	0/5	None	No indication of skin sensitisation

## Registrant's summary and conclusion

Interpretation of results:

Category 1A (indication of significant skin sensitising potential) based on GHS criteria

#### Conclusions:

TODI was assessed for skin sensitization in a maximization test in the albino guinea pig according to EU Method B.6/ OECD Guideline No. 406. It was concluded that under the conditions of the present assay, TODI has a skin sensitisation potential (sensitiser) in the maximisation study.

### Executive summary:

A study was performed to assess the contact sensitisation potential of the test material in the albino guinea pig. The study was performed in compliance with the OECD Guidelines for Testing of Chemicals No. 406 "Skin Sensitisation" (adopted 17 July 1992) and Method B6 of the Commission Directive 92/69/EEC. Ten test and five control animals were used for the main study. Based on the results of sighting test, the concentrations of test material for the induction and challenge phases were selected as follows:

Intradermal Induction: 0.1% w/v in arachis oil BP

Topical Induction: 50 % w/w in acetone

Topical Challenge: 50% and 25% w/w in acetone

The test material produced a 90% (9/10) sensitisation rate. It was concluded that under the conditions of the present assay, TODI has a skin sensitisation potential (skin sensitiser) in the maximisation study.

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